

Roskilde **University**

A new network for the advancement of marine biotechnology in Europe and beyond

Rotter, Ana; Bacu, Ariola; Barbier, Michèle; Bertoni, Francesco; Bones, Atle; Cancela, Leonor; Carlsson, Jens; Carvalho, Maria; Ceglowska, Marta; Dalay, Meltem; Dailianis, Thanos; Deniz, Irem; Drakulovic, Dragana; Dubnika, Arita; Einarsson, Hjörleifur; Erdogan, Aysegül; Eroldogan, Tufan; Ezra, David; Fazi, Stefano; FitzGerald, Richard; Gargan, Laura; Gaudencio, Susana: DeNardis, Nadica: Joksimovic, Danijela: Katarzyte, Marija: Kotta, Jonne: Mandalakis, Manolis; Matijosyte, Inga; Mazur-Marzec, Hanna; Massa-Gallucci, Alexia; Mehiri, Mohamed; Nielsen, Søren Laurentius; Novoveská, Lucie; Overlinge, Donata; Portman, Michelle; Pyrc, Krzysztof; Rebours, Céline; Reinsck, Thorsten; Reyes, Fernando; Rinkevich, Baruch; Robbens, Johan; Rudovica, Vita; Sabotic, Jerica; Safarik, Ivo; Talve, Siret; Tasdemir, Deniz; Schneider, Xenia; Thomas, Olivier; Torunska-Sitarz, Anna; Varese, Giovanna; Vasquez, Marlen

Published in: Frontiers in Marine Science

10.3389/fmars.2020.00278

Publication date: 2020

Document Version Peer reviewed version

Citation for published version (APA):

Rotter, A., Bacu, A., Barbier, M., Bertoni, F., Bones, A., Cancela, L., Carlsson, J., Carvalho, M., Ceglowska, M., Dalay, M., Dailianis, T., Deniz, I., Drakulovic, D., Dubnika, A., Einarsson, H., Erdogan, A., Eroldogan, T., Ezra, D., Fazi, S., ... Vasquez, M. (2020). A new network for the advancement of marine biotechnology in Europe and beyond. Frontiers in Marine Science, 7(7), Article 278. https://doi.org/10.3389/fmars.2020.00278

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain.
 You may freely distribute the URL identifying the publication in the public portal.

Take down policy

If you believe that this document breaches copyright please contact rucforsk@kb.dk providing details, and we will remove access to the work immediately and investigate your claim.



A new network for the advancement of marine biotechnology in Europe and beyond

Ana Rotter^{1*}, Ariola Bacu², Michele Barbier³, Francesco Bertoni⁴, Atle M. Bones⁵, M. L. Cancela⁶, Jens Carlsson⁷, Maria F. Carvalho⁸, Marta Cegłowska⁹, Meltem Conk Dalay¹⁰, Thanos Dailianis¹¹, Irem Deniz¹², Dragana Drakulovic¹³, Arita Dubnika¹⁴, Hjörleifur Einarsson¹⁵, Ayşegül Erdoğan¹⁶, Orhan T. Eroldoğan¹⁷, David Ezra¹⁸, Stefano Fazi¹⁹, Richard J. FitzGerald²⁰, Laura M. Gargan⁷, Susana P. Gaudêncio²¹, Nadica Ivošević DeNardis²², Danijela Joksimovic¹³, Marija Kataržytė²³, Jonne Kotta²⁴, Manolis Mandalakis¹¹, Inga Matijošytė²⁵, Hanna Mazur-Marzec^{9, 26}, Alexia Massa-Gallucci²⁷, Mohamed Mehiri²⁸, Søren L. Nielsen²⁹, Lucie Novoveská³⁰, Donata Overlingė²³, Michelle E. Portman³¹, Krzysztof Pyrc³², Céline Rebours³³, Thorsten Reinsch³⁴, Fernando Reyes³⁵, Baruch Rinkevich³⁶, Johan Robbens³⁷, Vita Rudovica³⁸, Jerica Sabotič³⁹, Ivo Safarik^{40, 41}, Siret Talve⁴², Deniz Tasdemir^{43, 44}, Xenia Theodotou Schneider⁴⁵, Olivier P. Thomas⁴⁶, Anna Toruńska-Sitarz²⁶, Giovanna C. Varese⁴⁷, Marlen I. Vasquez⁴⁸

