

## On holomorphic critical quasi circle maps

Petersen, Carsten Lunde

*Publication date:*  
2001

*Document Version*  
Publisher's PDF, also known as Version of record

*Citation for published version (APA):*  
Petersen, C. L. (2001). *On holomorphic critical quasi circle maps*. Roskilde Universitet. Tekster fra IMFUFA No. 400 <http://milne.ruc.dk/lmfufaTekster/pdf/400.pdf>

### General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain.
- You may freely distribute the URL identifying the publication in the public portal.

### Take down policy

If you believe that this document breaches copyright please contact [rucforsk@ruc.dk](mailto:rucforsk@ruc.dk) providing details, and we will remove access to the work immediately and investigate your claim.

**TEKST NR 400**

**2001**

**On Holomorphic  
Critical quasi circle maps**

**By**

**Carsten Lunde Petersen**

**TEKSTER fra**

**IMFUFA** **ROSKILDE UNIVERSITETSCENTER**  
INSTITUT FOR STUDIET AF MATEMATIK OG FYSIK SAMT DERES  
FUNKTIONER I UNDERVISNING, FORSKNING OG ANVENDELSER

IMFUFA  
ROSKILDE UNIVERSITY  
POSTBOX 260  
DK-4000 ROSKILDE  
DENMARK.

TEL: (+45) 46 74 22 63      FAX: (+45) 46 74 30 20

**On Holomorphic quasi circle maps**

**By Carsten Lunde Petersen**

IMFUFA-text no 400/01

14 pages

ISSN 0106-6242

---

The so-called Herman-Świątek Theorem, combined with a surgery argument described among others by Douady, implies the following theorem : The Siegel disk of a quadratic polynomial  $P$  whose rotation number is Diophantine with exponent 2, has a quasi circle boundary containing a critical point for the  $k$ -th iterate of  $P$ , where  $k$  is the period of the cycle of Siegel disks.

In this paper the Herman-Świątek Theorem is generalized to holomorphic selfhomeomorphisms of quasi circles. The generalized theorem implies a converse to the above theorem about Siegel disks. In fact it implies the following unpublished theorem of Michel Herman:

If a Siegel disk or Arnold-Herman ring for a rational map has a boundary component, which is a quasi circle containing a critical point for the  $k$ -th iterate, where  $k$  denotes the period of the cycle of disks or rings, then the associated rotation number is Diophantine of exponent 2.

# On holomorphic critical quasi circle maps.

Carsten Lunde Petersen \*

*Dedicated to the memory of Michel Herman*

## Abstract

In this paper the so-called Herman-Świątek Theorem is generalized to holomorphic selfhomeomorphisms of quasi circles. This result implies an unpublished theorem of Michel Herman: If a Siegel disk or Arnold-Herman ring for a rational map has a boundary component, which is a quasi circle containing a critical point, then the associated rotation number is Diophantine of exponent 2.

## 1 Introduction

**Definition 1.1** A holomorphic quasi circle map on a quasi circle  $\Gamma \subset \mathbb{C}$  is a selfhomeomorphism  $f : \Gamma \rightarrow \Gamma$ , which extends to a holomorphic map  $f : U \rightarrow \mathbb{C}$  defined in an open neighbourhood  $U$  of  $\Gamma$ . If in addition  $\Gamma$  contains a critical point for the holomorphic map  $f$ , then  $f$  is a (holomorphic) critical quasi circle map.

The main result in this paper is the following generalization of the so called Herman-Świątek Theorem:

**Main Theorem 1** Let  $f : \Gamma \rightarrow \Gamma$  be a critical quasi circle map with irrational rotation number  $\theta \in \mathbb{T} = \mathbb{R}/\mathbb{Z}$ . Then  $\theta$  has bounded type (or equivalently is Diophantine of exponent 2) if and only if  $f$  is quasi conformally linearizable on  $\Gamma$ , i.e. iff there exists a qc. homeomorphism  $\phi : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  with  $\phi(\Gamma) = \mathbb{S}^1$  and such that

$$\forall z \in \mathbb{S}^1 : \phi \circ f \circ \phi^{-1}(z) = R_\theta(z) = e^{i2\pi\theta} z.$$

---

\*I would like to thank Université de Paris-Sud Mathématiques, Orsay, France for its hospitality while this paper was conceived and Saeed Zakeri for his careful comments to a preliminary version of this paper.

The above theorem contains as a special case the following theorem of Michel Herman, a theorem which remained unpublished until his death.

**Theorem 1.2 (Herman 89)** *Let  $f : U \rightarrow \overline{\mathbb{C}}$  be a holomorphic map with a  $k$ -periodic Siegel disk (or Arnold-Herman ring)  $\Delta$  of rotation number  $\theta$ . If  $\partial\Delta$  (a component of the boundary of  $\Delta$ ) is a quasi-circle, contained in  $U$  and containing an  $f^k$ -critical point, then  $\theta$  is of bounded type.*

The proof of the above Theorem is based on two main ingredients. One ingredient is the so called Herman-Świątek Theorem, [Her], [Pet], [Swi2], which states that the above Theorem holds in the case where the quasi-circle  $\Gamma$  is a true euclidean circle. The other and new ingredient is a geometrization of a Theorem of Świątek. The original Theorem of Świątek states that under certain analytic conditions on a circle map a certain cross ratio distortion inequality holds, even in the presence of a finite number of critical points [Swi1](see also (2) on page 4). The inequality is the main ingredient in the proof of the Herman-Świątek Theorem. What I propose here is to replace the analytic conditions on the circle map near the critical points with geometric conditions, which are more adequate for surgery applications.

In what follows we shall not distinguish a circle map  $f : \mathbb{T} \rightarrow \mathbb{T}$  from its lifts to  $\mathbb{R}$  under the natural projection map  $z \mapsto \exp(i2\pi z)$ . Also  $Df$  will denote the derivative of  $f$ . A map  $f : U \rightarrow \mathbb{C}$ , where  $U \subseteq \mathbb{C}$  is some domain, is called quasi regular, if  $f$  has partial derivatives in the sense of distributions  $\partial f/\partial z, \partial f/\partial \bar{z} \in H_{loc}^1$  and if  $|\partial f/\partial \bar{z}| \leq k|\partial f/\partial z|$  for some  $0 \leq k < 1$ . By the Morrey-Ahlfors-Bers Integration Theorem for almost complex structures, the map  $f$  is quasi regular if and only if there exists a quasi-conformal homeomorphism  $\phi : V \rightarrow U$  such that  $f \circ \phi : V \rightarrow \mathbb{C}$  is holomorphic. A critical point  $w$  for a quasi-regular map  $f$  is a point where the local degree  $\deg(f, w)$  is strictly bigger than one or equivalently, with  $\phi$  as above, a point  $w = \phi(c)$ , where  $c$  is a critical point for  $f \circ \phi$ . As for holomorphic maps the natural number  $\deg(f, w) - 1$  will be called the multiplicity of the critical point  $w$ .

