



Effect of alginate size, mannuronic/guluronic acid content and pH on particle size, thermodynamics and composition of complexes with *β*-lactoglobulin

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Published in: Food Hydrocolloids

DOI: 10.1016/j.foodhyd.2017.09.001

Publication date: 2018

Document Version Peer reviewed version

#### Citation for published version (APA):

Stender, E. G. P., Khan, S., Ipsen, R., Madsen, F., Hägglund, P., Abou Hachem, M., Almdal, K., Westh, P., & Svensson, B. (2018). Effect of alginate size, mannuronic/guluronic acid content and pH on particle size, thermodynamics and composition of complexes with  $\beta$ -lactoglobulin. *Food Hydrocolloids*, 75, 157-163. https://doi.org/10.1016/j.foodhyd.2017.09.001

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1 Effect of alginate size, mannuronic/guluronic acid content and pH on particle

- 2 size, thermodynamics and composition of complexes with β-lactoglobulin
- 3

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# 13 Abstract

14 Alginate is an anionic polysaccharide capable of forming insoluble particles with proteins. Hence, 15 alginate has potential as a protein carrier. However, the role of physical properties of the polysaccharide, such as degree of polymerization (DP<sub>n</sub>) and mannuronic/guluronic acid ratio, remains to be fully explored. 16 Particle formation of a high and a low molar mass alginate (ALG) with  $\beta$ -lactoglobulin (BLG) at pH 2–8 17 depends on the average DP<sub>n</sub> (HMW-ALG: 1.59·10<sup>3</sup>; LMW-ALG: 0.23·10<sup>3</sup>) and the mannuronic/guluronic 18 acid ratio (1.0; 0.6) as supported by using ManA<sub>6</sub> and GulA<sub>6</sub> as models. Dynamic light scattering (DLS) 19 20 showed that particles of BLG with either of the two ALGs have essentially the same hydrodynamic 21 diameter ( $D_{\rm H}$ ) at pH 3 and 2, while at pH 4 particles of LMW-ALG/BLG have larger  $D_{\rm H}$  than of HMW-ALG/BLG. At pH 5–8 no significant particle formation was observed. ManA<sub>6</sub> did not form insoluble 22 particles at pH 2–8, while GulA<sub>6</sub> formed insoluble particles, albeit only at pH 4.  $K_d$  was approximately 10-23 fold higher for LMW-ALG/BLG than HMW-ALG/BLG and 3 orders of magnitude higher for an alginate 24 25 trisaccharide/BLG complexation as determined by isothermal titration calorimetry (ITC). The alginate trisaccharide did not form insoluble particles with BLG at pH 3 and 4, though interaction still occurred. 26  $\Delta H_{app}$  and molar stoichiometry of BLG in the complexes with the two ALGs differed by a factor of 7, as did 27 their DP<sub>n</sub>, which thus affected the interaction strength, but not the BLG content. At pH 4 the BLG content 28 doubled in the particle due to BLG dimerization. The findings emphasize the importance of DPn, 29 30 mannuronic/guluronic acid ratio and pH in formulations containing alginate/whey protein particles.

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#### 34 1. Introduction

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Alginate (ALG), a linear anionic polysaccharide and major component of the cell walls of brown algae, 36 consists of the 1,4-linked C5 epimers,  $\beta$ -D-mannuronic acid (M or ManA) and  $\alpha$ -L-guluronic acid (G or 37 38 GulA) found in homo- or mixed blocks (Fig. 1) (Ci et al., 1999; Johnson, Craig, Mercer, & Chauhan, 1997; 39 Morris, Rees, & Thom, 1980). ALG is extensively used in the pharmaceutical and food industries as a 40 gelling and stabilizing agent (Ci et al., 1999; Johnson et al., 1997). Growing interest in nano- and microparticles of food ingredients motivated investigations on complex formation between negatively charged 41 42 polysaccharides and positively charged proteins (Aberkane, Jasniewski, Gaiani, Scher, & Sanchez, 2010; 43 Du, Dubin, Hoagland, & Sun, 2014; Fuenzalida et al., 2016; Girard, Turgeon, & Gauthier, 2003a; Jones, Adamcik, Handschin, Bolisetty, & Mezzenga, 2010). Two types of phase separation can occur when 44 45 mixing such components; repulsive phase separation known as thermodynamic incompatibility; and 46 attractive phase separation known as thermodynamic compatibility (Doublier, Garnier, Renard, & 47 Sanchez, 2000). The former takes place at high concentrations of neutral or similarly charged protein and 48 polysaccharide and results in separation into a protein-rich and a polysaccharide-rich phase. By contrast, 49 thermodynamic compatibility occurs when polysaccharide and protein carry opposite charge, polarity or 50 similar hydrophobicity and separate into a protein/polysaccharide rich and a protein/polysaccharide poor 51 phase or exist as one homogeneous phase (Doublier et al., 2000).  $\beta$ -lactoglobulin (BLG) is the predominant protein in whey, the major by-product of cheese making. ALG/BLG complexes can act as 52 53 carriers for nutrients and nutraceuticals, e.g. folic acid and curcumin and increase colloidal stability 54 during storage in aqueous solution (Hosseini, Emam-Djomeh, Sabatino, & Van der Meeren, 2015). ALG 55 previously received interest as a protein carrier due to its ability to form stable particles with bovine 56 serum albumin that maintained its conformational integrity as assessed by circular dichroism analysis 57 after pH-induced dissociation of the particles above the protein pl (Zhao, Li, Carvajal, & Harris, 2009). 58 The pH range suitable for formation of particles from oppositely charged polymer and protein molecules