¹Marine Biology Station Piran, National Institute of Biology (Slovenia), Slovenia, ²Department of Biotechnology, Faculty of Natural Sciences, University of Tirana, Albania, ³Institute for Science, Ethics, France, ⁴Institute of Oncology Research, University of Italian Switzerland, Switzerland, ⁵Cell, Molecular Biology and Genomics Group, Department of Biology, Norwegian University of Science and Technology, Norway, ⁶Department of Biotechnical Sciences and Medicine, CCMAR, CBMR/ABC, University of Algarye, Portugal, ⁷Area 52 Research Group, School of Biology and Environmental Science/Earth Institute, University College Dublin, Ireland, 8Interdisciplinary Centre of Marine and Environmental Research, University of Porto, Portugal, ⁹Marine Biochemistry Laboratory, Institute of Oceanology (PAN), Poland, ¹⁰Bioengineering Department, Faculty of Engineering, Ege University, Turkey, ¹¹Institute of Marine Biology, Biotechnology and Aquaculture, Hellenic Centre for Marine Research (HCMR), Greece, ¹²Bioengineering Department, Faculty of Engineering, Celal Bayar University, Turkey, ¹³Institute of Marine Biology, University of Montenegro, Montenegro, 14Rudolfs Cimdins Riga Biomaterials Innovations and Development Centre, Institute of General Chemical Engineering, Faculty of Materials Science and Applied Chemistry, Riga Technical University, Latvia, ¹⁵Department of Natural Resource Sciences, University of Akureyri, Iceland, ¹⁶Application and Research Center for Testing and Analysis, Ege University, Turkey, ¹⁷Department of Aquaculture, Faculty of Fisheries, Cukurova University, Turkey, ¹⁸Department of Plant Pathology and Weed Research, Agricultural Research Organization (ARO), Israel, ¹⁹Water Research Institute, Water Research Institute, Italian National Research Council, Italy, ²⁰Department of Biological Sciences, University of Limerick, Ireland, ²¹UCIBIO-Applied Molecular Biosciences Unit, Chemistry Department, Blue Biotechnology and Biomedicine Lab, Faculty for Sciences and Technology, New University of Lisbon, Portugal, ²²Rudjer Boskovic Institute, Croatia, ²³Marine Research Institute, Klaipeda University, Lithuania, ²⁴Estonian Marine Institute, University of Tartu, Estonia, ²⁵Institute of Biotechnology, Life Sciences Center, Vilnius University, Lithuania, ²⁶Division of Marine Biotechnology, Faculty of Oceanograpy and Geography, University of Gdansk, Poland, ²⁷AquaBioTech (Malta), Malta, ²⁸Marine Natural Products Team, Institute of Chemistry of Nice, CNRS, UMR 7272, Université Côte d'Azur, France, ²⁹Department of Science and Environment, Roskilde University, Denmark, 30 Scottish Marine Institute, Scottish Association For Marine Science, United Kingdom, ³¹MarCoast Ecosystems Integration Lab, Technion Israel Institute of Technology, Israel, ³²Virogenetics Laboratory of Virology, Malopolska Centre of Biotechnology, Jagiellonian University, Poland, ³³Møreforsking (Norway), Norway, ³⁴Institute for Crop Science and Plant Breeding, University of Kiel, Germany, ³⁵Fundación MEDINA, Spain, ³⁶National Institute of Oceanography, Israel Oceanographic and Limnological Research, Israel, ³⁷Institute for Agricultural and Fisheries Research (ILVO), Belgium, ³⁸Department of Analytical Chemistry, University of Latvia, Latvia, ³⁹Department of Biotechnology, Jozef Stefan Institute, Slovenia, 40 Department of Nanobiotechnology, Centre for Biology, Academy of

Sciences of the Czech Republic, Czechia, ⁴¹Regional Centre of Advanced Technologies and Materials, Palacký University, Olomouc, Czechia, ⁴²Research and Development Department, Ministry of



Conflict of interest statement

The authors declare a potential conflict of interest and state it below

Francesco Bertoni: institutional research funds from Acerta, ADC Therapeutics, Bayer AG, Cellestia, CTI Life Sciences, EMD Serono, Helsinn, ImmunoGen, Menarini Ricerche, NEOMED Therapeutics 1, Oncology Therapeutic Development, PIQUR Therapeutics AG; consultancy fee from Helsinn, Menarini; expert statements provided to HTG; travel grants from Amgen, Astra Zeneca, Janssen-Cilag AG, Jazz Pharmaceuticals, PIQUR Therapeutics AG

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Author contribution statement

AR designed the article concept and drafted the manuscript. All authors read, commented, improved and approved of the final version of the manuscript.