**Definition 1.3** *Let  $f : U \rightarrow \mathbb{C}$  be a quasi-regular map and let  $\gamma \subset U$  be a quasi arc. A critical point  $w \in \gamma$  is called a nice critical point (relative to  $\gamma$ ), if its multiplicity is even, say  $2l$ ,  $l \in \mathbb{N}$  and if there exists two quasi conformal homeomorphisms  $\phi : \mathbb{D} \rightarrow \omega_i \subseteq U$ ,  $\psi : \omega_f \subseteq f(U) \rightarrow \mathbb{D}$  such that*

1.  $\phi(0) = w$ ,  $\phi([-1, 1]) \subseteq \gamma$ .
2.  $\psi \circ f \circ \phi(z) = z^{2l+1}$ .

3. The map  $f$  is holomorphic on the two 'sectors'  $\phi(\Sigma_{\pm}(1, \frac{1}{2l+1}))$ , where

$$\Sigma_{\pm}(r, \epsilon) = \{\pm se^{i2\pi\eta} | 0 < s < r, |\eta| < \epsilon/2\}. \quad (1)$$

Remark that neither  $\phi$  nor  $\psi$  is by any means unique, and that if  $f(\gamma) \subset \mathbb{R}$ , then we can choose  $\psi$  to be affine. In what follows  $\gamma$  will be clear from the context (usually  $\mathbb{S}^1$  or  $\mathbb{T}$ ) and will hence not be mentioned.

By a quasi-regular circle map we shall infer a circle homeomorphism  $f : \mathbb{T} \rightarrow \mathbb{T}$ , which is the restriction to  $\mathbb{T}$  of a quasi-regular map  $f$  defined on some neighbourhood  $\omega(\mathbb{T})$ .

**Proposition 1.4** *Let  $f : \Gamma \rightarrow \Gamma$  be a holomorphic quasi-circle map. Then there exists a quasi regular circle map  $\hat{f} : \omega(\mathbb{S}^1) \rightarrow \mathbb{C}$  and a quasiconformal homeomorphism  $\psi : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$ , with  $\psi(\Gamma) = \mathbb{S}^1$  such that :*

1. The restriction  $\psi|_{\Gamma}$  conjugates  $f$  to  $\hat{f}|_{\mathbb{S}^1}$ .
2. The restriction  $\hat{f}|_{\mathbb{S}^1}$  is real analytic except possibly at finitely many critical points for  $\hat{f}$ , all of which are nice.
3. If  $f$  is a critical quasi circle map, then  $\hat{f}$  can be chosen to have at least one nice critical point on  $\mathbb{S}^1$ .

**Proof :** We shall suppose  $f$  is a critical quasi circle map, leaving the easier non critical case to the reader. Let  $f : U \rightarrow \mathbb{C}$  be a holomorphic extension of  $f$  and let  $z_0 \in \Gamma$  be a critical point for the extension. Then at least one of the two complimentary components of  $\Gamma$  intersects  $f^{-1}(\Gamma)$  in any neighbourhood of  $z_0$ . Denote such a component by  $V$  and let  $\psi : \overline{V} \rightarrow \overline{\mathbb{D}}$  be a homeomorphically extended Riemann map, say mapping  $z_0$  to 1. Define  $\omega_1 = \psi(U)$  and extend  $\psi$  to a quasiconformal homeomorphism  $\psi : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  by reflection in  $\Gamma$  and  $\mathbb{S}^1$ . Define a continuous map, quasi-regular in the interior,  $\tilde{f} : \omega_1 \rightarrow \overline{\mathbb{C}}$  by  $\tilde{f} = \psi \circ f \circ \psi^{-1}$ . Extend  $f$  by reflection in  $\mathbb{S}^1$  to a quasi regular circle map defined on  $\omega = \omega_1 \cup \tau(\omega_1)$ , where  $\tau(z) = 1/\bar{z}$  denotes the reflection through  $\mathbb{S}^1$ . The maps  $\hat{f}$  and  $\psi$  are easily seen to fulfill the conclusions of the Proposition, with 1 being a nice critical point. **q.e.d.**

A real four tuple  $(a, b, c, d)$  is called admissible if either  $a < b < c < d$  or  $d < c < b < a$ . If additionally  $a, b, c, d \in \mathbb{T}$  we shall also infer  $|d - a| \leq 1$ .

For such a four tuple we define the cross ratio  $[a, b, c, d] = \frac{b-a}{c-a} \frac{d-c}{d-b}$  and given a homeomorphism  $f : \mathbb{R} \rightarrow \mathbb{R}$  we define the cross ratio distortion

$$D(a, b, c, d; f) = \frac{[f(a), f(b), f(c), f(d)]}{[a, b, c, d]}.$$

The main new technical result in this paper is the following geometrization of the Świątek cross-ratio distortion inequality:

**Theorem 1.5 (Geometric Świątek cross ratio distortion inequality)**

Let  $f : \omega(\mathbb{T}) \rightarrow \mathbb{C}$  be a quasi-regular circle map. Assume that  $f$  is analytic on  $\mathbb{T}$  except possibly at finitely many critical points, all of which are nice. Then

1. There exists a constant  $C = C(Df) > 1$  such that for all  $N \in \mathbb{N}$  and for all families of admissible quadruples  $\{(a_i, b_i, c_i, d_i)\}_{i \in I}$  for which the covering number  $\sup_{z \in \mathbb{T}} \#\{i \in I \mid z \in ]a_i, d_i[ \}$  is at most  $N$  :

$$\prod_{i \in I} D(a_i, b_i, c_i, d_i; f) \leq C^N. \quad (2)$$

2. For any (nice) critical point  $w \in \mathbb{T}$  there exists  $C', \nu > 1$  (depending on  $Df$  only) such that for all  $x, y \in \mathbb{T}$  with  $|x - w| \leq |y - w|$ :

$$\left| \frac{f(x) - f(w)}{f(y) - f(w)} \right| \leq C' \left| \frac{x - w}{y - w} \right|^\nu. \quad (3)$$

We shall see (Proposition 1.10) that for  $f$  as above  $Df$  is continuous with value zero at the nice critical points. Combining this Theorem with the previous Proposition 1.4 and the following Theorem 1.6 of Herman our Main Theorem 1 follows as an immediate Corollary.

**Theorem 1.6 (Herman)** Suppose  $f : \mathbb{T} \rightarrow \mathbb{T}$  is a circle homeomorphism of irrational rotation number  $\theta$ . If  $f$  satisfies the cross ratio distortion inequality (2) and  $\theta$  is of bounded type, then  $f$  is quasi-symmetrically conjugate to the rigid rotation  $R_\theta$ . On the other hand if  $f$  is quasi symmetrically conjugate to  $R_\theta$ , satisfies (2) and has a critical point  $w$  which satisfies (3) for some constants  $C', \nu > 1$ , then the rotation number  $\theta$  is of bounded type.