59 depends on protein pI and ionic strength, but not on mixing ratio and molar mass of the polysaccharide 60 (Girard, Turgeon, & Gauthier, 2002; Weinbreck, de Vries, Schrooyen, & de Kruif, 2003). However, 61 properties of polysaccharides in interaction with proteins such as protein affinity, complex size and 62 amount of bound protein may depend on the DP<sub>n</sub> (Hosseini et al., 2013; Wang, Kimura, Dubin, & Jaeger, 63 2000). If the polysaccharide is too short attractive phase separation will not occur (Li, Xia, & Dubin, 1994). 64 The charge density of the protein is important for complexation (Kayitmazer, Seyrek, Dubin, & 65 Staggemeier, 2003), and the charge density of the protein polymer binding site plays a key role for the 66 strength of interaction (Comert, Malanowski, Azarikia, & Dubin, 2016). The chain flexibility of 67 polyelectrolytes and effective charge density may also influence particle formation (Du et al., 2014; 68 Kayitmazer, Koksal, & lyilik, 2015). The charge density on alginate M blocks has recently been 69 hypothesized to be less than that of G blocks (Hecht & Srebnik, 2016) which could also have an effect on 70 particle formation (Fuenzalida et al., 2016). Here it is hypothesized that the average chain length, as well 71 as M/G ratio of ALG affect parameters governing particle formation with BLG, such as strength of 72 interaction and molar stoichiometry of BLG and ALG and thereby the particle size. The effect of ALG DP<sub>n</sub> 73 and M/G-ratio on interaction with BLG was monitored by dynamic light scattering, turbidity and 74 isothermal titration calorimetry at pH 2–9.

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# 76 **2. Materials and methods**

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#### 78 2.1 Materials 79

BLG isoform A was purified in-house from raw milk (Kristiansen, Otte, Ipsen, & Qvist, 1998) and found to be > 95 % pure as assessed by SDS-PAGE. High (HMW) and low (LMW) molar mass sodium alginates (ALGs) were produced by DuPont Nutrition and Health. HMW-ALG has number weighted average molecular mass ( $\overline{M_n}$ ) = 280 kDa, M/G ratio = 1.0 and polydispersity = 1.2. LMW-ALG has  $\overline{M_n}$  = 40 kDa, M/G ratio = 0.6 and polydispersity = 2.6 as analyzed (DuPont A/S) by SEC coupled to Multi Angle Light Scattering ( $\overline{M_n}$  and polydispersity) and FTIR spectroscopy (M/G ratio). Sodium salts of hexa-mannuronic acid (ManA<sub>6</sub>) and hexa-guluronic acid (GulA<sub>6</sub>) were purchased from Carbosynth (United Kingdom). All

87 buffer components were of analytical grade.

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2.2. Methods

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91 2.2.1 Sample preparation

93 BLG in milliQ water (270  $\mu$ M) was prepared by stirring (150 rpm, overnight, room temperature (RT)), centrifuged (12,000 g, 20 min , 20 °C) and filtrated (0.45 µm filter; Frisenette ApS, Denmark); the 94 95 concentration was determined spectrophotometrically at 280 nm using a molar extinction coefficient  $\varepsilon$  = 17,600 M<sup>-1</sup>cm<sup>-1</sup> (Collini, D'Alfonso, & Baldini, 2000). HMW- and LMW-ALG (2 mg mL<sup>-1</sup>) were prepared in 96 milliQ water by stirring (150 rpm, overnight, RT) to ensure complete dispersion and filtrated (0.45 µm 97 98 filter; Frisenette ApS, Denmark). GulA<sub>6</sub> and ManA<sub>6</sub> (104 mM) were dissolved in milliQ water overnight and 99 centrifuged (as above). BLG and ALG stocks were diluted with buffers (stock 100 mM, final 10 mM): 100 glycine (pH 2), sodium citrate/citric acid (pH 3–6) and Tris-HCI (pH 7–9) and mixed to the desired ratios.

