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Published in:

International Journal of Pharmaceutics

DOI:

10.1016/j.ijpharm.2019.118696

Publication date: 2019

Document Version Peer reviewed version

Citation for published version (APA):

Nielsen, R. B., Kahnt, A., Dillen, L., Wuyts, K., Snoeys, J., Nielsen, U. G., Holm, R., & Nielsen, C. U. (2019). Montmorillonite-surfactant hybrid particles for modulating intestinal P-glycoprotein-mediated transport. *International Journal of Pharmaceutics*, *571*, Article 118696. https://doi.org/10.1016/j.ijpharm.2019.118696

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1 Montmorillonite-surfactant hybrid particles for modulating 2 intestinal P-glycoprotein-mediated transport 3 Rasmus Blaaholm Nielsen¹, Ariane Kahnt², Lieve Dillen², Koen Wuyts², Jan Snoeys², Ulla Gro Nielsen¹, René Holm^{3, 4}, Carsten Uhd Nielsen^{1*} 4 5 ¹Department of Physics, Chemistry and Pharmacy, University of Southern Denmark, Campusvej 6 55, DK-5230 Odense M, Denmark 7 ²Drug Metabolism and Pharmacokinetics, Janssen R&D, Johnson & Johnson, Turnhoutseweg 30, 8 BE-2340 Beerse, Belgium 9 ³Drug Product Development, Janssen R&D, Johnson & Johnson, Turnhoutseweg 30, BE-2340 Beerse, Belgium 10 11 ⁴Department of Science and Environment, Roskilde University, Universitetsvej 1, DK-4000 12 Roskilde, Denmark 13 *Corresponding author at: Department of Physics, Chemistry and Pharmacy, University of Southern 14 Denmark, Campusvej 55, DK-5230 Odense M, Denmark, phone: +45 6550 9427, e-mail: cun@sdu.dk 15 16 Running title: MSH Particles increase digoxin exposure

Abstract

- 18 In the small intestine, P-glycoprotein (P-gp) may limit the permeability of its substrates, which lead 19 to reduced oral absorption. To circumvent the effect of P-gp, a nanocomposite material termed 20 montmorillonite-surfactant hybrid particles was developed. The particles consisted of 21 montmorillonite, the P-gp-inhibiting, nonionic surfactant, polysorbate 20, and the P-gp substrate, 22 digoxin. The present study aimed to investigate if montmorillonite-surfactant hybrid particles could 23 modulate the absorption of digoxin in vivo. Montmorillonite-surfactant hybrid particles were prepared 24 by lyophilising an aqueous suspension of the constituents. Scanning electron microscopy (SEM), 25 thermogravimetric analysis (TGA), and powder X-ray diffraction (PXRD) revealed an altered surface 26 morphology, decreased water content, and intercalation of polysorbate 20 between montmorillonite 27 layers. The particles were administered orally to Sprague Dawley rats, and digoxin was quantified by liquid chromatography-tandem mass spectrometry. Control digoxin-containing montmorillonite 28 29 decreased the exposure of digoxin. In contrast, montmorillonite-surfactant hybrid particles increased 30 AUC and C_{max} by 31 and 91 %, respectively, compared to digoxin in solution. It was hypothesised 31 that montmorillonite-surfactant hybrid particles increased digoxin exposure by forming mucosa-32 localised elevated concentrations of polysorbate 20 and digoxin, which enhanced the inhibitory effect 33 of polysorbate 20 on P-gp.
- 34 **Keywords:** Intestinal absorption, montmorillonite, nanocomposites, digoxin, P-glycoprotein,
- 35 polysorbate 20
- 36 **Abbreviations:** FWHM, the full width at half maximum; LBF, lipid-based formulation; MSH,
- 37 montmorillonite-surfactant hybrid, P-gp, P-glycoprotein; SPE, solid phase extraction.
- 38 **List of compounds studied:** Polysorbate 20, montmorillonite, digoxin.

1 Introduction

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40 Pharmacokinetic properties of drug substances have gained increased focus in early drug 41 development, as an estimated 10-20 % of drug candidates fail in preclinical development or in clinical 42 trials, because of undesirable pharmacokinetic properties (Cook et al., 2014; Di and Kerns, 2016; 43 Kola and Landis, 2004). These undesirable pharmacokinetic properties are often caused by 44 P-glycoprotein (P-gp) (Di and Kerns, 2016), which is a widely expressed efflux transporter (Thiebaut 45 et al., 1987). In the apical membrane of the intestinal epithelium, P-gp mediates cellular efflux of 46 numerous drug substances, which leads to decreased absorption and bioavailability of the drug 47 substance in question (Leslie et al., 2005; Lin and Yamazaki, 2003). 48 Numerous nonionic surfactants have been shown to inhibit P-gp in cell- and animal models, albeit in 49 relatively high concentrations (Al-Ali et al., 2019; Cornaire et al., 2004; Lo, 2003; Zhang et al., 2003). 50 Polysorbate 20 is among the most potent surfactant-based P-gp inhibitors investigated (Al-Ali et al., 51 2018a; Al-Ali et al., 2018b; Al-Saraf et al., 2016; Gurjar et al., 2018; Lo, 2003). Co-administration of 0.55 g kg⁻¹ polysorbate 20 significantly increased the oral bioavailability of the P-gp substrate, 52 digoxin, in rats from 59 to 84 %, and increased C_{max} by 79 % (Nielsen et al., 2016). Corresponding 53 54 administration of digoxin to mdr1a knockout rats produced an increased bioavailability, and there was no effect of co-administration of polysorbate 20 (Nielsen et al., 2016). This suggested that 55 56 solubilising effects and/or increased passive permeability were not the cause of the increased absorption in wild type rats. However, a polysorbate 20 dose of 0.55 g kg⁻¹ corresponds to a dose of 57 58 approximately 6 g in humans, when a simple proportional weight scaling is applied (Nair and Jacob, 2016), i.e., more than thrice that of the WHO-recommended maximal daily dose of 25 mg kg⁻¹ 59 60 (Sheskey et al., 2017). Thus, there is a need to potentiate the effects of polysorbate 20 on P-gp to 61 develop an applicable polysorbate 20-based formulation for intestinal P-gp inhibition.