**Proof :** See any of the papers [Her],[Pet] and [Swi2].

**q.e.d.**

The rest of the paper is dedicated to the proof of Theorem 1.5. Any bounds (bounding constants) that will occur from here on will without explicit mention be functions of  $Df$  only.

**Theorem 1.7** *Let  $f : \omega(\mathbb{T}) \rightarrow \mathbb{C}$  be a quasi-regular circlemap. Assume that  $f$  is analytic on  $\mathbb{T}$  except possibly at finitely many critical points, all of which are nice. Then there exists  $K > 1$  such that for any admissible quadruple  $(a, b, c, d)$*

$$D(a, b, c, d; f) \leq K.$$

**Theorem 1.8** *Let  $f : \omega(\mathbb{T}) \rightarrow \mathbb{C}$  be a quasi-regular circlemap. Assume that  $f$  is analytic on  $\mathbb{T}$  except possibly at finitely many critical points, all of which are nice. Then there exists  $l_0 > 0$  such that for any admissible quadruple  $(a, b, c, d) \in \mathbb{T}$ , for which  $]a, d[$  does not contain a critical point*

$$D(a, b, c, d; f) \leq \exp(l_0 \cdot |f(d) - f(a)|).$$

Define  $\mathbb{H}_+ = \{z = x + iy | x > 0\}$  and  $\mathbb{D}_+ = \mathbb{D} \cap \mathbb{H}_+$ . We need the following Julia-Wolf type Lemma.

**Lemma 1.9** *Let  $f : \mathbb{D}_+ \rightarrow \mathbb{H}_+$  be a holomorphic function. Then*

$$\limsup_{x \rightarrow 0} \frac{x|f'(x)|}{|f(x)|} \leq 1 \tag{4}$$

**Proof :** Let  $\lambda_1(z)|dz|, \lambda_2(z)|dz|$  denote the hyperbolic metrics on  $\mathbb{D}_+$  and  $\mathbb{H}_+$  respectively. Then  $\lambda_2(x + iy) = 1/x$  and for all  $z = x + iy \in \mathbb{D}_+$   $1 \geq \lambda_2(z)/\lambda_1(z) \rightarrow 1$  as  $z \rightarrow 0$ . By the Schwarz-Picks Lemma for hyperbolic domains

$$\forall x \in \mathbb{R} \cap \mathbb{D}_+ : 1 \geq \frac{\lambda_2(f(x))|f'(x)|}{\lambda_1(x)} \geq \frac{x|f'(x)|}{|f(x)|} \cdot \frac{\lambda_2(x)}{\lambda_1(x)}. \tag{5}$$

Thus (4) follows.

**q.e.d.**



**Proposition 1.10** *Let  $f : U \rightarrow \mathbb{C}$  be a quasi-regular map. Suppose  $w$  in  $]u, v[ \subseteq \mathbb{R} \cap U$  is a nice critical point and  $f(]u, v[) \subseteq \mathbb{R}$ . Then there exist  $\delta > 0$ ,  $C > 1$  and  $\nu_+ > \nu_- > 1$  such that*

$$\forall x, |x| \leq \delta : \quad \frac{1}{C} \leq \frac{f(w+x) - f(w)}{f(w) - f(w-x)} \leq C \quad (6)$$

$$\nu_- \leq \frac{xf'(w+x)}{f(w+x) - f(w)} \leq \nu_+ \quad (7)$$

It follows that for all  $x, y$  with  $0 < |y| \leq \delta$  and  $x \in ]0, y[$  :

$$\left(\frac{x}{y}\right)^{\nu_+} \leq \frac{f(w+x) - f(w)}{f(w+y) - f(w)} \leq \left(\frac{x}{y}\right)^{\nu_-}. \quad (8)$$

Consequently  $f$  is continuously differentiable (as a real function) at  $w$  with derivative 0.

**Proof :** Note at first that given  $0 < x < y \leq \delta$  we obtain inequality (8) by integrating the logarithmic derivative  $f'(t+w)/(f(t+w) - f(w))$  from  $x$  to  $y$  and bounding the integrand using (7).

To prove (6) and (7) note that both inequalities are invariant under both pre and post composition of  $f$  with affine maps. Thus we can start out assuming that  $f$  is order preserving,  $w = f(w) = 0$  and that the map  $\psi$  in the definition of nice critical point is the identity map. Let  $\phi : \mathbb{D} \rightarrow \omega$  be the corresponding quasiconformal homeomorphism, so that  $f \circ \phi(z) = z^{2l+1}$ ,  $\phi(0) = 0$  and  $\phi$  maps  $] - 1, 1[$  increasingly into  $\mathbb{R}$ . Rescaling  $f$  in the domain and range if necessary, we can suppose  $\phi(\overline{\mathbb{D}}) = \overline{\omega}$  is a quasidisk for which  $\overline{\omega} \cap \mathbb{R}$  is a closed subinterval of  $]u, v[$ . Inequality (6) immediately follows, because  $\phi$  is quasi symmetric on the real axis.

Using our freedom to pre compose  $f$  by linear maps we can assume that  $\mathbb{D} \subseteq \omega \subseteq \mathbb{D}_R$  for some  $R > 1$ . Let  $\Xi_{\pm} = \phi(\Sigma_{\pm}(1, \frac{1}{(2l+1)}))$  (where  $\Sigma_{\pm}(\cdot, \cdot)$  were defined in Definition 1.3). I claim that there exists  $\nu_+ > \nu_- > 1$  such that

$$\Sigma_{\pm}(1, 1/\nu_+) \subseteq \Xi_{\pm} \subseteq \Sigma_{\pm}(R, 1/\nu_-). \quad (9)$$

In fact as  $\omega$  is a quasi disk with  $\omega \cap \mathbb{R}$  connected we need only show that

$$\pi/\nu'_+ < \liminf_{\partial \Sigma_{\pm} \ni z \rightarrow 0} |\arg(\pm z)|, \quad \limsup_{\partial \Sigma_{\pm} \ni z \rightarrow 0} |\arg(\pm z)| < \pi/\nu'_- \quad (10)$$

for some constants  $\nu'_+ > \nu'_- > 1$ , which depends only on the degree  $2l + 1$  and the dilatation of  $\phi$ . Moreover we need only prove the first of the two inequalities in (10) because  $\Xi_+$  and  $\Xi_-$  are disjoint. Finally by symmetry we need only prove the inequality for  $\Xi_+$ . By the assumptions on the geometry of  $\omega$  we have a quasi conformal restriction  $\phi : \mathbb{D} \setminus [0, 1[ \rightarrow \mathbb{D}(R) \setminus [0, R[$ . Such a map expands hyperbolic distances by at most a bounded amount depending only on the dilatation of  $\phi$  and the distance. We have  $\phi(] - 1, 0[) \subset ] - R, 0[$  and for  $z \in \mathbb{D}(r) \setminus [0, r[ := B_r$  with  $\arg(z) = \eta$  the hyperbolic distance in  $B_r$ ,  $d_{B_r}(z, ] - r, 0[)$  converges to  $\log \cot(|\eta|/4)$  as  $z \rightarrow 0$ . Thus the bounds (10) and hence (9) follows.