For ITC BLG was dissolved in the respective buffers (200  $\mu$ M), centrifuged (20,000 g, 20 min, 20 °C) and dialysed against 10 mM sodium citrate/citric acid (3 x 4 h, 4 °C, 6–8 kDa cutoff, SpectraPor membrane; Spectrum). ALGs (4 mg mL<sup>-1</sup>) were dissolved in 10 mM sodium citrate/citric acid pH 3 or 4, centrifuged and dialysed (as above) to remove sodium ions added with the ALG. ALG tri-saccharide (ALGOS; see section 2.2.2) was dissolved in water (8.4 mM) and 100 mM sodium citrate/citric acid pH 3 or 4 was added to a final buffer concentration of 10 mM followed by centrifugation (as above).

#### 108 2.2.2 Production, purification and characterization of ALGOS

109 LMW-ALG (10 mg mL<sup>-1</sup>) in 50 mM Tris pH 7.2, 1 mg mL<sup>-1</sup> BSA (100 mL) was added 10 U mL<sup>-1</sup> of an 110 111 endoacting alginate lyase from Sphingomonas sp. (Megazyme, United Kingdom) and incubated for 6.5 h at 40°C under gentle mixing. The ALGOS obtained was desalted in milliQ water (HiPrep Desalt 26/10; GE 112 113 Healthcare, Denmark) and purified by anion exchange chromatography (Mono Q 5/50 GL; GE Healthcare, Denmark) eluted by a 0–2 M NaCl linear gradient (2 h) in milliQ water at a flow rate of 1 mL min<sup>-1</sup>. Eluted 114 ALGOS was monitored by the absorbance at 235 nm (Park, Kam, Lee, & Kim, 2012). Fractions were 115 pooled, added 0.5 M NaCl, filtered (3 kDa cut-off centrifugal filter; Amicon, Germany) to remove 116 117 remaining protein, desalted as above and freeze dried (ScanVac FreezeSafe Freeze Dryer, Holm & Halby, 118 Denmark). ALGOS samples were subjected to TLC (aluminium sheet Silica gel 60 WF254; Merck, Germany) developed twice in butanol:acetic acid:QH<sub>2</sub>O (2:1:1) and stained by 10 % sulfuric acid, 80 % 119 ethanol, 8 % H2O and 2 % orcinol at 300°C. MALDI-TOF MS was performed (Ultraflex II TOF/TOF; Bruker 120 Daltonics) in positive ion linear mode using a polished steel TF MTP 384 target (Bruker Daltonics GmbH). 121 122 Peak analysis of mass spectra was done using FlexAnalysis Version 3.3 (Bruker Daltonics GmbH).

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# 2.2.3 Determination of alginate concentration and preparation of alginate standard

LMW-ALG (3 mg mL<sup>-1</sup>) was dissolved in milliQ water, centrifuged and the supernatant dialyzed as above (3 x 4 h) against milliQ water. A 25 mL volumetric flask with glass stopper was cleaned with 96 % ethanol, heated (80 °C, 1 h), cooled to RT, weighed, filled with ALG solution, freeze-dried, desiccated (36 h, vacuum, RT; ME1 pump, Vacuubrand, United Kingdom), weighed, filled to the 25 mL mark with 10 mM sodium citrate/citric acid pH 4, and the LMW-ALG was dissolved under magnetic stirring (12 h) to give an ALG standard solution. The concentration of ALG used for ITC was determined by the phenol sulfuric acid method (Dubois, Gilles, Hamilton, Rebers, & Smith, 1956) with this ALG standard.

134135 2.2.4 Turbidimetric and UV absorbance measurements

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137 Turbidity of ALG/BLG mixtures (0.2 mg mL<sup>-1</sup>/54 μM) was measured spectrophotometrically at 600 nm
138 (Ultrospec pro 2100; Amersham Biosciences). Samples were centrifuged (20,000 g, 20 min, 20 °C; Eppendorf
139 centrifuge 5417R, Denmark) and the absorbance of supernatants measured at 280 nm.

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- 141 2.2.5 Dynamic light scattering142

Particle size distribution of ALG (1 mg mL<sup>-1</sup>), BLG (54  $\mu$ M) and ALG/BLG (0.2 mg mL<sup>-1</sup>/54  $\mu$ M) mixtures was analysed by DLS (DLS instrument BI-200SM Brookhaven Instruments Corporation; USA) at a scattering angle of 90° at 23 °C. The distributions of mean apparent translational diffusion coefficients 146  $(D_T)$  were determined by fitting DLS autocorrelation functions obtained with the instrument using non-147 negative constrained least-squares (NNLS). The distribution of mean apparent  $D_T$  was converted to the 148 distribution of hydrodynamic diameter ( $D_H$ ) using the Stokes-Einstein equation:

 $D_{\rm H} = kT/3\pi\eta D_{\rm T}$ 

where k is the Boltzmann constant, T the absolute temperature, and η the solvent viscosity (0.93 mPa x s;
assumed to be that of water at 296 K).