In vitro, only 200 μM (246 μg mL⁻¹) polysorbate 20 was required to completely inhibit P-gp-mediated digoxin efflux in Caco-2 cells (Nielsen et al., 2016). Meanwhile, 10 % v/v (110 mg mL⁻¹) polysorbate 20 in the dosing solution was necessary to produce the highest observed inhibition of intestinal P-gp activity, in vivo (Nielsen et al., 2016). This 450-fold difference could be related to the fact that the in vitro transport system is stationary, while the intestinal lumen is a dynamic system with intestinal dilution, intestinal transit, and a redundancy in the area able to mediate absorption. Therefore, a formulation approach may be applied, in which polysorbate 20 and digoxin are released in the vicinity of the epithelial cells to modify the absorption process. We hypothesise that the clay nanomaterial, montmorillonite, can be applied as a drug substance- and excipient carrier in this context. Montmorillonite has previously been investigated as a drug carrier (Aguzzi et al., 2007; Ruiz-Hitzky et al., 2010). Montmorillonite, like other clays, has a distinct layered structure and surface chemistry, and montmorillonite elicits a strong ability to retain cations (Hensen and Smit, 2002). Countless complex possibilities exist when montmorillonite is combined with for example polymers, surfactants, and dyes to form nanocomposites. Many potential applications have been investigated from wound dressings to food packaging and waste water treatment (Kokabi et al., 2007; Rhim et al., 2013; Wang and Wang, 2007). However, the application of montmorillonite-based nanocomposites has received limited attention in the pharmaceutical field. The most common approach has been to intercalate cationic drug substances between montmorillonite layers to obtain either a modulated drug release or a solubilising effect on the drug substance in question (Aguzzi et al., 2007). Neutral drug substances have also been shown to adsorb to montmorillonite surfaces via ion-dipole interactions (Su and Carstensen, 1972), and montmorillonite has been recognised as a possible solid carrier for lipid-based formulations (LBF) (Dening et al., 2017; Dening et al., 2018; Feeney et al., 2016). Calabrese and co-workers have successfully incorporated polysorbate 20 in montmorillonite to obtain delayed release of cinnamic acid (Calabrese et al., 2016; Calabrese et al., 2017), and they showed that

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polysorbate 20 facilitated the release of cinnamic acid from montmorillonite. Their studies also confirm the strong interactions between montmorillonite and polymers or surfactants that contain oxyethylene groups (-CH₂-CH₂-O-), like polysorbate 20 (Aranda and Ruiz-Hitzky, 1992). Additionally, it has been shown that montmorillonite has mucoadhesive properties. For example, it was shown that montmorillonite intercalated with tetracycline displayed mucoadhesive forces to porcine mucus corresponding to 43 % of chitosan, which is a known highly mucoadhesive polysaccharide (Iannuccelli et al., 2015). As a result, studies have focused on montmorillonite and composites hereof to obtain mucoadhesive drug delivery systems for gastroretention or local oral administration (Aguzzi et al., 2007; Calabrese et al., 2013; Iannuccelli et al., 2015; Onnainty et al., 2016). Montmorillonite or other clay-based nanomaterials have not been investigated in pharmaceutical science to obtain modulation of intestinal drug transporters, to our knowledge. Based on literature findings that montmorillonite has mucoadhesive properties and displays modified drug substance release in combination with polysorbate 20, we hypothesise that montmorillonite-surfactant hybrid (MSH) particles intercalated with polysorbate 20 and digoxin may lead to increased exposure of digoxin, compared to corresponding doses of polysorbate 20 and digoxin in simple solutions. The present study aimed to prepare and characterise MSH particles and to assess the pharmacokinetics of digoxin in rats after administration as MSH particles, compared to administration as simple solutions

2 Materials and methods

containing polysorbate 20.

106 2.1 Materials

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- Digoxin, triple deuterated (D₃)-digoxin, polysorbate 20, bovine serum albumin (albumin fraction V)
- 108 > 97 %, montmorillonite as 'nanoclay, hydrophilic bentonite', and all other chemicals in analytical
- grade quality or higher were from Merck KGaA (Germany). Ultrapure water was obtained from an

- in-house Milli-Q purification system (Millipore, MA, USA). Blank rat plasma was from Bioreclamation IVT (NY, USA).
- 112 2.2 Preparation of montmorillonite-surfactant hybrid particles

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- MSH particles were prepared with a fixed 1:1 w/w ratio of montmorillonite and polysorbate 20 along with an amount of digoxin that allowed a constant digoxin dose and variable doses of montmorillonite and polysorbate 20 (Table 1). Furthermore, two control formulations were prepared. One contained montmorillonite and digoxin, designated *digoxin-containing montmorillonite*, and one contained only montmorillonite, designated *lyophilised montmorillonite*.
 - Suspensions of montmorillonite, polysorbate 20, and digoxin were obtained by suspending montmorillonite in 11.0 mL ultrapure water (Milli-Q) in a beaker fitted with a magnet and stirred for 4 h. The pH was 9.5 after hydration of the montmorillonite suspension, and the pH was subsequently adjusted to 7.0 ± 0.1 with HCl. In parallel, polysorbate 20 was added to a screwcap vial together with 1000 μL of a 1.00 mg mL⁻¹ digoxin stock solution in 96 % v/v ethanol. The mixture was ultrasonicated for 30 min to ensure solubilisation of digoxin using an Elmasonic P30H ultrasonic bath (Elma Schmidbauer, Germany). 12.0 mL of ultrapure water was then added, and the polysorbate 20-digoxin mixture was ultrasonicated for 60 min to aid micelle formation. Then, the polysorbate 20-digoxin solution was added to the montmorillonite suspension dropwise (5 min), and the resulting suspension was stirred for 24 h with the pH maintained at 7.5 ± 0.5 by manual addition of microvolumes of 1 M HCl. The suspension was divided into ten separate 10 mL lyophilisation vials and stored at -20 °C overnight. The frozen suspensions were lyophilised in a Beta 2-8 LSCBasic table top freeze dryer (Martin Christ, Germany). Main drying lasted for 40 h, applying a system pressure of 0.200 mbar, a shelf temperature of -25 °C, and a condenser temperature of approximately -85 °C. The final drying lasted for 4 h, applying a system pressure of 0.011 mbar, a shelf temperature of 25 °C, and a condenser temperature of approximately -85°C. Following lyophilisation, the chamber was filled with dry N₂

- gas, and the vials were quickly equipped with rubber stoppers. The total MSH particle content in each
- vial was assessed by weighing the vial before filling and after lyophilisation. The products appeared
- either as cakes or powders depending on the concentration of montmorillonite in the final suspensions
- with increasing montmorillonite amounts resulting in a stable cake.
- 138 2.3 Characterisation of MSH particles
- The MSH particles were characterised by scanning electron microscopy (SEM), thermogravimetric
- analysis (TGA), and powder X-ray diffraction (PXRD).
- 141 2.3.1 Scanning electron microscopy
- 142 SEM was carried out with a Phenom ProX scanning electron microscope (Thermo Fisher Scientific,
- 143 MA, USA). A small amount of powder was mounted on 12 mm stubs with carbon tabs (Agar
- Scientific, UK) and coated with gold by a Q150S rotary-pumped sputter coater-carbon coater
- 145 (Quorum, UK). Imaging was carried out at an accelerating voltage of 5 kV at magnifications
- $\times 175-2900$ and 10 kV at magnifications $\times 4300-29000$.
- 147 2.3.2 Thermogravimetric analysis
- 148 TGA was carried out on a Q500 thermogravimetric analyser (TA Instruments, TX, USA). Samples
- of 2-4 mg was equilibrated at 30 °C for 2 min before the temperature was increased to 700 °C at a
- 150 rate of 10 °C min⁻¹.
- 151 2.3.3 Powder X-ray diffraction
- 152 PXRD was carried out with a PANalytical X'pert PRO multipurpose diffractometer (Malvern
- Panalytical, UK). Scanning was performed with a Cu K α , $\lambda = 1.5406$ Å radiation source in the 20
- range from 3 to 50 ° with a scan speed of 0.254 ° s⁻¹ and a step size of 0.0167 °. The voltage and
- 155 current were set to 45 kV and 40 mA, respectively. Samples were prepared on 16 mm zero
- background plates.