Suppose  $x > 0$  (the case  $x < 0$  is similar). Applying the Julia-Wolf Lemma 4 to the two functions  $f(z^{\frac{2}{\nu_+}})^{\frac{1}{2}}$  and  $(f^{-1}(z^2))^{\frac{\nu_-}{2}}$  we obtain

$$\nu_- \leq \liminf_{x \rightarrow 0} \frac{x \cdot f'(x)}{f(x)} \leq \limsup_{x \rightarrow 0} \frac{x \cdot f'(x)}{f(x)} \leq \nu_+.$$

Thus increasing  $\nu_+$  slightly and decreasing  $\nu_- > 1$  slightly, if necessary there exists  $\delta > 0$  such that the inequality (7) holds. Finally (7) and (8) imply that  $f$  is continuously differentiable (as a real function) at  $w$  with derivative 0. q.e.d.

**Proof of Theorem 1.5:** First, 1. of Theorem 1.5 follows immediately from the combination of the two Theorems 1.7 and 1.8. Simply take  $C = K^n \cdot e^{l_0}$ , where  $n$  is the number of critical points. Secondly 2. of Theorem 1.5 follows immediately from the two inequalities (6) and (8) in Proposition 1.10, and the 1-periodicity of  $f$ . q.e.d.

Thus we are left with the task of proving Theorems 1.7 and 1.8.

To facilitate the proof of Theorem 1.7 we introduce, just as Świątek did, the half cross ratio distortion : Given a real triple  $(a, b, c)$  with  $b \in ]a, c[$  we define

$$D_h(a, b, c; f) = \frac{f(b) - f(a)}{b - a} \frac{c - a}{f(c) - f(a)}.$$

The half cross ratio distortion is handy, because it is still invariant under pre and post composition by affine maps and it can be used to compute the cross ratio distortion:

$$D(a, b, c, d; f) = D_h(a, b, c; f) \cdot D_h(d, c, b; f).$$

**Proposition 1.11** Suppose  $f : ]w - \delta, w + \delta[ \rightarrow \mathbb{R}$ ,  $\delta > 0$  is a continuous injection, satisfying (6) – (8) for some constants  $C, \nu^+, \nu^-$ , as in the conclusion of Proposition 1.10. Then there exists a constant  $C_1 > 1$  depending only on  $C, \nu^+, \nu^-$  such that for all triples  $(a, b, c)$  with  $b \in ]a, c[ \subseteq ]w - \delta, w + \delta[$ :

$$D_h(a, b, c; f) \leq C_1. \quad (11)$$

**Proof :** We can suppose  $w = f(w) = 0$  and we can further normalize so that given a point  $x \neq 0$  we have say  $x = f(x) = -1$ . Let  $x_- = \frac{1}{C^{\nu^+ - 1}}$  and  $x_+ = C^{\nu^- - 1}$ . If  $f(-1) = -1$  then

$$\begin{aligned} \forall x < -1 & \quad f(x) < x, \\ \forall x, -1 < x < 0 & \quad f(x) > x, \\ \forall x, 0 < x < x_- & \quad f(x) < x, \\ \forall x > x_+ & \quad f(x) > x, \end{aligned}$$

moreover

$$\forall x, -1 \leq x \leq 0 \quad 1 \leq \frac{f(x) + 1}{x + 1} \leq \nu_+.$$

For instance if  $x > x_+$  then

$$f(x) \geq -\frac{1}{C}f(-x) \geq \frac{1}{C}xx^{\nu^- - 1} > \frac{1}{C}xx_+^{\nu^- - 1} = x.$$

By symmetry and using the freedom to normalize, it suffices to consider the following four different cases :

1.  $c < -1 = b = f(b) < a \leq 0$ , where we obtain

$$D_h(a, b, c, f) = \frac{a - c}{f(a) - f(c)} \cdot \frac{f(a) + 1}{a + 1} \leq \frac{f(a) + 1}{a + 1} \leq \nu^+.$$

2.  $-1 = a = f(a) < b < c \leq 0$  yielding :

$$D_h(a, b, c, f) = \frac{c + 1}{f(c) + 1} \cdot \frac{f(b) + 1}{b + 1} \leq \frac{f(b) + 1}{b + 1} \leq \nu^+.$$

3.  $c = f(c) = -1 < b \leq 0 < a$ , where we obtain  $D = D_h(a, b, c, f) = \frac{a + 1}{f(a) + 1} \cdot \frac{f(a) - f(b)}{a - b}$ . If  $f(a) \leq a$  then  $a \leq x_+$  and  $D \leq \frac{a + 1}{f(a) + 1} \leq x_+ + 1$ . And if  $f(a) \geq a$  then  $a \geq x_-$  and  $D \leq \frac{a + 1}{a - b} \leq \frac{x_- + 1}{x_- - b} \leq \frac{x_- + 1}{x_-}$ , where the middle inequality stems from the fact that the Möbius transformation  $a \mapsto \frac{a + 1}{a - b}$  is decreasing on the interval  $[b, \infty) \cap \mathbb{R}_+$ .

4.  $a = f(a) = -1 < b < 0 < c$ , where we obtain  $D = D_h(a, b, c, f) = \frac{c+1}{f(c)+1} \cdot \frac{f(b)+1}{b+1}$ . If  $f(c) \geq c$  then  $D \leq \frac{f(b)+1}{b+1} \leq \nu^+$ , And if  $f(c) \leq c$  then  $c \leq x_+$  and  $D \leq \nu^+ \cdot (x_+ + 1)$ .

This completes the proof.

q.e.d.

**Proposition 1.12** *Let  $f$  be a  $C^1$  circle homeomorphism with at most finitely many critical points  $w_1, \dots, w_n$  each satisfying the inequalities (6) – (8) for some constants  $\delta_i > 0, C_i > 1$ , and  $\nu_i^+ > \nu_i^- > 1$ . Then there exists  $K > 1$  such that for any admissible quadruple  $(a, b, c, d)$*

$$D(a, b, c, d; f) \leq K.$$

**Proof :** It suffices to prove that there exists  $K_1 > 1$  such that for every triple  $(a, b, c)$  with  $b \in ]a, c[$

$$D_h(a, b, c; f) \leq K_1,$$

because  $D(a, b, c, d; f) = D_h(a, b, c; f) \cdot D_h(d, c, b; f)$ . Write  $2\delta_i$  in place of  $\delta_i$  and let

$$M = \sup\{f'(z) | z \in \mathbb{T}\}, \quad m = \inf\{f'(z) | z \in \mathbb{T} \setminus \cup_{i=1}^n ]w_i - \delta_i, w_i + \delta_i[\}$$