Keywords

marine biotechnology, Marine Natural Products, Blue growth, marine biodiversity and chemodiversity, Responsible Research & Innovation, stakeholder engagement, science communication, sustainability

Abstract

Word count: 248

Marine organisms produce a vast diversity of metabolites with biological activities useful for humans, e.g. cytotoxic, antioxidant, anti-microbial, insecticidal, herbicidal, anticancer, pro-osteogenic and pro-regenerative, analgesic, anti-inflammatory, anti-coagulant, cholesterol-lowering, nutritional, photoprotective, horticultural or other beneficial properties. These metabolites could help satisfy the increasing demand for alternative sources of nutraceuticals, pharmaceuticals, cosmeceuticals, food, feed, and novel bio-based products. In addition, marine biomass itself can serve as the source material for the production of various bulk commodities (e.g. biofuels, bioplastics, biomaterials). The sustainable exploitation of marine bio-resources and the development of biomolecules and polymers are also known as the growing field of marine biotechnology. Up to now, over 35,000 natural products have been characterized from marine organisms, but many more are yet to be uncovered, as the vast diversity of biota in the marine systems remains largely unexplored. Since marine biotechnology is still in its infancy, there is a need to create effective, operational, inclusive, sustainable, transnational and transdisciplinary networks with a serious and ambitious commitment for knowledge transfer, training provision, dissemination of best practices and identification of the emerging technological trends through science communication activities. A collaborative (net)work is today compelling to provide innovative solutions and products that can be commercialized to contribute to the circular bioeconomy. This perspective article highlights the importance of establishing such collaborative frameworks using the example of Ocean4Biotech, an Action within the European Cooperation in Science and Technology (COST) that connects all and any stakeholders with an interest in marine biotechnology in Europe and beyond.

Contribution to the field

Dear colleagues, The co-authors (Rotter et al.) are are submitting a perspective article with the general aim of highlighting the emerging importance of this exciting field of marine biotechnology. This field is an excellent example of how science and innovation collaborations should be conducted nowadays as science is rapidly evolving, not only in terms of scientific content but also in its mode of conduct. Interdisciplinary (and even transdisciplinary) teams are today mandatory, and, on top of that, and research and innovation development must include industrial policy making and general public representatives. This is especially true in newer fields such as marine biotechnology. This perspective article highlights the importance of all mentioned partners to bridge the communication gap between science-industry-society (the latter including policy makers and general public). Only the inclusion of all relevant actors can guarantee an innovative and sustainable society. One of the few inclusive, transdisciplinary and participatory network is a recently established COST Action »European transdisciplinary networking platform for marine biotechnology« (Ocean4Biotech), joining together all interested actors from the marine biotechnology field, from biological, chemical experts, to experts in communication, ethics and Responsible Research and Innovation.

This publication is based upon work from COST Action CA18238 (Ocean4Biotech), supported by COST (European Cooperation in Science and Technology) programme.

Ana Rotter, Thorsten Reinsch: the publication is part of a project that has received funding from the European Union Horizon 2020 Research and Innovation Programme under grant agreement No 774499 - GoJelly project.

Arita Dubnika: was supported by the ERDF Activity 1.1.1.2 "Post-doctoral Research Aid" of the Specific Aid Objective 1.1.1, Operational Programme "Growth and Employment" (No. 1.1.1.2/VIAA/1/16/048).

Susana P. Gaudêncio: this work was supported by the Applied Molecular Biosciences Unit-UCIBIO which is financed by national funds from FCT/MCTES (UID/Multi/04378/2019). S.P.G. thanks financial support provided by FCT/MCTES through grant IF/00700/2014. Alexia Massa-Gallucci: we acknowledge the financial contribution of the project BYTHOS funded by the European Union's Interreg V-A Italia-Malta Programme under project code C1-1.1-9.

Céline Rebours: we gratefully acknowledge the Research Council of Norway for their financial contributions through the PROMAC (244244) and the Norwegian Seaweed Biorefinery Platform (294946) projects.

Xenia Theodotou Schneider: we acknowledge the funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 710566 for the project MARINA.

Ethics statements

Studies involving animal subjects

Generated Statement: No animal studies are presented in this manuscript.

Studies involving human subjects

Generated Statement: No human studies are presented in this manuscript.

Inclusion of identifiable human data

Generated Statement: No potentially identifiable human images or data is presented in this study.

Data availability statement

Generated Statement: No datasets were generated or analyzed for this study.