Miller indices were applied to describe the lattice planes in a sample that caused the observed reflections in powder X-ray diffractograms. A lattice plane can be described by three integers (*hkl*), and the main interest of the present study was the (001) reflection, which is the reflection corresponding to the distance between two individual montmorillonite layers. Reflections caused by lattice planes within the individual montmorillonite layers, which were not related to interlayer distance, can be described as (*hk0*) *reflections*. The interlayer spacing of montmorillonite was calculated from the diffraction angles at maximum intensity of (001) reflections using Bragg's law:

$$n \lambda = 2d \sin(\theta) \tag{I}$$

- where n is the number of wavelengths, λ is the wavelength of the X-ray source, d is the interlayer spacing, and θ is the diffraction angle. Reflections were assigned by a comparison with literature (Viani et al., 2002). The full width at half maximum (FWHM) was estimated by manual readouts.

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- 168 The study was carried out in accordance with European and Belgian law controlling the experiments
- on animals. 60 male Sprague Dawley rats (10 groups, n=6) were supplied from Charles River (MA,
- USA) and acclimatised 11-12 days before conductance of the study. At the beginning of the study,
- the animals weighed 245-300 g (approximately 9 weeks of age) and were fasted for about 16 h prior
- to the experiment.
- Animals were dosed by oral gavage with 5 mL kg⁻¹ solutions or suspensions containing 0.02 mg kg⁻¹
- digoxin in 40 % v/v ethanol in water. Dosing overview of the individual groups is shown in Table 2.
- The amount of ethanol administered did not affect the rats' clinical behaviour.
- Blood samples were taken 15, 30, 45, 60, 120, 180, 240, and 360 min after administration. Micro
- sampling was performed by placing the rats in a restrainer and puncturing the tail vein with a 25G
- needle. 64 μL of blood was then collected in a glass capillary (Vitrex Medical, Denmark) and closed

in one end with a sigillum wax plate (Vitrex Medical, Denmark). Capillaries containing blood samples were placed in centrifuge tubes and kept on ice until centrifugation (1900 G, 4 °C, 10 min). After centrifugation, the clear part of the capillary, containing plasma, was cut off, and the plasma was transferred to two 10 µL end-to-end pipettes (Vitrex Medical, Denmark) and placed in two individually labelled 1 mL Fluid X tubes (Brooks Life Sciences, MA, USA) with lids and stored in a 96-well format. Samples were kept at -20 °C until analysis. The rats were euthanised after the last blood sample.

2.4.1 Bioanalysis

Calibration standards of digoxin were prepared in rat plasma to obtain concentrations of $2\text{-}100 \text{ ng mL}^{-1}$. Quality control samples of 8, 50, and 100 ng mL^{-1} solutions were prepared by spiking rat plasma with appropriate amounts of digoxin stock solution. Calibration standards and quality control samples were stored in $10 \mu \text{L}$ end-to-end pipettes placed in Fluid X tubes and kept in a freezer (-20 °C) until sample preparation and were treated like the plasma samples as described below.

To wash out sample plasma from the end-to-end pipettes, $100 \,\mu\text{L} \, 2 \,\%$ w/v bovine serum albumin in phosphate buffer (pH 7.5) was added to the sample tubes, and the samples were shaken horizontally (10 min, 500 min⁻¹) and subsequently centrifuged (5 min, $20 \,^{\circ}\text{C}$, $2300 \times g$). A 55 μL aliquot of the sample was then transferred to a new Fluid X tube, and 55 μL internal standard (25 ng mL⁻¹ D₃-digoxin in methanol) was added. The pH of the resulting mixture was adjusted to 9 by addition of 25 μL of a 2 M ammonium acetate solution followed by dilution with 175 μL Milli-Q purified water. The samples were shaken by vortex mixing after each addition.

Oasis® HLB 96 well solid phase extraction (SPE) plates, 30 μ m particle size, 30 mg sorbent per well (Waters, MA, USA) were conditioned with 1 mL methanol, 1 mL Milli-Q purified water, and 3 \times 0.5 mL 0.1 M ammonium acetate (pH 9). Positive pressure (\sim 3 psi) was applied after each addition, until

the resin was dry. Subsequently, the entire sample volume (310 µL) was transferred to the conditioned SPE well plates and positive pressure (~ 1.5 psi) was applied to load the samples slowly. The SPE wells were then washed with 3×0.5 mL 0.1 M ammonium acetate (pH 9). A positive pressure (~ 3 psi) was applied after each addition, until the resin was dry. The samples were then eluted from the SPE resin with 2×0.5 mL and 1×0.2 mL ethanol into a new 96-well plate. The eluent was then dried under a 40 L min⁻¹ flow of dry N₂ at room temperature (Porvair Minivap, Porvair Sciences, UK) and reconstituted in 300 µL 1:1 methanol:water mixture followed by vortex mixing. After a centrifugation step (10 min, 6000 × g) the samples were transferred to a round 96-well plate for chromatographic analysis. Liquid chromatography-tandem mass spectrometry (LC-MS/MS) analysis was carried out on a 6500 Sciex triple quad instrument (ABSciex, Canada), which was coupled to a UHPLC-system (Shimadzu, Japan). The chromatographic separation was carried out using a reversed phase UPLC column (Acquity BEH C18, 1.7 µm, 50×2.1 mm, Waters, MA, USA). The mobile phases consisted of 0.01 M ammonium carbonate (solvent A) and methanol (solvent B), and a gradient elution at 45 °C was performed (starting at 50 % solvent A, 50 % solvent B to 75 % solvent B in 2.3 min, followed by isocratic hold at 95 % B for 1.19 min and re-equilibration to 50 % B in 0.99 min). Total run time was 4.5 min and a flow rate of 0.3 mL min⁻¹ was applied. The LC-MS/MS was operated in positive ion mode using the TurboIonSprayTM-interface (electrospray ionisation), and was optimised for the quantification of digoxin, applying multiple

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reaction monitoring (m/z 798.6 $\rightarrow m/z$ 651).