2.2.6 ζ-Potential of alginate and β-lactoglobulin

Electrophoretic mobility measurements were performed (Brookhaven 90Plus ZetaPALS Potential Analyzer; Brookhaven Instruments Corporation; USA) and the zeta potential (represented by  $\zeta$  in millivolts) of BLG (1 mg·mL<sup>-1</sup>) and LMW-ALG (1 mg·mL<sup>-1</sup>), HMW-ALG (1 mg·mL<sup>-1</sup>) was obtained from the electrophoretic mobility (µe) using Helmholtz-Smoluchowski equation:

μе = εζ/η

164 where  $\varepsilon$  is the dielectric constant (water) multiplied by the permittivity of vacuum, and  $\eta$  the solvent 165 viscosity (0.93 mPa x s; assumed to be that of water at 296 K).

167 2.2.7 Isothermal titration calorimetry

169 ITC was used to determine the apparent dissociation constant ( $K_d$ ) and the apparent change in enthalpy ( $\Delta H_{app}$ ) for complexation of ALGs and ALGOS with BLG at pH 3 and 4. Titrations were done with 170 dialyzed ALG (1.5–3.5 mg mL<sup>-1</sup>; 5–88  $\mu$ M, diluted in dialysis buffer) in the syringe and BLG (50–80  $\mu$ M, 171 diluted in dialysis buffer) in the cell, by 26-40 injections each of 6 µL (NanoITC2G; TA Instruments, USA) 172 including an initial 3 µL injection (deleted from the data set). A blank titration adding ALG into buffer was 173 subtracted as heat of dilution. The conventional binding model for n identical sites was fitted to the 174 175 resulting data (NanoAnalyze Data Analysis Version 3.4.0; TA instruments, USA). It is noted that this model 176 relies on the mass action description of the binding process, which is only an approximation for the current system as the binding of BLG will modify the surface potential of ALG, hence resulting in 177 continuous reduction of the affinity for BLG.  $K_d$  is thus not a true dissociation constant but an empirical 178 179 measure of average affinity.  $K_d$  and  $\Delta H_{app}$  were calculated by the program (NanoAnalyze software, TA). Errors are standard deviations for the regression analysis (NanoAnalyze software). This setup ensures 180 similar ionic strength at all pH as opposed to particle formation by acidifying protein ALG mixtures. 181 Purified ALGOS (in syringe: 4 mg mL<sup>-1</sup> = 7.6 mM) was added in 20 injections into BLG (in cell: 500  $\mu$ M), 182 each of 2 µL including an initial 0.4 µL injection (excluded from the data set) (ITC200; Thermo Scientific, 183 184 USA). Thermograms were corrected for heat of dilution, obtained from a blank titration, and a one-site 185 binding model was fitted to integrated and normalized binding data.

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#### 187 **3. RESULTS AND DISCUSSION**

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#### 189 3.1 ζ-potential of ALG and BLG

191 The  $\zeta$ -potential was measured in order to determine the surface charge under conditions where 192 particles are formed. ζ-potential is a measure of the electrokinetic potential, *i.e.* the difference in electric 193 potential between the solvent and the stationary layer of solvent molecules bound to dissolved particles. 194 A ζ-potential is relative to the surface charge of molecules in solution (Makino & Ohshima, 2010). HMW-195 and LMW-ALGs have  $\overline{M_n}$  of 280 kDa and 40 kDa with calculated average maximum number of negative 196 charges at high pH of 1591 and 227 as derived from M and G of 194 Da and  $pK_a$  3.38 and 3.65, 197 respectively (Draget, Braek, & Smidrod, 1994). At pH 2 HMW- and LMW-ALGs have a ζ-potential of -36 198 and -19 mV, respectively, indicating a negative surface charge even at this low pH (Fig. 2), perhaps due to polycarboxylic acid effects on pKa and reflecting inter- and intra-molecular hydrogen bonding and 199

electrostatic forces (Castaneda et al., 2009). The ζ-potential did not change at pH 4. The different pH 200 201 required to change the  $\zeta$ -potential of HMW-ALG (pH 5) and LMW-ALG (pH 6) may be due to their 202 different M/G ratio. BLG displayed positive  $\zeta$ -potential of +24 mV at pH 2, which decreased linearly with 203 increasing pH to -19 mV at pH 9 and a  $\zeta$ -potential of 0 mV being reached just below pH 5 in accordance 204 with experimental and calculated (ProtParam) BLG pl values of 4.7-5.2 (Bromley, Krebs, & Donald, 2005; 205 Das & Kinsella, 1989; Sawyer & Kontopidis, 2000) and 4.83, respectively. The data agree with the ζ-206 potential value reported (Harnsilawat, Pongsawatmanit, & McClements, 2006) to be 0 mV for BLG at pH 5 207 and negative for ALG of similar mass to HMW (216 kDa), respectively, in the same pH range as studied 208 here. Overall BLG and ALG carry opposite charge below pH 5. Notably, the ζ-potential of HMW- and 209 LMW-ALGs differs by a factor of 3, which is less than expected from the  $DP_n$  of the ALGs varying 7 fold. An 210 explanation for this may be the difference in viscosity.