We consider three possible cases separately:

1. There exists  $i$  such that  $]a, c[ \subset ]w_i - 2\delta_i, w_i - 2\delta_i[$ . The conclusion then follows from Proposition 1.11.
2. For every  $i$ ,  $]a, c[ \cap ]w_i - \delta_i, w_i + \delta_i[ = \emptyset$ . Then by the Mean Value Theorem  $D_h(a, b, c, f) \leq M/m$ .
3. Neither 1. nor 2.. Then there exists  $i$  such that either  $]w - 2\delta_i, w - \delta_i[ \subset ]a, c[$  or  $]w + \delta_i, w + 2\delta_i[ \subset ]a, c[$ . In either case  $D_h(a, b, c; f)$  is bounded by

$$M \cdot \max_{1 \leq i \leq n} \left\{ \frac{1}{f(w_i - \delta_i) - f(w_i - 2\delta_i)}, \frac{1}{f(w_i + 2\delta_i) - f(w_i + \delta_i)} \right\}$$

q.e.d.

**Proof of Theorem 1.7:** By assumption  $f$  is analytic except possibly at the nice critical points  $w_i$ . By Proposition 1.10 it is continuously differentiable at those points, thus  $f$  is  $C^1$ . Moreover also by Proposition 1.10 each of those critical points satisfies the inequalities (6) – (8) for some constants  $\delta_i > 0$ ,  $C_i > 1$ , and  $\nu_i^+ > \nu_i^- > 1$ . Thus the hypotheses of Proposition 1.12 is satisfied. This completes the proof. q.e.d.

Finally we prove Theorem 1.8. To this end we shall transform the cross ratio distortion bound into a bound on contraction of hyperbolic length.

For a bounded open interval  $J \subset \mathbb{R}$  we define the doubly slit plane with gap  $J$  by  $\mathbb{C}_J = (\mathbb{C} \setminus \mathbb{R}) \cup J$ . For  $R > 0$  we define the slit  $R$ -neighbourhood as

$$\Omega_J(R) = \{z \in \mathbb{C}_J \mid \text{dist}(z, J) < R\}$$

( $\text{dist}(\cdot, \cdot)$  being standard euclidean distance). For a hyperbolic domain  $U \subset \mathbb{C}$  write the infinitesimal hyperbolic metric as  $\lambda_U(z)|dz|$ . Moreover let  $d_U(\cdot, \cdot)$  and  $l(\cdot)$  denote the hyperbolic distance and arclength respectively. However in the case of  $U = \mathbb{C}_J$  we reduce the notation to  $d_J(\cdot, \cdot)$  and  $l_J(\cdot)$  respectively.

**Lemma 1.13** *There exists a strictly increasing function  $L : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that for any  $R > 0$  and any bounded nonempty open interval  $J = ]a, d[ \subset \mathbb{R}$  and any pair of points  $b, c \in J$*

$$l_{\Omega_J(R)}([b, c]) \leq l_J([b, c]) + L\left(\frac{|J|}{R}\right). \quad (12)$$

Moreover, the function  $L$  satisfies

$$L(r) = \mathcal{O}(r) \quad \text{as } r \rightarrow 0^+. \quad (13)$$

**Proof :** Fix  $J$  and  $R$  as above and note that  $\lambda_{\Omega_J(R)}(z) > \lambda_J(z)$  for any  $z \in \Omega_J(R)$ . Thus

$$\begin{aligned} l_{\Omega_J}([b, c]) - l_J([b, c]) &= \int_b^c (\lambda_{\Omega_J}(x) - \lambda_J(x)) dx \\ &\leq \int_a^d (\lambda_{\Omega_J}(x) - \lambda_J(x)) dx = \int_J (\lambda_{\Omega_J}(x) - \lambda_J(x)) dx. \end{aligned}$$

We need to prove that the latter integral is finite and depends only on the ratio  $|J|/R$ . Let the open interval  $J'$  and  $R' > 0$  satisfy  $|J'|/R' = |J|/R$  or

equivalently  $|J'|/|J| = R'/R = \alpha > 0$ . Then there exists  $\beta \in \mathbb{R}$  such that the affine map  $A(z) = \alpha z + \beta$  maps  $J$  onto  $J'$ ,  $\mathbb{C}_J$  biholomorphically onto  $\mathbb{C}_{J'}$  and  $\Omega_J(R)$  biholomorphically onto  $\Omega_{J'}(R')$ . In particular  $A$  acts as a hyperbolic isometry between both pairs of domains. Thus

$$\begin{aligned} \int_{J'} (\lambda_{\Omega_{J'}}(x) - \lambda_{J'}(x)) dx &= \int_J (\lambda_{\Omega_{J'}}(A(x)) - \lambda_{J'}(A(x))) \cdot A'(x) dx \\ &= \int_J (\lambda_{\Omega_J}(x) - \lambda_J(x)) dx. \end{aligned} \quad (14)$$

Which proves that (14) depends on  $|J|/R$  only. Denote the common value in (14) by  $L(\frac{|J|}{R})$ . By Schwartz Lemma  $L$  is increasing given that the integrals defining  $L$  are bounded. Thus it suffices to consider the case  $J = ]-1, 1[$  with  $|J| = 2$ , which we shall do here after. Recall that, if  $V \subseteq W$  are hyperbolic domains, then

$$\forall z \in V : \quad 1 \leq \lambda_V(z)/\lambda_W(z) \leq \coth\left(\frac{1}{2} d_W(z, \partial V)\right). \quad (15)$$

Suppose  $\gamma : [0, l] \rightarrow V$  is an arc which is parametrized by hyperbolic  $W$ -length and which satisfies

$$\forall t \in [0, l] : \quad d_W(\gamma(t), \partial V) \geq t + k \quad (16)$$

for some  $k \geq 0$ . Then by integration of (15) :

$$\begin{aligned} 0 \leq l_V(\gamma) - l_W(\gamma) &= \int_0^l (\lambda_V(\gamma(t))/\lambda_W(\gamma(t)) - 1) dt \\ &\leq \int_0^l (\coth \frac{1}{2}(t+k) - 1) dt \\ &\leq 2 \log \frac{1 - e^{-(k+l)}}{1 - e^{-k}} \leq 2 \log \frac{1}{1 - e^{-k}} \end{aligned} \quad (17)$$

To prove boundedness of  $L(|J|/R) = L(2/R)$  it suffices to prove that for some  $0 \leq x < 1$  the two geodesic segments  $] -1, -x]$  and  $[x, 1[$  satisfy (16) for some  $k > 0$  (here  $\mathbb{C}_J = W$ ,  $\Omega_J(R) = V$  and  $l = \infty$ ). Moreover by symmetry it suffices to consider the arc  $[x, 1[$  only. Similarly to prove that  $L(r) = \mathcal{O}(r)$  for  $r = 2/R$  small, we shall prove that there exists  $c > 0$  such that for  $R$  big enough  $[0, 1[$  satisfies (16) with  $k \geq \log(R/c)$ . From this (13) follows.