A new network for the advancement of marine biotechnology in Europe and beyond

- 1 Ana Rotter^{1*}, Ariola Bacu², Michèle Barbier³, Francesco Bertoni⁴, Atle M. Bones⁵, M. Leonor
- 2 Cancela⁶, Jens Carlsson⁷, Maria F. Carvalho⁸, Marta Ceglowska⁹, Meltem Conk Dalay¹⁰,
- 3 Thanos Dailianis¹¹, Irem Deniz¹², Dragana Drakulovic¹³, Arita Dubnika¹⁴, Hjörleifur
- 4 Einarsson¹⁵, Ayşegül Erdoğan¹⁶, Orhan Tufan Eroldoğan¹⁷, David Ezra¹⁸, Stefano Fazi¹⁹,
- 5 Richard J. FitzGerald²⁰, Laura M. Gargan⁷, Susana P. Gaudêncio²¹, Nadica Ivošević
- 6 DeNardis²², Danijela Joksimovic¹³, Marija Kataržytė²³, Jonne Kotta²⁴, Manolis Mandalakis¹¹,
- 7 Inga Matijošytė²⁵, Hanna Mazur-Marzec^{9,26}, Alexia Massa-Gallucci²⁷, Mohamed Mehiri²⁸,
- 8 Søren Laurentius Nielsen²⁹, Lucie Novoveská³⁰, Donata Overlingė²³, Michelle E. Portman³¹,
- 9 Krzysztof Pyrc³², Céline Rebours³³, Thorsten Reinsch³⁴, Fernando Reyes³⁵, Baruch
- 10 Rinkevich³⁶, Johan Robbens³⁷, Vita Rudovica³⁸, Jerica Sabotič³⁹, Ivo Safarik^{40,41}, Siret Talve⁴²,
- 11 Deniz Tasdemir^{43,44}, Xenia Theodotou Schneider⁴⁵, Olivier P. Thomas⁴⁶, Anna Toruńska-
- 12 Sitarz²⁶, Giovanna Cristina Varese⁴⁷, Marlen I. Vasquez⁴⁸
- 13 ¹Marine Biology Station, National Institute of Biology, Piran, Slovenia
- ²Department of Biotechnology, Faculty of Natural Sciences, University of Tirana, Tirana, Albania
- 15 ³Institute for Science & Ethics, Nice, France
- ⁴Institute of Oncology Research, Faculty of Biomedical Sciences, USI, Bellinzona, Switzerland
- ⁵Cell, Molecular Biology and Genomics Group, Department of Biology, Norwegian University of
- 18 Science and Technology, Trondheim. Norway
- ⁶Department of Biomedical Sciences and Medicine, CCMAR, CBMR/ABC, University of Algarve,
- 20 Faro, Portugal
- ⁷Area 52 Research Group, School of Biology and Environmental Science/Earth Institute, University
- 22 College Dublin, Dublin, Ireland
- ⁸Interdisciplinary Centre of Marine and Environmental Research, University of Porto, Porto, Portugal
- ⁹Marine Biochemistry Laboratory, Institute of Oceanology, Polish Academy of Sciences, Sopot,
- 25 Poland
- 26 ¹⁰Ege University Faculty of Engineering, Bioengineering Department, İzmir, Turkey
- 27 ¹¹Institute of Marine biology, Biotechnology and Aquaculture, Hellenic Centre of Marine Research,
- Heraklion, Greece
- 29 ¹²Bioengineering Department, Faculty of Engineering, Manisa Celal Bayar University, Manisa,
- 30 Turkey
- 31 ¹³Institute of Marine Biology, University of Montenegro, Kotor, Montenegro