The calibration curve ranged from 2-100 ng mL⁻¹ and linear regression with a weighing factor of $1/x^2$ was used to produce the best fit for the concentration-detector response relationship. The lower limit of quantification was 2 ng mL⁻¹. The accuracy of all batches, as measured by independent quality

control samples, were between 80-120 % of the nominal value over the entire range for the plasma samples.

227 2.4.2 Data analysis

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The AUC of digoxin in the pharmacokinetic profile in the range 0-6 h was calculated by the trapezoidal method. First order elimination was assumed, and the elimination rate constant (k_e) of digoxin was estimated by performing linear regression of Ln to the plasma concentrations as a function of time using data points at 2, 3, 4, and 6 h. The slope of the resulting regression was - k_e . R^2 values were generally above 0.90. Plasma profiles were also fitted to zero order elimination with a simple linear regression for the time points stated above. All formulations, except digoxin-containing montmorillonite, displayed a higher R^2 of the fit with first order elimination. It was assumed that the formulations did not affect elimination, and that the difference observed for digoxin-containing montmorillonite was caused by prolongation of absorption into the elimination phase, rather than altered elimination. For this reason, plasma profiles for the treatment with digoxin-containing montmorillonite was still analysed as first order elimination.

The $t_{1/2}$ of digoxin was calculated:

$$t_{\frac{1}{2}} = \frac{\ln(2)}{k_e}$$
 (II)

- Each analysis of AUC_{0-6h} and elimination was performed for each individual data set, before statistical analysis.
- 242 2.4.3 Statistical analysis
- Statistical analysis was performed in GraphPad Prism 8.1.2. The pharmacokinetic parameters, AUC_{0-6h}, C_{max}, the plasma concentration at the first sampling point (C_{15 min}), and t_{1/2} of each group of animals were compared by one-way ANOVA, followed by a Dunnett's test in the following order:
- 246 Co-administration of the three doses of polysorbate 20 was compared to digoxin administered alone,

and administration of MSH particles A-E was compared to both digoxin administered alone and to digoxin-containing montmorillonite in two separate analyses. A student's t test was applied to compare the pharmacokinetic parameters after administration of digoxin-containing montmorillonite and digoxin only. All P-values below 0.05 were considered statistically significant.

3 Results

- 252 3.1 Characterisation of MSH particles
- 253 The untreated montmorillonite was light-brown or beige, as was the lyophilised- and digoxin-
- 254 containing montmorillonite formulations without polysorbate 20. In contrast, polysorbate 20-
- containing MSH particle formulations were more pale and had an off-white colour.
- 256 3.1.1 Scanning electron microscopy
- 257 The shape and surface morphology of the montmorillonite particles was investigated by SEM.
- 258 Untreated montmorillonite (Fig. 1A) contained pores of irregular shape with the observed perimeter
- diameters in the range 0.8-2.5 µm. The surface morphology of digoxin-containing (Fig. 1B) and
- 260 lyophilised montmorillonite (Fig. S2B) appeared similar on the SEM images. Comparison of the SEM
- 261 images of untreated montmorillonite with lyophilised montmorillonite and digoxin-containing
- 262 montmorillonite, showed that lyophilisation resulted in a more porous structure with pore diameters
- of 0.5-3 µm, which was also observed when polysorbate 20 was intercalated (Fig. 1C and D).
- Additionally, when polysorbate 20 was intercalated, the pores and the appearance of the particle
- surface for MSH particles changed to smoother and more circle- or ellipse-shaped pores, as compared
- to digoxin-containing and lyophilised montmorillonite.
- 267 The particle shape changed from spheres to irregular particles with sharp edges upon lyophilisation
- 268 (Fig. S1). The observed perimeter diameter of the untreated montmorillonite particles was in the range
- of 4-50 µm. The irregular MSH particles and digoxin-containing montmorillonite was in the range of
- 270 2-200 μm, while lyophilised montmorillonite was in the range of 2-500 μm (Fig. S2A).

3.1.2 Thermogravimetric analysis

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272 The composition and stability of the starting compounds and the prepared formulations were assessed 273 by TGA (Fig. 2). The characteristic temperature intervals and corresponding thermogravimetric mass 274 loss are given in Table S1. Untreated montmorillonite contained 11 % physically adsorbed water and 275 4 % interlayer water. Dehydroxylation of the untreated montmorillonite accounted for a 3 % mass 276 loss, resulting in a mass of 83 % left in the pan after heating to 700 °C (residual mass). Polysorbate 20 277 contained 2 % water and 96 % mass was lost during degradation, which left a residual mass of 1 %. 278 Lyophilised and digoxin-containing montmorillonite contained less physically adsorbed water than 279 untreated montmorillonite with 5 and 7 %, respectively, and 4 % interlayer water. Furthermore, 280 lyophilised and untreated montmorillonite displayed an 87 % and 86 % residual mass, respectively 281 (Table S1). These residuals correspond to untreated montmorillonite, when corrected for water 282 content. 283 MSH particles displayed an even lower content of both physically adsorbed water at 1 % and interlayer water at 1 %. Degradation of polysorbate 20 led to a 53 % mass loss, and montmorillonite 284 285 dehydroxylation accounted for 1 % mass loss, which resulted in a 45 % residual, corresponding to montmorillonite content. Additionally, comparison of polysorbate 20 and MSH particles showed that 286 287 polysorbate 20 decomposed at a lower temperature, when it was incorporated into MSH particles as 288 the polysorbate 20 degradation was shifted approximately 35 °C down.

289 3.1.3 Powder X-ray diffraction

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The interlayer spacing of montmorillonite in the MSH particles was investigated by PXRD, as shown in Fig. 3. The interlayer spacing, which was determined from the (001) reflection was 14.9 $\rm \mathring{A}$ in untreated montmorillonite and increased to 18.0 $\rm \mathring{A}$ in MSH particles. The (001) reflection for untreated montmorillonite displayed a FWHM of 1.66 $\rm \mathring{e}$, while the (001) reflection of MSH particles