To produce these hyperbolic bounds on distances, it is convenient to change coordinates using  $M(z) = \frac{z^2-1}{z^2+1}$ . This degree two rational map restricts to an isomorphism  $M : \mathbb{H}_+ \rightarrow \mathbb{C}_J$ , and it maps  $\mathbb{R}_+$  increasingly onto  $J$ . For  $R > 0$  let  $\Delta_{\pm}(R)$  denote the two connected components of the preimage of  $\overline{\mathbb{C}} \setminus \Omega_J(R)$  centered at  $\pm i$ . Let  $\rho = \rho(R) > 0$  denote the smallest radius such that  $\Delta_{\pm}(R) \subseteq \overline{\mathbb{D}(\pm i, \rho(R))}$ . Set  $k = 1 + \log \rho$ . Then the map  $\gamma(t) = e^{t+k} : [0, \infty[ \rightarrow [e^k, \infty[$  is a parametrization of the latter interval by hyperbolic length in  $\mathbb{H}_+$  and it satisfies (16). This proves boundedness of the integral in (14) and hence the existence of the function  $L$ . To prove the asymptotic behavior (13) of  $L$  we note that

$$M(z \pm i) = \frac{\pm i}{z} + \frac{1}{2} + \mathcal{O}(|z|) \quad \text{for small } |z|.$$

It follows that  $\rho(R) = \mathcal{O}(1/R)$ . As above  $\gamma(t) = e^t : [0, \infty[ \rightarrow [1, \infty[$  is a parametrization of the latter interval by hyperbolic length in  $\mathbb{H}_+$  and it satisfies (16) with  $k(R) = -\log \rho(R)$ . That is there exists  $R_0 > 0$  and  $c > 0$  such that for  $R \geq R_0$ :  $k(R) \geq \log(R/c)$ . Thus completing the proof. **q.e.d.**

An elementary calculation shows that the cross ratio  $[a, b, c, d]$  is related to the hyperbolic distance between  $b$  and  $c$  in the doubly slit plane  $\mathbb{C}_{|a,d|}$  by

$$d_{|a,d|}(b, c) = -\log[a, b, c, d].$$

Hence the cross ratio distortion  $D(a, b, c, d; f)$  can be calculated as

$$D(a, b, c, d; f) = \exp(d_{|a,d|}(b, c) - d_{|f(a),f(d)|}(f(b), f(c))),$$

and the cross ratio distortion inequality (1) on page 4 becomes

$$\sum_{i \in I} d_{|a_i, d_i|}(b_i, c_i) - d_{|f(a_i), f(d_i)|}(f(b_i), f(c_i)) \leq N \log C.$$

Thus the cross ratio distortion inequality implies that the map  $f$  only shortens the hyperbolic length of  $[b, c] \subset \mathbb{C}_{|a,d|}$  by a finite amount. In this perspective the Świątek cross ratio distortion inequality can be viewed as a real predecessor of the Carleson-Jones-Yoccoz bound for the distortion of proper analytic maps of simply connected domains [CJY].

**Proposition 1.14** *Let  $f : J' \rightarrow J$  be a real analytic diffeomorphism and suppose that  $f$  extends to a univalent map  $f : U \rightarrow \Omega_J(R)$ , where  $R > 0$*

and  $J' \subset U \subseteq \mathbb{C}_{J'}$ . Then there exists  $l_0 > 0$  such that for any admissible quadruple  $(a, b, c, d)$  with  $]a, d[ \subseteq J'$

$$D(a, b, c, d; f) \leq \exp(l_0 \cdot |f(d) - f(a)|).$$

**Proof :** It follows from (13) that there exists  $l_1 > 0$  such that for any  $l$  with  $0 < l \leq |J|$  :  $L(l/R) \leq l_1 \cdot l/R$ . Define  $l_0 = l_1/R$  and let  $(a, b, c, d)$  be an admissible quadruple with  $I' = ]a, d[ \subseteq J'$ . Define moreover  $I = ]f(a), f(d)[$  and note that  $\Omega_{I'}(R) \subseteq \Omega_I(R)$ . Define  $U_{I'}$  to be the preimage of  $\Omega_{I'}(R)$  inside  $U$ . Then we have

$$\begin{aligned} \log(D(a, b, c, d; f)) &= d_{I'}(b, c) - d_I(f(b), f(c)) \\ &\leq d_{U_{I'}}(b, c) - d_I(f(b), f(c)) \\ &= d_{\Omega_{I'}(R)}(f(b), f(c)) - d_I(f(b), f(c)) \\ &\leq L(|I|/R) \leq l_0 |I| = l_0 \cdot |f(d) - f(a)|. \end{aligned}$$

q.e.d.

**Proof of Theorem 1.8:** Let  $w, w' \in \mathbb{T}$  be a pair of neighbouring critical points bounding an open interval  $J = ]w, w'[$ . Then there exist  $R = R(J) > 0$  and an open neighbourhood  $U_J$  of  $J$ , such that  $f : U \rightarrow \Omega_{f(J)}(R)$  is biholomorphic. This is because  $f$  is real analytic except possibly at the critical endpoints, both of which are nice. Thus Proposition 1.14 proves Theorem 1.8 for  $J$ . As  $f$  is assumed to have only finitely many critical points, we are done.

q.e.d.

## References

- [Her] M. R. Herman. Conjugaison quasi symétrique des homéomorphismes analytiques du cercle à des rotations. Version très très préliminaire, 19 pages manuscript, 1987.
- [CJY] P. Jones L. Carleson and J.C. Yoccoz. Julia and John. *Bol. Soc. Brasil. Mat. (N.S.)* **25** N. 1. (1994), 1–30.
- [Pet] C .L. Petersen. The Herman-Swiatek Theorems with applications. In Tan Lei, editor, *The Mandelbrot set, Theme and Variation*. LMS Lecture Note Series **274** Cambridge university Press, 2000.



- [Swi1] G. Świątek. Rational Rotation Numbers for Maps of the Circle. *Comm. Math. Phys.* **119** (1988), 109–128.
- [Swi2] G. Świątek. On critical circle homeomorphisms. *Bol. Soc. Brasil. Mat. (N.S.)* **29** N. 2. (1998), 329–351.

Liste over tidligere udsendte tekster kan ses på IMFUFA's hjemmeside: <http://mmf.ruc.dk> eller rekvireres på sekretariatet, tlf. 46 74 22 63 eller e-mail: [imfufa@ruc.dk](mailto:imfufa@ruc.dk).