- 32 ¹⁴Rudolfs Cimdins Riga Biomaterials Innovations and Development Centre, Institute of General
- 33 Chemical Engineering, Faculty of Materials Science and Applied Chemistry, Riga Technical
- 34 University, Riga, Latvia
- 35 ¹⁵Department of Natural Resource Sciences, University of Akureyri, Akureyri, Iceland
- 36 ¹⁶Application and Research Center for Testing and Analysis, Ege University, İzmir, Turkey
- 37 ¹⁷Department of Aquaculture, Faculty of Fisheries, Cukurova University, 01330 Adana, Turkey
- 38 ¹⁸Department of Plant Pathology & Weed Research, ARO The Volcani Center, Rishon Lezion, Israel
- 39 ¹⁹Water Research Institute, IRSA-CNR, Monterotondo, Rome, Italy
- 40 ²⁰Department of Biological Sciences, University of Limerick, Ireland
- 41 ²¹UCIBIO-Applied Molecular Biosciences Unit, Chemistry Department, Blue Biotechnology and
- 42 Biomedicine Lab, Faculty for Sciences and Technology, NOVA University of Lisbon, Caparica,
- 43 Portugal
- 44 ²²Ruđer Bošković Institute, Zagreb, Croatia
- 45 ²³Marine Research Institute, Klaipeda University, Klaipeda, Lithuania
- 46 ²⁴Estonian Marine Institute, University of Tartu, Tallinn, Estonia
- 47 ²⁵Institute of Biotechnology, Life Sciences Center, Vilnius University, Vilnius, Lithuania
- 48 ²⁶Division of Marine Biotechnology, Faculty of Oceanograpy and Geography, University of Gdańsk,
- 49 Gdynia, Poland
- 50 ²⁷AquaBioTech Group, Mosta, Malta
- 51 ²⁸Marine Natural Products Team, Institute of Chemistry of Nice, CNRS, UMR 7272, University Côte
- 52 d'Azur, Nice, France
- 53 ²⁹Department of Science and Environment, Roskilde University, Roskilde, Denmark
- 54 ³⁰Scottish Association for Marine Science, Scottish Marine Institute, Oban, UK
- 55 ³¹MarCoast Ecosystems Integration Lab, Technion Israel Institute of Technology, Haifa, Israel
- ³²Virogenetics Laboratory of Virology, Malopolska Centre of Biotechnology, Jagiellonian
- 57 University, Krakow, Poland
- 58 ³³Møreforsking Ålesund AS, Ålesund, Norway
- 59 ³⁴Institute for Crop Science and Plant Breeding, Christian-Albrechts-Universität zu Kiel, Kiel,
- 60 Germany
- 61 ³⁵Fundación MEDINA, Granada, Spain

- 62 ³⁶Israel Oceanography and Limnological Research, National Institute of Oceanography, Haifa, Israel
- 63 ³⁷Flanders Research Institute for Agriculture, Fisheries and Food, Ostend, Belgium
- 64 ³⁸Department of Analytical Chemistry, University of Latvia, Riga, Latvia
- 65 ³⁹Department of Biotechnology, Jožef Stefan Institute, Ljubljana, Slovenia
- 66 ⁴⁰Department of Nanobiotechnology, Biology Centre, ISB, CAS, Ceske Budejovice, Czech Republic
- 67 ⁴¹Regional Centre of Advanced Technologies and Materials, Palacky University, Olomouc, Czech
- 68 Republic
- 69 ⁴²Research and Development Department, Ministry of Rural Affairs, Estonia
- ⁴³GEOMAR Centre for Marine Biotechnology (GEOMAR-Biotech), Research Unit Marine Natural
- 71 Products Chemistry, GEOMAR Helmholtz Centre for Ocean Research, Kiel, Germany
- 72 ⁴⁴Kiel University, Kiel, Germany
- 73 ⁴⁵XPRO Consulting Limited, Nicosia, Cyprus
- 74 ⁴⁶Marine Biodiscovery, School of Chemistry and Ryan Institute, National University of Ireland,
- 75 Galway, Galway, Ireland
- 76 ⁴⁷Department of Life Sciences and Systems Biology Mycotheca Universitatis Taurinensis,
- 77 University of Torino, Torino, Italy
- 78 ⁴⁸Department of Chemical Engineering, Cyprus University of Technology, Limassol, Cyprus
- 79 * Correspondence:
- 80 Dr. Ana Rotter
- 81 ana.rotter@nib.si
- 82 Keywords: marine biotechnology, marine natural products, blue growth, marine biodiversity
- and chemodiversity, responsible research and innovation, stakeholder engagement, science
- 84 communication, sustainability.
- 85 Abstract
- 86 Marine organisms produce a vast diversity of metabolites with biological activities useful for
- 87 humans, e.g. cytotoxic, antioxidant, anti-microbial, insecticidal, herbicidal, anticancer, pro-
- 88 osteogenic and pro-regenerative, analgesic, anti-inflammatory, anti-coagulant, cholesterol-lowering,
- 89 nutritional, photoprotective, horticultural or other beneficial properties. These metabolites could help
- satisfy the increasing demand for alternative sources of nutraceuticals, pharmaceuticals,
- cosmeceuticals, food, feed, and novel bio-based products. In addition, marine biomass itself can
- 92 serve as the source material for the production of various bulk commodities (e.g. biofuels,
- bioplastics, biomaterials). The sustainable exploitation of marine bio-resources and the development
- of biomolecules and polymers are also known as the growing field of marine biotechnology. Up to
- now, over 35,000 natural products have been characterized from marine organisms, but many more
- are yet to be uncovered, as the vast diversity of biota in the marine systems remains largely

- 97 unexplored. Since marine biotechnology is still in its infancy, there is a need to create effective,
- 98 operational, inclusive, sustainable, transnational and transdisciplinary networks with a serious and
- ambitious commitment for knowledge transfer, training provision, dissemination of best practices
- and identification of the emerging technological trends through science communication activities. A
- 101 collaborative (net)work is today compelling to provide innovative solutions and products that can be
- 102 commercialized to contribute to the circular bioeconomy. This perspective article highlights the
- importance of establishing such collaborative frameworks using the example of Ocean4Biotech, an
- Action within the European Cooperation in Science and Technology (COST) that connects all and
- any stakeholders with an interest in marine biotechnology in Europe and beyond.