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#### 212 3.2 Turbidity and absorbance of ALG/BLG mixtures

The solubility of ALG/BLG complexes was assessed by turbidity (at 600 nm) and absorbance (at 280 214 nm) measurements. The turbidity increased below pH 5 and did not change above pH 5, indicating 215 216 attractive electrostatic interactions of ALG and BLG, which carry opposite charge at pH < 5 (Fig. 2 and 3A). 217 No increase in turbidity or decrease in absorbance was observed for samples containing BLG and no ALG 218 (data not shown). Decrease in turbidity was observed for all samples at pH 2 relative to pH 4, suggesting 219 dissociation of particles as confirmed by an increased amount of protein in solution after centrifugation. 220 At pH 4 and 3 a large decrease of protein in solution indicated formation of insoluble ALG/BLG particles. 221 The reported optimum at pH 3.65–3.8 for formation of ALG/BLG particles with ALG of similar molar mass 222 (200 kDa) (Hosseini et al., 2013) agrees with the present finding of highest turbidity of ALG/BLG at pH 4 223 (Fig. 3A).

224 Addition of ManA<sub>6</sub> or GulA<sub>6</sub> to BLG resulted in negligible turbidity at pH 2–9, except at pH 4, where GulA<sub>6</sub> 225 elicited higher turbidity than ManA<sub>6</sub>, accompanied by significant decrease in the amount of soluble 226 protein measured at 280 nm after centrifugation (Fig. 3B). No drop in soluble protein was observed at pH 227 4 for ManA<sub>6</sub>/BLG. This suggests that ALG/BLG particle formation is driven by G rather than M, possibly 228 reminiscent of the greater ability of  $GulA_6$  to form particles with BLG (Fig. 3C,D), although relatively 229 higher GulA<sub>6</sub> concentration was required compared to LMW- and HMW-ALG (supplementary Fig. S2). The 230 same concentration of ManA<sub>6</sub> marginally increased turbidity without significant loss of soluble protein. Noticeably, even the hexa-saccharide can participate in insoluble particle formation with BLG. The 231 232 greater ability of GulA<sub>6</sub> to form insoluble complexes than ManA<sub>6</sub> was confined to a narrow pH range 233 compared to the ability of HMW- and LMW-ALG (Fig. 3A,B). ALGOS/BLG mixtures did not display any 234 turbidity at pH 3 and 4 and remained in solution after centrifugation (A280 of ALGOS/BLG was 0.941 before and 0.934 after centrifugation). Poly-G blocks are known to form a "buckled"-chain conformation 235 236 with higher affinity for calcium than other metal cations, whereas M rich regions or mixed M/G blocks do 237 not discriminate between different metal cations (Wong, Preston, & Schiller, 2000). The charge density 238 simply may be higher on the more rigid GulA<sub>6</sub> (Hecht & Srebnik, 2016) than on ManA<sub>6</sub>. GulA<sub>6</sub> therefore is 239 superior in neutralizing local surface charge on BLG leading to aggregates as when the overall charge is 0 240 at the protein pl. This is in line with the observation that polyelectrolyte flexibility and local charge 241 density is important for binding (Du et al., 2014). ALG of 7 kDa and M/G ratio 5 was recently reported to 242 bind lysozyme more weakly than ALG of 4 kDa and M/G ratio 1.42 (Fuenzalida et al., 2016). A similar 243 effect of G blocks as seen with calcium/ALG and lysozyme/ALG interactions possibly occurs for ALG 244 binding BLG. 245

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#### 3.3 Size of HMW- and LMW-ALGs/BLG particles

248 The average particle size distribution of ALG, BLG and their mixtures at pH 2–8 showed a maximum at 249 pH 4 for ALG/BLG, as given by the hydrodynamic diameter ( $D_{\rm H}$ ) (Fig. 4). Lower  $D_{\rm H}$  values at pH 3 and 2 were similar for particles of HMW-ALG/BLG and LMW-ALG/BLG. The particle size at pH 3 (1482 ± 93 nm 250 251 and 1652 ± 92 nm for LMW and HMW respectively) is slightly smaller than observed previously (Qomarudin et al., 2015), which may be due to differences in ionic strength of the buffer. The particle 252 253 formation begins at pH 5, where  $D_{\rm H}$  increases slightly compared to the BLG control.  $D_{\rm H}$  of ALG/BLG 254 particles were smaller at pH 3 and 2 than pH 4, suggesting partial dissociation of particles at low pH as reflected by higher K<sub>d</sub> and lower stoichiometry of ALG/BLG at pH 3 (Table 1). D<sub>H</sub> is highest for LMW-ALG 255 compared to HMW-ALG at pH 4 and 5 which may be due to the higher G content of LMW-ALG in 256 257 agreement with formation of more insoluble complexes with BLG by GulA<sub>6</sub> than by ManA<sub>6</sub> at pH 4 (Fig.