- 332/97 ANOMAL SWELLING AF LIPIDE DOBBELTLAG  
Specialrapport af: Sine Korremann  
Vejleder: Dorte Posselt
- 333/97 Biodiversity Matters  
an extension of methods found in the literature on monetisation of biodiversity  
by: Bernd Kuemmel
- 334/97 LIFE-CYCLE ANALYSIS OF THE TOTAL DANISH ENERGY SYSTEM  
by: Bernd Kuemmel and Bent Sørensen
- 335/97 Dynamics of Amorphous Solids and Viscous Liquids  
by: Jeppe C. Dyre
- 336/97 Problem-orientated Group Project Work at Roskilde University  
by: Kathrine Legge
- 337/97 Verdensbankens globale befolkningsprognose  
- et projekt om matematisk modellering  
af: Jørn Chr. Bendisen, Kurt Jensen, Per Pauli Petersen
- 338/97 Kvantisering af nanoleders elektriske ledningsevne  
Første modul fysikprojekt  
af: Søren Dam, Esben Danielsen, Martin Niss,  
Esben Frits Pedersen, Frederik Resen Steenstrup  
Vejleder: Tage Christensen
- 339/97 Defining Discipline  
by: Wolfgang Coy
- 340/97 Prime ends revisited - a geometric point of view -  
by: Carsten Lunde Petersen
- 341/97 Two chapters on the teaching, learning and assessment of geometry  
by: Mogens Niss
- 342/97 A global clean fossil scenario DISCUSSION PAPER prepared by Bernd Kuemmel  
for the project LONG-TERM SCENARIOS FOR GLOBAL ENERGY DEMAND  
AND SUPPLY
- 343/97 IMPORT/EKSPORT-POLITIK SOM REDSKAB TIL OPTIMERET UDNYTTELSE  
AF EL PRODUCERET PÅ VE-ANLÆG  
af: Peter Meibom, Torben Svendsen, Bent Sørensen

344/97

Puzzles and Siegel disks  
by: Carsten Lunde-Petersen

345/98

Modeling the Arterial System with Reference to an Anesthesia Simulator  
Ph.D. Thesis  
by: Mette Sofie Olufsen

346/98

Klyngedannelse i en hulkatode-førstøvningsproces  
af: Sebastian Horst  
Vejledere: Jørn Borggren, NBI, Niels Boye Olsen

347/98

Verificering af Matematiske Modeller  
- en analyse af Den Danske Eulerske Model  
af: Jonas Blomqvist, Tom Pedersen, Karen Timmermann, Lisbet Øhlenschläger  
Vejleder: Bernhard Booss-Bavnbek

348/98

Case study of the environmental permission procedure and the environmental impact  
assessment for power plants in Denmark  
by: Stefan Krüger Nielsen  
project leader: Bent Sørensen

349/98

Tre rapporter fra FAGMAT - et projekt om tal og faglig matematik i  
arbejdsmarkeduddannelserne  
af: Lena Lindenskov og Tine Wedege

350/98

OPGAVESAMLING - Bredde-Kursus i Fysik 1976 - 1998  
Erstatter teksterne 3/78, 261/93 og 322/96

351/98

Aspects of the Nature and State of Research in Mathematics Education  
by: Mogens Niss

352/98

The Herman-Swiatec Theorem with applications  
by: Carsten Lunde Petersen

353/98

Problemløsning og modellering i en almindende matematikundervisning  
Specialrapport af: Per Gregersen og Tomas Højgaard Jensen

354/98

A Global Renewable Energy Scenario  
by: Bent Sørensen and Peter Meibom

355/98

Convergence of rational rays in parameter spaces  
by: Carsten Lunde Petersen and Gustav Ryd

- 356/98 Terrænmodellering  
Analyse af en matematisk model til konstruktion af digitale terrænmodeller  
Modelprojekt af: Thomas Frommelt, Hans Ravnkjær Larsen og Arnold Skimminge  
Vejledere: Johnny Ottesen
- 357/98 Cayleys Problem  
En historisk analyse af arbejdet med Cayleys problem fra 1870 til 1918  
Et matematisk videnskabsfagsprojekt af: Rikke Degn, Bo Jakobsen, Bjarke K.W.  
Hansen, Jesper S. Hansen, Jesper Udesen, Peter C. Wulff  
Vejledere: Jesper Larsen
- 358/98 Modeling of Feedback Mechanisms which Control the Heart Function in a View to an  
Implementation in Cardiovascular Models  
Ph.D. Thesis by: Michael Danielsen
- 
- 359/99 Long-Term Scenarios for Global Energy Demand and Supply  
Four Global Greenhouse Mitigation Scenarios  
by: Bent Sørensen (with contribution from Bernd Kuemmel and Peter Meibom)
- 360/99 SYMMETRI I FYSIK  
En Meta-projekt-rapport af: Martin Niss, Bo Jakobsen & Tine Bjarke Bonné  
Vejledere: Peder Voetmann Christiansen
- 361/99 Symplectic Functional Analysis and Spectral Invariants  
by: Bernhard Booss-Bavnbek, Kenro Furutani
- 362/99 Er matematik en naturvidenskab? - en udspring af diskussionen  
En videnskabsfagsprojekt-rapport af: Martin Niss  
Vejledere: Mogens Nørgaard Olesen
- 363/99 EMERGENCE AND DOWNWARD CAUSATION  
by: Donald T. Campbell, Mark H. Bickhard, and Peder V. Christiansen
- 364/99 Illustrationens kraft - Visuel formidling af fysik  
Integreret speciale i fysik og kommunikation  
af Sebastian Horst  
Vejledere: Karin Beyer, Søren Kjølrup
- 365/99 To know - or not to know - mathematics, that is a question of context  
by: Tine Wedege
- 366/99 LATEX FOR FORFATTERE - En introduktion til LATEX  
og IMFUFA-LATEX  
af: Jørgen Larsen

- 367/99 Boundary Reduction of Spectral Invariants and Unique Continuation Property  
by: Bernhard Booss-Bavnbek
- 368/99 Kvarterrapport for projektet SCENARIER FOR SAMLET UDNYTTELSE AF  
BRINT SOM ENERGIBÆRER I DANMARKS FREMTIDIGE ENERGISYSTEM  
Projektleder: Bent Sørensen
- 369/99 Dynamics of Complex Quadratic Correspondences  
by: Jacob S. Jørgensen  
Supervisor: Carsten Lunde Petersen
- 370/99 OPGAVESAMLING - Bredde-Kursus i Fysik 1976 - 1999  
Eksamensopgaver fra perioden 1976 - 1999. Denne tekst erstatter  
tekst nr. 350/98
- 371/99 Bevisets stilling - beviser og bevisførelse i en gymnasial matematik  
undervisning  
Et matematikspeciale af: Maria Hermannsson  
Vejleder: Mogens Niss
- 372/99 En kontekstualiseret matematikhistorisk analyse af ikke-lineær programmering:  
Udviklingshistorie og multipel opdagelse  
Ph.d.-afhandling af Tine Hoff Kjeldsen
- 373/99 Criss-Cross Reduction of the Maslov Index and a Proof of the Yoshida-Nicolaescu  
Theorem  
by: Bernhard Booss-Bavnbek, Kenro Furutani and Nobukazu Otsuki
- 374/99 Det hydrauliske spring - Et eksperimentelt studie af polygoner og hastighedsprofiler  
Specialeafhandling af: Anders Marcussen  
Vejledere: Tomas Bohr, Clive Ellegaard, Bent C. Jørgensen
- 375/99 Begrundelser for Matematikundervisningen i den lærde skole hhv. gymnasiet 1884-  
1914  
Historiespeciale af Henrik Andreassen, cand.mag. i Historie og Matematik
- 376/99 Universality of AC conduction in disordered solids  
by: Jeppe C. Dyre, Thomas B. Schrøder
- 377/99 The Kuhn-Tucker Theorem in Nonlinear Programming. A Multiple Discovery?  
by: Tine Hoff Kjeldsen
- 
- 378/00 Solar energy preprints:  
1. Renewable energy sources and thermal energy storage  
2. Integration of photovoltaic cells into the global energy system  
by: Bent Sørensen