- was narrower with a FWHM of 1.00 °. Additionally, the (002), (003), (005), and (006) reflections
- 295 were present in the MSH particle diffractogram, but not in the untreated montmorillonite
- 296 diffractogram. For both digoxin-containing montmorillonite and lyophilised montmorillonite, none
- of the (00*l*) reflections were observed (Fig. 3).
- 298 At larger diffraction angles, all formulations showed reflections at approximately 20, 35, and 40 °.
- 299 They can all be assigned to (hk0) reflections or combinations including these, which were all
- 300 independent of the orientation of the individual montmorillonite layers. Reflections at 29 ° were only
- 301 present for untreated montmorillonite, digoxin-containing montmorillonite, and lyophilised
- 302 montmorillonite.
- 303 3.2 *In vivo* study
- The pharmacokinetics of digoxin was investigated in male Sprague Dawley rats, when polysorbate 20
- was co-administered in simple solutions and in MSH particles. The pharmacokinetic profiles are
- shown in Fig. 4, while obtained pharmacokinetic parameters are summarised in Table 3. The effects
- of the applied formulations were most notable on C_{max} and $C_{15 min}$. Overall, the plasma concentration
- of digoxin reached a maximum within the first 45 min (Table 3). Elimination generally followed first
- order kinetics and there was no apparent correlation between formulation type and $t_{1/2}$ (Table 3).
- Formulations that contained polysorbate 20 in simple solutions did not alter AUC_{0-6h}. However, they
- tended to decrease t_{max} and increase C_{max} and $C_{15 min}$ of digoxin (Fig. 4A). $C_{15 min}$ was increased in a
- 312 statistically significant manner for co-administration of 55 and 274 mg kg⁻¹ polysorbate 20 (Table 3).
- 313 When digoxin was administered as digoxin-containing montmorillonite, a great alteration of the
- 314 pharmacokinetic profile was observed (Fig 4B). Administration of digoxin-containing
- 315 montmorillonite resulted in significantly lowered AUC_{0-6h}, C_{max} and C_{15 min}, compared to digoxin only
- 316 (Table 3), and $C_{\text{max}}/t_{\text{max}}$ could not clearly be defined (Fig 4B).

In contrast, when digoxin was administered as MSH particles, containing montmorillonite *and* polysorbate 20, AUC_{0-6h}, C_{max}, and C_{15 min} all increased 2-4-fold, compared to digoxin-containing montmorillonite (Table 3). For doses of 137-548 mg kg⁻¹ montmorillonite and polysorbate 20, these increases were statistically significant. In concordance, the incorporation of polysorbate 20 also led to decreased t_{max} (Table 3). Compared to digoxin administered alone, MSH particles increased AUC_{0-6h}, C_{max} and C_{15 min}, and the increase in C_{max} and C_{15 min} for the 548 mg kg⁻¹ MSH formulation was statistically significant (Table 3).

In some cases, MSH particles also tended to increase both AUC_{0-6h} and C_{max} of digoxin, compared to co-administration of polysorbate 20 in simple solutions in the corresponding doses. For example, co-administration of 548 mg kg⁻¹ polysorbate 20 as MSH particles increased AUC_{0-6h} and C_{max} of digoxin 31 % and 32 %, compared to co-administration of 548 mg kg⁻¹ polysorbate 20 in a simple solution

4 Discussion

(Fig. 4D).

- 330 4.1 Polysorbate 20 is intercalated in MSH particles
 - The obtained MSH particles were solid, even though they consisted of 52 % polysorbate 20, which is liquid at room temperature. This phase transition may occur, because polysorbate 20 was adsorbed to the montmorillonite surfaces. This was supported by the lighter colour of the MSH particles, which indicated that polysorbate 20 coated the montmorillonite surface, as also reflected by the particle morphology according to SEM. Lyophilised montmorillonite, digoxin-containing montmorillonite, and MSH particles were subjected to the same lyophilisation cycle, but the total water content was considerably lower in MSH particles. The lower interlayer water content suggested that the intercalation of polysorbate 20 led to extrusion of water from the interlayer spaces. The destabilisation of polysorbate 20, when incorporated in MSH particles, as indicated by TGA, was also observed by

340 Calabrese and co-workers (Calabrese et al., 2016), and similar trends have been presented with similar 341 nanocomposites (Liu et al., 2003). 342 The structure of individual montmorillonite layers was conserved in all the samples, as evident by the 343 combined (hk0) reflections obtained with PXRD. The (001) reflection shifted to a lower diffraction 344 angle for MSH particles as compared to untreated montmorillonite, which implied an increased interlayer distance from 14.9 to 18.0 Å. This 3.1 Å increase agrees well with previous studies 345 (Calabrese et al., 2016; Calabrese et al., 2017). Under the assumption that the thickness of an 346 347 individual montmorillonite layer is 10 Å (Ploehn and Liu, 2006), the resulting distance between individual montmorillonite layers was 8 Å, corresponding to 5-6 C-C alkane bonds (Skinner, 1945). 348 349 This distance indicated a relatively flat conformation of polysorbate 20, and effectively excluded the 350 possibility of micelle-like bilayer conformations or similar. 351 The (001) reflection was absent in digoxin-containing and lyophilised montmorillonite, which implied exfoliation of montmorillonite layers as illustrated in Fig. 5. Furthermore, the appearance of 352 353 (002), (003), (005), and (006) and a narrower (001) reflection in the MSH particle diffractogram 354 suggested increased stacking order. Hence, lyophilisation of montmorillonite suspensions seems to lead to exfoliation of individual layers. In contrast, when polysorbate 20 was introduced in the 355 356 preparation of MSH particles, which were subjected to the same lyophilisation cycle, montmorillonite 357 layers were not exfoliated. Instead, polysorbate 20 assisted in the stacking of montmorillonite layers 358 and increased the stacking order of montmorillonite layers. The presence of the reflection at 29 ° for untreated montmorillonite and digoxin-treated 359 montmorillonite and the absence of the same reflection for MSH particles has not conclusively been 360 361 understood. The reflection could simply have been overshadowed in the diffractogram of MSH 362 particles.

Characterisation of MSH particles by SEM, PXRD, and TGA have proven a strong interaction between polysorbate 20 and montmorillonite. Many different interactions between clays and organic compounds have previously been described (Ruiz-Hitzky et al., 2010). Some of those are also suggested here: i) ion-dipole interactions between oxyethylene (-CH₂-CH₂-O-) units of polysorbate 20 and the negative surface charge of montmorillonite; ii) hydrogen bonding between end hydroxyl groups of polysorbate 20 and the siloxane surface of montmorillonite.

4.2 Polysorbate 20-containing solutions modulated digoxin pharmacokinetics

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The experimental design did not allow for thorough estimation of digoxin absorption rate constant from the intestine, and for this reason, the first sampling point at 15 min was taken as a rough estimate of absorption rate. Polysorbate 20 in the simple solution tended to increase C_{max} and C_{15 min} and decreased t_{max}, which was also shown by Nielsen and co-workers in a previous study (Nielsen et al., 2016). However, in contrast to this previous study, no change was observed in AUC_{0-6h} in the present study. Overall, AUC-values were generally lower in the present study compared to the study by Nielsen and co-workers. This may partly be caused by the inclusion of the AUC_{6-∞} part by Nielsen and co-workers, which was not included in the present study. The less pronounced effect of polysorbate 20 on digoxin pharmacokinetics may also partly be attributed to differences in quantification methods and variation between animals and raw materials. Variation of the composition between brands and lots of polysorbate 20 is well-documented (Hewitt et al., 2011), which may influence the function of polysorbate 20 as a P-gp inhibitor. Furthermore, previous studies on inhibition of intestinal transporters and carriers have also shown a clear modulation of the pharmacokinetic profile and effects on C_{max} and t_{max}, but with no effects on AUC (Broberg et al., 2012; Nohr et al., 2014). When preceding evidence is considered, the present study still indicates that polysorbate 20 modulated the pharmacokinetics of digoxin, despite limited statistical strength. The

modulation of digoxin pharmacokinetics was ascribed to the inhibition of intestinal P-gp, leading to lowered efflux, and increased intestinal absorption of digoxin.