3B,C).  $D_{\rm H}$  increased marginally at pHC6–8 compared to the BLG control (likely due to the  $D_{\rm H}$  being calculated as a single species) indicating no interaction and supporting that the interaction is ionic, as BLG and ALG both carry a negative net charge at pH 6–8 (Fig. 2). The HMW- and LMW-ALG controls displayed almost the same  $D_{\rm H}$  at pH 2–8 with a slight increase at pH 8 (Fig. 4, bottom). This may stem from greater charge at higher pH and hence stronger repulsion between individual saccharide units/regions.

264 3.5 Isothermal titration calorimetry

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266 The large differences in particle size of ALG/BLG complexes between pH 4 and 3 may reflect variation 267 in affinity and molecular stoichiometry. At pH 3 HMW-ALG/BLG complex formation has 11-fold lower  $K_d$ 268 of 23  $\pm$  3 nM than K<sub>d</sub> of 266  $\pm$  48 nM with LMW-ALG, different from what is expected from the avidity effect, possibly due to the difference in M/G ratio. HMW-ALG binds 7.6 times more BLG than LMW-ALG, 269 corresponding to their 7-fold difference in  $\overline{M_n}$  (Table 1). At pH 4 both the strength of interaction and the 270 stoichiometry increased two-fold in accordance with the larger  $D_{\rm H}$  compared to at pH 3 (Fig. 4). HMW-271 and LMW-ALGs both displayed exothermic  $\Delta H_{app}$  of complex formation with BLG at pH 3 and 4 (Fig. 5). 272 The ratio of  $\Delta H_{app}$  of particle formation at pH 3 between HMW- and LMW-ALGs was 6.7 (-6271.0 ± 96.6 273 kJ mol<sup>-1</sup>,  $-939.5 \pm 27.4$  kJ mol<sup>-1</sup>) and at pH 4 6.6 ( $-66666.0 \pm 125.0$  kJ mol<sup>-1</sup>,  $-1013.0 \pm 24.0$  kJ mol<sup>-1</sup>) (Table 274 1) but when compared in terms of J  $g^{-1}$  none of the observed enthalpy's are significantly different. 275

 $\Delta H_{app}$  of binding is similar to that of complex formation reported for BLG and other polysaccharides. Thus 276  $\Delta H_{app}$  was -75.7 kJ (mol galacturonic acid)<sup>-1</sup> for pectin/BLG interaction (Girard, Turgeon, & Gauthier, 2003b) and very large  $\Delta H_{app}$  was found in related systems undergoing attractive phase separation 277 278 279 (Aberkane et al., 2010; de Souza, Bai, Goncalves, & Bastos, 2009). When soluble protein and anionic 280 polysaccharides interact below pl of the protein, particle size increases significantly (Fig. 4). This is 281 accompanied by reduction of the solvent accessible surface area and hence the number of hydrogen 282 bonds with solvent, which would add an enthalpy effect. If this, however, was the sole explanation for 283  $\Delta H_{app}$ , the reaction, due to loss of hydrogen bonds, would be endothermic which was not the case (Fig. 5). Another effect on  $\Delta H_{app}$  apart from the change in enthalpy of interaction is the amount of bound protein 284 that leaves solution per molecule of ALG, which at both pH 3 and 4 are similar when expressed in terms 285 of J g<sup>-1</sup>. The strong exothermic signal could be the result of bringing separated opposite charges together, 286 which may also explain that  $\Delta H_{app}$  does not differ much between pH 3 and 4 in accordance with the  $\zeta$ -287 288 potential of the ALGs not changing significantly in that pH range (Fig. 2).