- 389/00 University mathematics based on problemoriented student projects: 25 years of experience with the Roskilde model  
By: Mogens Niss  
Do not ask what mathematics can do for modelling. Ask what modelling can do for mathematics!  
Vejleder: Johnny Ottesen
- 
- 390/01 SCENARIER FOR SAMLET UDNYTTELSE AF BRINT SOM ENERGIBÆRER I DANMARKS FREMTIDIGE ENERGISYSTEM Slutrapport, april 2001  
Projektlæder: Bent Sørensen  
Projektdeltagere: DONG: Aksel Hauge Petersen, Celia Juhl, Elkraft System<sup>®</sup>: Thomas Engberg Pedersen<sup>®</sup>, Hans Ravn, Charlotte Søndergren, Energi 2<sup>®</sup>: Peter Simonsen, RISØ Systemanalyseafd.: Kaj Jørgensen<sup>®</sup>, Lars Henrik Nielsen, Helge V. Larsen, Poul Erik Morthorst, Lotte Schleisner, RUC: Finn Sørensen<sup>®</sup>, Bent Sørensen<sup>®</sup>  
\*Indtil 1/1-2000 Elkraft, \*\* fra 1/5-2000 Cowi Consult  
\*Indtil 15/6-1999 DTU Bygninger & Energi, \*\* fra 1/1-2001 Polypeptide Labs.  
Projekt 1763/99-0001 under Energistyrelsens Brintprogram
- 391/01 Matematisk modelleringskompetence – et undervisningsforløb i gymnasiet  
3. semesters Nat.Bas. projekt af: Jess Tolstrup Boye, Morten Bjørn-Mortensen, Sofie Inari Castella, Jan Lauridsen, Maria Gätzsche, Ditte Mandøe Andreassen  
Vejleder: Johnny Ottesen
- 392/01 "PHYSICS REVEALED" THE METHODS AND SUBJECT MATTER OF PHYSICS  
an introduction to pedestrians (but not excluding cyclists)  
PART III: PHYSICS IN PHILOSOPHICAL CONTEXT  
by: Bent Sørensen.
- 393/01 Hilberts matematikfilosofi  
Specialrapport af: Jesper Hasmark Andersen  
Vejleder: Stig Andur Pedersen
- 394/01 "PHYSICS REVEALED" THE METHODS AND SUBJECT MATTER OF PHYSICS  
an introduction to pedestrians (but not excluding cyclists)  
PART II: PHYSICS PROPER  
by: Bent Sørensen.
- 395/01 Menneskers forhold til matematik. Det har sine årsager!  
Specialeafhandling af: Anita Stark, Agnete K. Ravnborg  
Vejleder: Tine Wedege
- 396/01 2 bilag til tekst nr. 395: Menneskers forhold til matematik. Det har sine årsager!  
Specialeafhandling af: Anita Stark, Agnete K. Ravnborg  
Vejleder: Tine Wedege

- 379/00 EULERS DIFFERENTIALREGNING  
Eulers indførelse af differentialregningen stillet over for den moderne  
En tredjeseesters projektrapport på den naturvidenskabelige basisuddannelse  
af: Uffe Thomas Volmer Jankvist, Rie Rose Møller Pedersen, Maja Bagge Pedersen  
Vejleder: Jørgen Larsen
- 380/00 MATEMATISK MODELLERING AF HJERTEFUNKTIONEN  
Isovolumetrisk ventrikulær kontraktion og udpumpning til det cardiovascular system  
af: Gitte Andersen (3. moduls-rapport), Jakob Hilmer og Stine Weisbjerg (speciale)  
Vejleder: Johnny Ottesen
- 381/00 Matematikviden og teknologiske kompetencer hos kortuddannede voksne  
- Rekognosceringer og konstruktioner i grænselandet mellem matematikkens didaktik og forskning i voksenuddannelse  
Ph. d.-afhandling af Tine Wedege
- 382/00 Den selvundvigende vandring  
Et matematisk professionsprojekt  
af: Martin Niss, Arnold Skimminge  
Vejledere: Viggo Andreassen, John Villumsen
- 383/00 Beviser i matematik  
af: Anne K.S.Jensen, Gitte M. Jensen, Jesper Thrane, Karen L.A.W. Wille, Peter Wulff  
Vejleder: Mogens Niss
- 384/00 Hopping in Disordered Media: A Model Glass Former and A Hopping Model  
Ph.D. thesis by: Thomas B. Schrøder  
Supervisor: Jeppe C. Dyre
- 385/00 The Geometry of Cauchy Data Spaces  
This report is dedicated to the memory of Jean Leray (1906-1998)  
by: B. Booss-Bavnbek, K. Furutani, K. P. Wojciechowski
- 386/00 Neutrale mandatfordelingsmetoder – en illusion?  
af: Hans Henrik Brok-Kristensen, Knud Dyrberg, Tove Oxager, Jens Sveistrup  
Vejleder: Bernhard Booss-Bavnbek
- 387/00 A History of the Minimax Theorem: von Neumann's Conception of the Minimax Theorem - - a Journey Through Different Mathematical Contexts  
by: Tinne Hoff Kjeldsen
- 388/00 Behandling af impuls ved klidder og dræn i C. S. Peskins 2D-hjertemodell  
et 2. moduls matematik modelprojekt  
af: Bo Jakobsen, Kristine Niss  
Vejleder: Jesper Larsen

- 397/01 En undersøgelse af solvents og kædelængdes betydning for anomal swelling i phospholipidbællag  
2. modul fysikrapport af: Kristine Niss, Arnold Skimminge, Esben Thormann, Stine Timmermann  
Vejleder: Dorte Posselt
- 398/01 Kursusmateriale til "Lineære strukturer fra algebra og analyse" (E1)  
Af: Mogens Brun Heefelt
- 399/01 Undergraduate Learning Difficulties and Mathematical Reasoning  
Ph.D Thesis by: Johan Lithner  
Supervisor: Mogens Niss