Introduction

- During four billion years of evolution in the ocean, marine organisms have evolved in their
- environment to biosynthesize a plethora of biopolymers and biomolecules. These include the unique
- secondary metabolites that are produced in response to environmental stimuli. They play important
- biological roles in improving competitiveness, providing chemical defense against predators or
- competitors and facilitating reproductive processes. These biomolecules are not always essential for
- the growth and development of the organism, but they are important for the survival and well-being
- in its environment. Furthermore, some compounds such as marine enzymes have properties essential
- for industrial applications like thermostability or tolerance to a diverse range of pH and salinity
- 115 conditions. These properties are being utilized in various industries such as in the food, animal feed,
- leather, textile and horticulture industries, and in bioconversion and bioremediation processes (Rao et
- al., 2017). Marine biotechnology appeared in the 1960s and 1970s when scientists realized the
- potential of living organisms and their natural products for industrial exploitation (Dias et al., 2012).
- Initially, the investigation of marine ecosystems relied on the easily accessible organisms like corals
- and sponges as well as macroalgae that have high biomass levels and were representative of targeted
- ecosystems (Greco and Cinquegrani, 2016). Therefore, most of the known natural products deriving
- from the marine environment were initially isolated from macro-organisms. On realizing that marine
- microbial biodiversity is vast, largely underexplored and unexploited, the application of marine
- microbial biotechnology aiming to valorize marine resources is a natural step forward in the
- development of the biotechnology sector.
- For a long time, it has been considered that only around 1% of the whole marine microbial
- population could be cultured under laboratory conditions (Vartoukian et al., 2010). However, recent
- findings suggest the percentage of culturable microbial population is higher; an estimated 13% 78%
- of genera are cultured, depending on the environment (Lloyd et al., 2018). For example,
- environments with high human engagement and disease-driven research benefit from greater
- culturing effort (Steen et al., 2019; Lloyd et al., 2018). Since many cells in nonhuman environments
- belong to novel phyla, new culturing approaches and innovations will increase the percentage of
- uncultured microbes (Steen et al., 2019). Culture-independent methods using omics approaches are
- nowadays used to detect microorganisms that are yet uncultured. These methods include high-
- throughput sequencing, metagenomics, transcriptomics, proteomics, metabolomics and
- bioinformatics resources for the identification of organisms and elucidation of metabolic pathways
- responsible for production of chemical compounds, as well as DNA-based or heterologous
- expression systems. Microbial identification is only an initial step and additional research is essential
- to develop cultivation techniques to obtain the necessary biomass in a sustainable manner. Next,
- biochemical and genetic engineering methods are required for the production of high quantities and
- quality of proteins, marine oils and other secondary metabolites of interest. Figure 1 provides a
- schematic representation of parameters that should be considered for the whole bioprospecting

- process, starting from the selection of marine organisms, for their cultivation prior to their utilization
- for the biosynthesis of high-value bio-components and for investigation of their biological potential
- in various industries.
- Natural products are currently the most common source of therapeutic agents. The World Health
- Organization estimates that approximately 80% of the world's population uses remedies based on
- natural products to treat their basic health problems. Over 35,000 bioactive compounds have been
- isolated and chemically characterized from marine organisms since the 1960s (Lindequist, 2016).
- While before 1985 less than 100 natural products were discovered annually, in the late 1990s, this
- number rose to over 500 new products discovered yearly up to over 1,000 since 2008, mainly due to
- the advances in analytical methods (Carroll et al., 2019; Lindequist, 2016). The application of new
- dereplication strategies using mass spectrometry (MS) and the use of high-resolution Nuclear
- Magnetic Resonance (NMR) spectrometers with cryoprobes have enabled the discovery of new
- natural products even at the nanomole scale (Klitgaard et al., 2014). The most common approach
- used for the discovery of new marine bioactive chemical entities involves the screening of crude
- extracts or partially purified fractions of similar polarities against selected test organisms or
- therapeutic targets, followed by the purification and the structure elucidation of the active
- ingredients. The purification of metabolites is usually performed by means of chromatographic
- separation techniques combined with high-resolution MS based approaches that allow a rapid and
- accurate identification of the molecular mass and formulae of bioactive compounds. These methods
- are becoming a gold standard for the rapid and reliable dereplication of natural product extracts or
- 163 fractions (Gaudêncio and Pereira, 2015).