Since ALGOS did not display any turbidity at pH 3 and 4 the interaction with BLG was confirmed by using 289 ITC. ALGOS and BLG interact at pH 4 with  $K_d$  = 568 ± 40  $\mu$ M and  $\Delta H_{app}$  = -3.4 ± 0.5 kJ mol<sup>-1</sup> (Table 1) and at 290 pH 3 with  $K_d$  = 1028 ± 203  $\mu$ M, possibly reflecting this is closer to the p $K_a$  of ALGOS. The different n values 291 of 1.71 and 1.28 bound ALGOS molecules per BLG monomer at pH 3 and 4, respectively (Table 1), 292 293 probably reflect the higher positive charge of BLG (Fig. 2) as well as the larger available surface area at pH 294 3, where monomeric BLG prevails (Sakurai, Oobatake, & Goto, 2001).  $\Delta H_{app}$  seems unaffected by the pH 295 difference as observed also with the two ALGs, but is much smaller than  $\Delta H_{app}$  for the polysaccharides 296 (Table 1). This may be due to particle formation not occurring with ALGOS. The much higher affinity of 297 ALG than ALGOS for BLG at pH 4 and 3 suggested massively enhanced interaction of BLG with the 298 polysaccharide, likely as reflecting an avidity effect. This confirms previous findings for lysozyme that the 299 larger the ALG  $DP_n$  the higher the affinity for this positively charged protein (Fuenzalida et al., 2016). Along the same line,  $\Delta H_{app}$  is much smaller for ALGOS than for ALGs. The formed ALGOS/BLG complexes 300 301 at completion of the titration are soluble as assessed by turbidity and A280 measurements. This supports the hypothesis of Li et al. that if DPn of a polyelectrolyte is too low, large particles are not formed with 302 303 oppositely charged molecules (Li et al., 1994) even though interaction still occurs (Fig. 5C). In the present case a too low  $DP_n$  is < 6 as judged from the ManA<sub>6</sub> and GulA<sub>6</sub> BLG interactions. 304

305 LMW-ALG binds 15.0 ± 0.3 and 30.5 ± 0.5 BLG monomers at pH 3 and 4, respectively, while HMW-ALG 306 binds 113.8 ± 1.1 and 238.6 ± 3.3 BLG monomers (Table 1). This two-fold difference in stoichiometry 307 between pH 3 and 4, may reflect ALG binding with monomeric and dimeric form of BLG (Sakurai et al., 308 2001; Taulier & Chalikian, 2001), and suggests that one or more ALG binding sites are situated close to 309 the BLG dimerization interface. If a binding site on BLG was located far from the dimerization interface 310 the amount bound per monomer should be similar at pH 3 and 4, but if the binding site is close to the 311 dimerization interface a BLG dimer can bind only one ALG molecule. This is further supported by the  $K_d$ 312 value being 50 % at pH 4 compared to pH 3, as expected from the avidity effect of dimerization. Girard et 313 al. previously suggested BLG residues 132–148 contain a pectin binding site (Girard et al., 2003a). When 314 viewed in the quaternary structure of the BLG dimer (Brownlow et al., 1997), this region forms a cleft 315 possibly functioning as a binding site located in the dimerization interface. Altogether, the particle size of

ALG/BLG particles is greatly affected by pH but unaffected by DP<sub>n</sub> in the range pH 2–3. At pH 4 G-blocks 316 have a greater tendency to form insoluble particles than M-blocks, which may explain why LMW/BLG 317 particles are larger than HMW/BLG particles at pH 4. The range in which insoluble particles are formed 318 319 also depends on DP, with hexasaccharides only forming insoluble particles at pH 4 and trisaccharides 320 (ALGOS) never forming insoluble particles which may have something to do with the strength of 321 interaction as seen from the ITC analysis. The present results indicate that the ALG/BLG particle formation varies importantly within the pH 2-4 range, probably due to BLG dimerization and to the local 322 323 availability of charges on ALG as well as effects arising from the M/G ratio.

#### 324 4. Conclusion

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326 DP<sub>n</sub> of ALG has a prominent effect on affinity and molar binding stoichiometry of BLG as determined by ITC, showing that the stoichiometry for the LMW- and HMW-ALGs increases by a factor corresponding 327 328 to their difference in DPn. Small oligosaccharides interact with mM-affinity and do not form insoluble 329 particles, but when binding is accumulated in case of polysaccharides, the combined interaction is in the nM-range and insoluble particles are formed. While the  $D_{\rm H}$  of complexes was unaffected by ALG DP at pH 330 331 3 and 2, it was greatly influenced in the pH 3–5 range and insoluble ALG/BLG complexes appeared at pH 3 332 and 4. This warrants further study using the model ALGOS and BLG to localize binding sites by identifying BLG residues engaged in complex formation. The results underline the importance of considering  $DP_{n}$ , 333 334 M/G ratio and pH when preparing formulations for dairy food products from ALG and whey proteins.

# 335 Acknowledgements

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This work is supported by the Danish Council for Strategic Research to the project StrucSat [Grant no. 1308-00011B] and a third PhD stipend (to EPGS) from the Technical University of Denmark. The NanoITC 2G was granted by the Danish Council for Strategic Research [Grant no. 11-116772 to PW]. The ITC 200

- 340 was granted by the Carlsberg Foundation [Grant no. 2011\_01\_0598 to MAH].
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451 Fig 1. Chair conformation illustrating the structural motifs; 1,4-linked  $\beta$ -D-mannuronate block (left);  $\alpha$ -L-452 guluronate block (right) and mixed M/G block (center) of ALG at low pH. n represents the continued 453 polysaccharide.

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457 Fig 2. ζ-potential for LMW-ALG, HMW-ALG and BLG as a function of pH. HMW-ALG (circle), LMW-ALG 458 (square), BLG (triangle).