4.3 Montmorillonite-surfactant hybrid particles increased digoxin exposure

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When digoxin-containing montmorillonite was administered, the AUC_{0-6h}, C_{max}, and C_{15 min} decreased compared to digoxin only, likely because of retention of digoxin by montmorillonite in the formulation, which led to less digoxin available for absorption. This phenomenon has also been observed by Dening and co-workers (Dening et al., 2018) when the lipophilic and cationic drug substance, blonanserin, was intercalated into montmorillonite. Dening and co-workers investigated blonanserin release from montmorillonite in a USP dissolution setup, where only 13 % was released after 12 h. Accordingly, bioavailability in Sprague Dawley rats for montmorillonite-intercalated blonanserin was reduced by 35 %, compared to the pure drug suspension (Dening et al., 2018). The retention of digoxin in the present study showed that montmorillonite can also effectively adsorb uncharged drug substances. Therefore, montmorillonite alone appeared to be unsuitable for increasing oral digoxin absorption. Nevertheless, the lowering of digoxin exposure by digoxin-containing montmorillonite was contrasted by the tendency of the MSH particles to increase the exposure of digoxin. We therefore suggest that polysorbate 20 facilitated digoxin release from the MSH particles and also inhibited P-gp activity, leading to an increased digoxin exposure. At present, it was not possible to unequivocally distinguish these two effects from each other. Additionally, we suggest that local co-release of digoxin and polysorbate 20 may have caused the observed increased exposure by elevation of both polysorbate 20- and digoxin mucosal concentrations, compared to polysorbate 20 and digoxin in simple solutions. However, neither the physicochemical characterisation nor the in vivo performance of MSH particles have been able to confirm the underlying mechanism, and further studies of the MSH particle-mucosa interaction are needed.

When the effects of MSH particles and digoxin-containing montmorillonite are compared, montmorillonite exhibited a dual function with respect to digoxin retention and enhancement of P-gp inhibition. This was observed as a decreased digoxin exposure for digoxin-containing montmorillonite, relative to control, but also as an enhancement of digoxin exposure, when montmorillonite was intercalated with polysorbate 20 in MSH particles. The observed effects of digoxin-containing montmorillonite and MSH particles in vivo and the proposed mechanisms have been illustrated in Fig. 5. Clays and other solid carriers have also been applied to solidify LBFs, and some examples exists, where the solidified LBF outperforms the liquid LBF in vivo (Dening et al., 2018; Tan et al., 2013) – similarly to what have been observed in the present study. For example, a solidified silica-lipid hybrid formulation of blonanserin tended to increase blonanserin AUC by 24 %, compared to a corresponding medium-chain triglyceride solution (Dening et al., 2018). In the present study, polysorbate 20 presented a dual function in MSH particles with the ability to inhibit P-gp and to facilitate release of digoxin from montmorillonite surfaces. The facilitation of drug release from montmorillonite by polysorbate 20 was also observed by Calabrese and co-workers (Calabrese et al., 2017). 100 % release of the anionic compound, cinnamic acid, was achieved after 6 h from a montmorillonite-polysorbate 20 hybrid, whereas only 80 % was released from pure montmorillonite (Calabrese et al., 2017). Digoxin-containing montmorillonite retained digoxin and decreased digoxin exposure, while MSH particles increased digoxin exposure. Therefore, changing the ratio between montmorillonite and polysorbate 20 in future formulations may produce enhanced polysorbate 20-mediated P-gp

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inhibition leading to increased P-gp substrate exposure.

5 Conclusions

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432 The present study is the first to apply a surfactant-containing nanocomposite material to modulate an 433 intestinal efflux transporter, to our knowledge. Characterisation of MSH particles showed that polysorbate 20 affected morphologic appearance of montmorillonite, was intercalated in the 434 435 interlayer spaces of montmorillonite, and that polysorbate 20 assisted in ordered stacking of 436 montmorillonite layers. 437 In vivo, MSH particles showed a tendency to increase digoxin exposure via P-gp inhibition, both 438 compared to digoxin administered alone and compared with co-administration of corresponding 439 polysorbate 20 doses in simple solutions. Furthermore, digoxin-containing montmorillonite, without 440 polysorbate 20, decreased digoxin exposure. This enhancement in digoxin exposure, when 441 administered as MSH particles, may be caused by mucosa-localised elevated concentrations of both

6 Author information

Author contribution

446 Conception and design of the study: RBN, AK, LD, KW, JS, UGN, RH, and CUN. Acquisition of

digoxin and polysorbate 20, which led to a more effective inhibition of P-gp. However, more research

- data: RBN and AK. Analysis and interpretation of data: RBN, AK, LD, KW, JS, UGN, RH, and CUN.
- Drafting the article: RBN, UGN, RH, and CUN. Critical revising and final approval of the version
- submitted: RBN, AK, LD, KW, JS, UGN, RH, and CUN.

is required to fully understand the underlying mechanism.

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454 Ulla Gro Nielsen 0000-0002-2336-3061 455 Carsten Uhd Nielsen 0000-0001-5776-6865 456 **Notes**: The authors declare no competing financial interests. 457 7 Acknowledgements Researchers and technicians at Janssen R&D and The University of Southern Denmark, who helped 458 459 set up, conduct, and analyse various experiments, including Bjarke Strøm Larsen, Maria Læssøe 460 Pedersen, Nicholai Daugaard Jensen, Dorthe Bomholdt Ravnsbæk, Tae-Hyun Kim, Dries 461 Versweyveld, Sanket Shah, Jasmine Bogaerts, Elene De Cleyn, Kore Van Mechelen, and Luc Sips 462 are hereby acknowledged. 463 The mobility action related to the project was financially supported by the Erasmus+ Programme, 464 Oticon Fonden, Knud Højgaards Fond, F.W. Frank og Hustru Angelina Franks Mindelegat, and 465 Henry og Mary Skovs Fond.