174

- 164 The unique structural architecture and broad range of activities exhibited by marine metabolites have
- caught the attention of the scientific community. This has resulted in the development of research
- programs promoting innovation and industrial uptake along with the creation of new jobs and of a
- 167 competitive environment for biotechnology-oriented enterprises as stated in the Blue Growth
- Strategy of the European Union (EU). This orientation is in line with the strategy for "A sustainable
- bioeconomy for Europe: strengthening the connection between economy, society and environment"
- which is a 2018 update from the original 2012 Bioeconomy Strategy by the European Commission
- 171 (EC). The strategy aims to create a more innovative, resource-efficient and competitive society that
- will reconcile drug discovery and food security with the sustainable and economically viable use of
- 173 renewable resources for industrial purposes while ensuring environmental protection.

Prerequisites for marine biotechnology (Figure 2)

- 175 *Sustainability*. There are two sustainability levels that must be considered to effectively implement
- marine biotechnology in practice: (i) environmental and (ii) supply sustainability. (i) Environmental
- sustainability tackles the main sources of marine biomass which come either from species harvested
- in nature or from those that can be cultivated. It is especially relevant when wild stocks are the only
- source of supply and they are over-harvested, or where targeted marine species are rare, in the deep,
- or difficult to re-sample. The harvesting/sourcing of any target species should thus not threaten
- marine biodiversity and the future availability of target species. To minimize the environmental
- impact, the biotechnology community should consider valorizing side and waste streams and co-
- products, target sustainably cultured marine organisms and those that are sufficiently productive to
- supply specific high added-value biomolecules. (ii) Sustainable supply of biomolecules represents
- key bottlenecks, as they are usually present in trace amounts. To guarantee a sustainable sourcing and
- production of target compounds, biologically active molecules or whole organisms should therefore
- be considered in a life cycle assessment and a multi-risk environmental analysis context. This will

attain a global evaluation including environmental, health and economic aspects for both the

biological (sourcing) and technical (supplying) cycle. Industrial symbiosis and circular economy

approaches must therefore be applied to find sustainable ways for utilization of marine bioresources

191 (blue growth) using green production techniques that economize on exhaustible resources (green

192 growth, Rodrik, 2014).

193 *Industry*. Marine biotechnology generates various products and services, from the production of biofuels, food, feedstuffs and products for use in agriculture (high-volume, low-value and low-risk 194 195 products), to the discovery of new biomaterials, cosmetics, nutraceuticals and pharmaceuticals (low-196 volume, high-value and high-risk products). Research and development investments for the discovery of marine-derived drugs entail high levels of capital expenditure and risk tolerance, as they require 197 the use of state-of-the-art infrastructures and many years of basic and applied research. Despite some 198 199 limitations, there are successful examples, as to date there are ten approved drugs, one example being 200 trabectedin (ET-743), a product isolated from a Caribbean sea squirt Ecteinascidia turbinata, which is used for the treatment of advanced soft tissue sarcoma. This product first reached the market in 201 2007, after 20 years of research (Cuevas and Francesch, 2009). In practice, out of every 2,500 202 analogs from the marine environment that enter preclinical testing, only one may be safe and 203 204 effective enough to reach clinical use (Gerwick and Fenner, 2013). There is a collaboration and communication gap between raw ideas and materials and their potential laboratory innovation and 205 206 commercialization (Datta et al., 2014). This is being tackled by adopting three different strategies. (i) Firstly, by stimulating public-private partnerships in consortia that apply for research and innovation 207 208 funding (such as Horizon 2020 and Horizon Europe, Europe's biggest research and innovation 209 funding resource). (ii) Another alternative are the business incubators (such as Rocket57 in Northern 210 Europe¹), think tanks or stakeholder events that are often regionally financed to answer strategic regional developmental priorities and present a contact point for joining researchers, small and 211 212 medium enterprises, industrial representatives and investors. (iii) Financial stimulation of networking 213 activities (the example of COST Action Ocean4Biotech is presented in the next chapter of this 214 article). The global marine biotechnology market is expected to reach ~\$6.4 billion by 2025² and it 215 currently represents only ~1% of the whole biotechnology market. Noteworthy, the oceans cover 216 over 70% of the Earth's surface and contain an estimated 25% of the world's species (Mora et al., 2011), of which most are unknown and undervalorized. Hence, the marine biotechnology market is 217 expected to expand at a much higher pace when high-throughput techniques and the collaboration 218 219 between industry, science, general public and policy makers will be routinely used. The predominant players in the European marine biotechnology consist of some 140 micro SMEs (estimated by Ecorys 220 in 2014) and academia that lack the financial stability necessary for sustained and long-term cutting-221 222 edge research.