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Fig 3. Turbidity and absorbance of ALG/BLG mixtures as a function of pH. Turbidity was measured at 600 nm after mixing (solid line). Absorbance of the supernatant was measured at 280 nm to determine the amount of protein remaining in solution (dashed line). A) 0.2 mg mL<sup>-1</sup> HMW- (circle) or LMW-ALG (square) mixed with 54  $\mu$ M BLG. B) 0.4 mg mL<sup>-1</sup> ManA<sub>6</sub> (diamond) or GulA<sub>6</sub> (upside down triangle) mixed with 1 mg mL<sup>-1</sup> BLG. C) Turbidity of 1 mg mL<sup>-1</sup> BLG mixed with varying concentration of GulA<sub>6</sub> (black) or ManA<sub>6</sub> (white) at pH 4. D) Absorbance after centrifugation of 1 mg mL<sup>-1</sup> BLG mixed with varying concentration of GulA<sub>6</sub> (black) or ManA<sub>6</sub> (white) at pH 4.

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Fig 4. Hydrodynamic diameter ( $D_{\rm H}$ ) of ALGs, BLG and ALG/BLG mixtures. Top: Hydrodynamic diameter of ALG/BLG as a function of pH. LMW-ALG (0.7  $\mu$ M) and BLG (54  $\mu$ M) (square), HMW-ALG (0.1  $\mu$ M) and BLG (54  $\mu$ M) (circle), LMW-ALG (0.1  $\mu$ M) and BLG (54  $\mu$ M) (pentagon) and BLG (54  $\mu$ M) (triangle). Bottom: Hydrodynamic diameter of ALGs (1 mg mL<sup>-1</sup>) as a function of pH. LMW-ALG (square), HMW-ALG (circle).

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Fig 5. ITC of ALG/BLG complex formation at pH 3 and 4. For each experiment the upper portion shows the
raw data with the baseline subtracted and the lower part shows the integrated peaks with the fitted one
site binding model. A) HMW-ALG (left) LMW-ALG (right) titrated into BLG at pH 3. B) HMW-ALG (right)
and LMW-ALG (left) titrated into BLG at pH 4. C) ALGOS titrated into BLG at pH 3 (left) and pH 4 (right).

Table 1. Thermodynamic parameters obtained for ALGs and BLG at pH 3 and 4 by using ITC (Fig. 5).

\*stoichiometry is reported as alginate oligomers bound per monomer of BLG. Numbers in brackets
 represent the data in terms of mass.

ALG	рН	<i>K<sub>d</sub></i> [nM]	n [BLG monomers/molecule ALG]	$\Delta H_{app}$ [kJ mol <sup>-1</sup> ]
		(g L <sup>-1</sup> )	(g BLG/g ALG)	(J g <sup>-1</sup> )
HMW	3	23 ± 3	113.8 ± 1.1	-6271.0 ± 96.6
		(6.44 · 10 <sup>-3</sup> ± 7.46 · 10 <sup>-4</sup> )	(7.44 ± 0.08)	(-22.40 ± 0.35)
LMW	3	266 ± 48	15.0 ± 0.3	-939.5 ± 27.4
		$(1.06 \cdot 10^{-2} \pm 1.87 \cdot 10^{-3})$	(6.88 ± 0.14)	(-23.41 ± 0.68)
ALGOS	3	$1028 \cdot 10^3 \pm 203 \cdot 10^3$	1.71 ± 0.2*	-3.1 ± 0.6
		(0.54 ± 0.11)	(20.9 ± 0.06)	(-4.04 ± 0.40)
HMW	4	12 ± 2	238.6 ± 3.3	-6666.0 ± 125.0
		$(3.36 \cdot 10^{-3} \pm 5.14 \cdot 10^{-4})$	(15.59 ± 0.21)	(-23.81 ± 0.45)
LMW	4	119 ± 22	30.5 ± 0.5	-1013.0 ± 24.0
		(4.76 · 10 <sup>-3</sup> ± 8.83 · 10 <sup>-4</sup> )	(13.94 ± 0.24)	(-25.31 ± 0.62)
ALGOS	4	$568 \cdot 10^3 \pm 40 \cdot 10^3$	$1.28 \pm 0.0^*$	$-3.4 \pm 0.2$
		(0.30 ± 0.02)	(27.10 ± 0.00)	(-6.49 ± 0.33)

# ACCEPTED MANUSCRIPT





# Highlights

- Particle size of alginate/β-lactoglobulin complexes depends on pH
- Alginate/β-lactoglobulin complex size depends on alginate M/G ratio and/or the molar mass
- Poly-guluronic acid has greater nanoparticle formation tendency than poly-mannuronic acid
- Formation of nanoparticles depends on alginate DP<sub>n</sub> and pH
- With alginate oligosaccharide of DP<sub>n</sub> = 3 no particles are formed but interaction occurs