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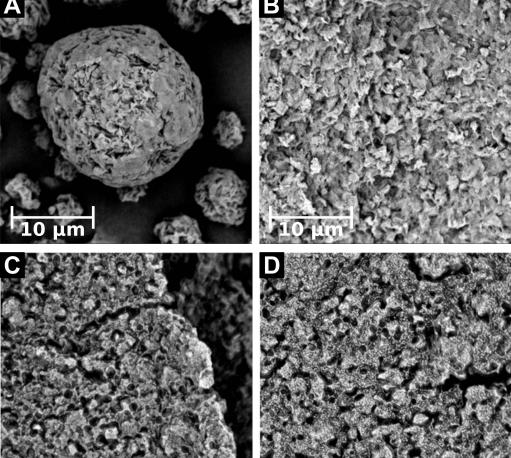
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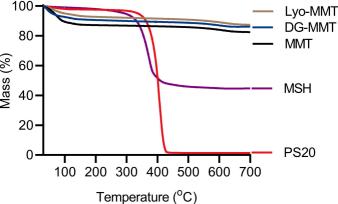
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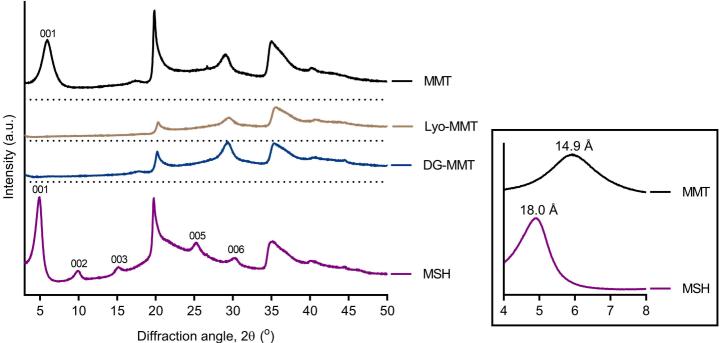
9 Figure Legends

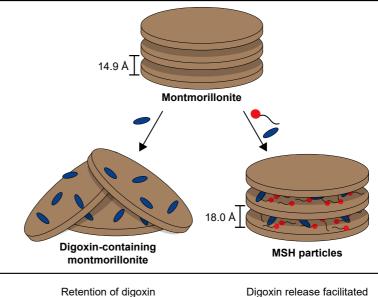
- **Fig. 1:** Representative scanning electron microscopy images of A) Untreated montmorillonite, B)
- 588 digoxin-containing montmorillonite, C) montmorillonite-surfactant hybrid (MSH) particles
- formulation A, and D) MSH E. $\times 8700$ magnification. Scaling bar = 10 μ m.
- 590 Fig. 2: Representative runs of thermogravimetric analysis of 2-4 mg aliquots of untreated
- 591 montmorillonite (MMT), lyophilised montmorillonite (Lyo-MMT), digoxin-containing
- montmorillonite (DG-MMT), montmorillonite-surfactant hybrid particles (MSH), and polysorbate 20
- 593 (PS20). Equilibrated at 30 °C, heated to 700 °C at a rate of 10 °C min⁻¹.
- 594 **Fig. 3:** X-ray diffractograms and *hkl*-indexing of untreated montmorillonite (MMT), lyophilised
- 595 montmorillonite (Lyo-MMT), digoxin-containing montmorillonite (DG-MMT), and
- 596 montmorillonite-surfactant hybrid particles (MSH) formulation A (representative of all MSH
- 597 formulations). Stacked diffractograms, dotted lines represent 0 for each one. Insert: Magnification of
- 3-8 °. Cu K_α radiation source ($\lambda = 1.5406 \text{ Å}$) over the range of 3-50 °20 with a scan speed of 0.254 °
- 599 s⁻¹ and a step size of 0.0167°. Intensity in arbitrary units (a.u.).
- **Fig. 4:** Time-concentration profiles of digoxin after oral administration of 0.2 mg kg⁻¹ digoxin to
- fasted male Sprague Dawley rats (245-300 g) as solutions or suspensions in 40 % v/v ethanol in water.
- 602 Comparisons of A) digoxin administered alone in solution, with co-administration of 55, 274, or 548
- mg kg⁻¹ polysorbate 20 (PS20), B) as digoxin-containing montmorillonite (DG-MMT, 548 mg kg⁻¹
- 604 MMT) or as montmorillonite-surfactant hybrid (MSH) particles containing 55-137 mg kg⁻¹
- polysorbate 20 (PS20) and 55-137 mg kg⁻¹ montmorillonite (MMT) in a 1:1 ratio, and C) as
- montmorillonite-surfactant hybrid (MSH) particles containing 274-548 mg kg⁻¹ MMT and 274-548
- mg kg⁻¹ PS20 in a 1:1 ratio. D) is an additional representation of selected formulations for direct

- 608 comparison. Values are given as mean ± SEM, n=6. All lines are simple connecting lines for
- overview.
- Fig. 5. Illustration of the observed effects of the treatment of montmorillonite with digoxin (blue) or
- digoxin and polysorbate 20 (red) prior to lyophilisation to form digoxin-containing montmorillonite
- and montmorillonite-surfactant hybrid (MSH) particles, respectively. Digoxin-containing
- 613 montmorillonite is exfoliated (no stacking order), and MSH particles elicit increased stacking order
- and an increase of interlayer distance from 14.9 Å in untreated montmorillonite to 18.0 Å. Overview
- of the observed effects in vivo after oral administration of digoxin-containing montmorillonite and
- 616 montmorillonite-surfactant hybrid (MSH) particles.
- 617 Fig. S1: Scanning electron microscopy images of A) Untreated montmorillonite, B) digoxin-
- containing montmorillonite, C) montmorillonite-surfactant hybrid (MSH) particles formulation A and
- 619 D), MSH E. \times 430 magnification. Scaling bar = 200 μ m.
- 620 **Fig. S2:** Scanning electron microscopy images of lyophilised montmorillonite. A) ×430 and B) ×8700
- magnification. Scaling bars = 10 and $200 \mu m$ respectively.
- **Table 1.**
- **Table 2.**
- 624 **Table 3.**
- **Table S1.**
- 626 10 Supplementary Material
- 627 *Fig. S1*
- 628 *Fig. S2*
- 629 *Table S1*









Digoxin adsorption to montmorillonite

Intestinal digoxin absorption

P-qp inhibition No effect

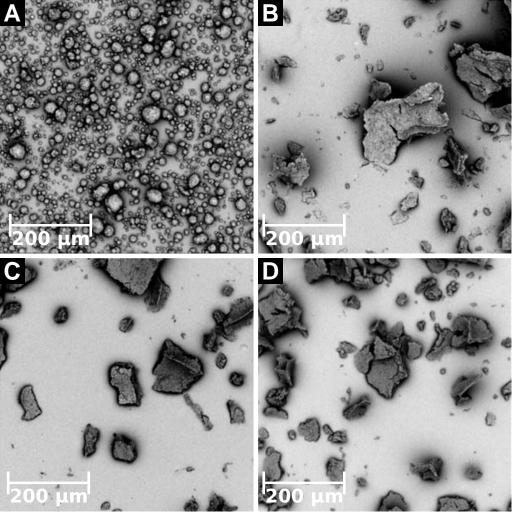
Lowered amount

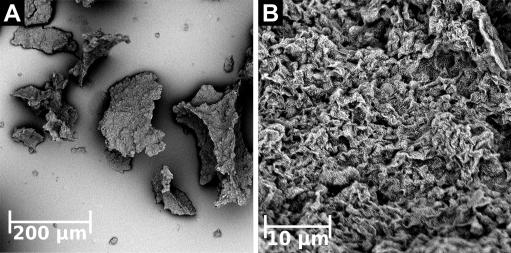
available for absorption

Digoxin release facilitated by montmorillonite by polysorbate 20

Increased absorption

Enhanced polysorbate 20-mediated P-gp inhibition by local co-release





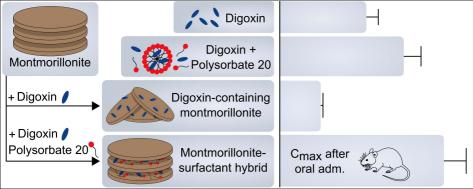


Table 1. Overview of prepared formulations

The prepared formulations including the added amounts of montmorillonite, polysorbate 20, and digoxin as well as the calculated final content of these (% w/w).

Formulation	Amou	int added (mg)		Final content (% w/w)			
Formulation	Montmorillonite	Polysorbate 20	Digoxin	Montmorillonite	Polysorbate 20	Digoxin	
MSH A	2739	2750	1.00	50	50	0.018	
MSH B	2052	2051	1.00	50	50	0.024	
MSH C	1368	1372	1.00	50	50	0.036	
MSH D	679	689	1.00	50	50	0.073	
MSH E	273	275	1.00	50	50	0.182	
Lyophilised montmorillonite	2738	-	-	100	-	-	
Digoxin-containing montmorillonite	2738	-	1.00	100	-	0.037	

MSH, montmorillonite-surfactant hybrid

Table 2. *In vivo* study overview

Overview of administered dose of polysorbate 20, montmorillonite, and digoxin along with the amount of polysorbate 20 in the dosing formulation (% v/v) for each group of male Sprague Dawley rats.

Group number	1	2	3	4	5	6	7	8	9	10
Formulation		Solution			Digoxin-containing montmorillonite suspension	MSH particle suspension				
Polysorbate 20 in dosing formulation (% v/v)	-	1	5	10	-	1	2.5	5	7.5	10
Digoxin dose (mg kg ⁻¹)	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Polysorbate 20 dose (mg kg ⁻¹)	-	55	274	548	-	55	137	274	411	548
Montmorillonite dose (mg kg ⁻¹)	-	-	-	-	548	55	137	274	411	548

MSH, montmorillonite-surfactant hybrid

Table 3. Estimated pharmacokinetic parameters.

Formulation	AUC _{0-6h} ± SEM (μg min mL ⁻¹)	C _{max} ± SEM (ng mL ⁻¹)	C _{15 min} ± SEM (ng mL ⁻¹)	t _{max} [Q1;Q3] (min)	t _{1/2} ± SEM (h)
Digoxin	6.05 ± 0.86	29.6 ± 4.9	21.8 ± 1.5	45 [30;60]	2.27 ± 0.15
+ 55 mg kg ⁻¹ polysorbate 20	6.07 ± 0.46	43.8 ± 4.1	37.9 ± 4.6*	22.5 [15;48.8]	1.76 ± 0.18
+ 274 mg kg ⁻¹ polysorbate 20	5.75 ± 0.75	41.1 ± 4.0	35.6 ± 3.1*	22.5 [15;33.8]	1.84 ± 0.16
+ 548 mg kg ⁻¹ polysorbate 20	6.19 ± 0.63	42.6 ± 6.9	34.5 ± 4.2	30 [15;45]	2.42 ± 0.16
Digoxin-containing montmorillonite (548 mg kg ⁻¹ montmorillonite)	2.78 ± 0.21 *	14.1 ± 0.9*	12.6 ± 1.2*	37.5 [15;48.8]	2.78 ± 0.34
MSH (55 mg kg ⁻¹ montmorillonite & polysorbate 20)	$5.14 \pm 0.34^{\#}$	33.0 ± 2.4	27.9 ± 3.5	30 [15;45]	2.46 ± 0.25
MSH (137 mg kg ⁻¹ montmorillonite & polysorbate 20)	6.81 ± 0.59#	$51.2\pm8.0^{\#}$	37.3 ± 4.3#	37.5 [30;45]	2.54 ± 0.36
MSH (274 mg kg ⁻¹ montmorillonite & polysorbate 20)	$6.58 \pm 0.66^{\#}$	$50.8 \pm 7.5^{\#}$	49.9 ± 7.9*#	15 [15;22.5]	1.99 ± 0.15
MSH (411 mg kg ⁻¹ montmorillonite & polysorbate 20)	7.71 ± 0.88 #	$46.7 \pm 8.5^{\#}$	$38.6 \pm 6.1^{\#}$	37.5 [15;48.8]	2.30 ± 0.19
MSH (548 mg kg ⁻¹ montmorillonite & polysorbate 20)	$7.94 \pm 0.66^{\#}$	56.4 ± 9.0*#	41.1 ± 4.5*#	30 [30;45]	1.94 ± 0.08

 $\overline{C_{15\,\text{min}}}$, the plasma concentration at the first sampling point (15 min); MSH, montmorillonite-surfactant hybrid particles. t_{max} is given as median [25th percentile;75th percentile]. Significantly different from digoxin only marked by * and significantly different from digoxin-containing montmorillonite marked by $^{\#}$ (p < 0.05).

Table S1. Thermogravimetric mass loss of applied formulations by temperature intervals.

E	Mass lost (%) in temp. interval							
Formulation	30 - 100 °C	100 - 160 °C 160 - 500 °C		500 - 700 °C	700 °C			
	Evap. adsorbed water	Evap. interlayer water	Evap. interlayer water + polysorbate 20 decomp.	Montmorillonite dehydroxylation	Residual			
Untreated montmorillonite	10.6	2.0	1.6	3.3	82.5			
Lyophilised montmorillonite	5.0	1.9	2.3	3.4	87.4			
Digoxin-containing montmorillonite	7.4	1.7	2.2	2.6	86.1			
Polysorbate 20	2.0	0.3	96.3	0.0	1.4			
MSH particles	0.9	0.5	52.7	1.2	44.7			

MSH, montmorillonite-surfactant hybrid. The defined intervals were ascribed to evaporation (evap.) of adsorbed water, interlayer water, polysorbate 20 decomposition (decomp.), and montmorillonite dehydroxylation.