Scientific community. To fully explore the ocean and its biota, the current screening and/or cultivation approaches of marine organisms of interest for biotechnological applications need to be optimized. High-throughput techniques produce vast amounts of data and can uncover the biodiversity and the metabolic potential of marine organisms. Hence, knowledge on data management, processing and data analysis to maximize the quality and quantity of resulting information needs to be advanced. Experts from the field of statistics, bioinformatics and chemometrics are essential in biotechnology research groups nowadays and their pipelines and

1 https://rocket57.co/en/

223

224

225226

227

228

² https://www.smithers.com/resources/2015/oct/global-market-for-marine-biotechnology

- 230 databases should be integrated, harmonized and publicly available to prevent duplication of efforts,
- 231 reduce the overall costs and support the discovery process.
- 232 General public. While the world population is rising and is expected to reach over 8.5 billion by
- 233 2030, bioresources and available areas for cultivation and manufacture are declining. Hence, there is
- 234 a growing demand for additional sources of food, drugs and chemicals. Marine biotechnology has the
- 235 potential to mitigate these needs both by increasing the current production and by introducing new
- 236 products in the food, feed, pharmaceutical, nutraceutical, healthcare, welfare, biomaterials and energy
- 237 sectors. Nowadays, consumers expect innovative, efficient, safe, sustainable, ethical, financially and
- 238 environmentally friendly solutions. We need to raise public awareness and improve communication
- 239 to a broad audience regarding the benefits of marine biotechnology products to gain consumers'
- 240 interest in eco-friendly products that meet high standards of sustainability.
- 241 **Policy makers.** Some national, regional and global strategies and guidelines are already in place to
- recommend investment into marine biotechnology and stimulate networking and transdisciplinary 242
- 243 collaboration at the international level. These include the United Nations (UN) sustainable
- development goals³, national and EU legislation that must be developed and harmonized. The UN 244
- Convention on the Law of the Sea⁴ sets the rules for the exploitation, conservation and management 245
- 246 of living marine resources. The Nagoya Protocol on Access to Genetic Resources and Benefit
- 247 Sharing provides a legal framework aimed at creating transparency for those interested in the
- 248 production and exploitation of genetic materials. Marine biotechnology development needs also to
- comply with the Habitats Directive (92/43/EEC) on the conservation of natural habitats and of wild 249
- 250 fauna and flora, the Marine Strategy Framework Directive (MSFD) (2008/56/EC, CD 2017/848)
- 251 establishing a framework for community action in the field of marine environmental policy, the EU
- 252 Water Framework Directive – WFD (Directive 2000/60/EC), and the Maritime Spatial Planning
- 253 Directive (2014/89/EU) for the planning of multiple uses of the maritime and coastal areas.
- 254 Biomolecules and their production processes must also comply with specific regulations related to
- 255 the targeted application (e.g. EU 2015/2283 Novel Foods and Ingredients, EC No 1223/2009
- 256 Cosmetic Regulation, EC No 1924/2006 Nutrition and Health Claims, EC No 1907/2006 REACH
- 257 Regulation, among others). The widespread acceptance and certification of these novel compounds is
- 258 a rigorous and time-consuming process where legislative documentation might need updating as
- 259 novel compounds are being identified. It is thus necessary to encourage collaboration among
- scientists and policy makers, as outlined during the UNESCO High-Level Conference on the Ocean 260
- 261 Decade (2018). Moreover, intellectual property strategies need to be established and agreed upon to
- 262 conduct research in accordance with ethical recommendations for bioprospecting in the open ocean
- 263 and beyond the national jurisdictions covered by the Nagoya protocol.

The establishment of a collaborative network as a solution for advancing marine biotechnology: COST Action Ocean4Biotech

Efficient and sustainable exploitation of the ocean's potential is possible only if industrial actors, 266

researchers, the general public, policy makers and environmental experts work together. This direct 267

- interaction among different stakeholders across different countries is not always possible and limited
- 269 programs have been supported until today that allow a minimal direct transdisciplinary interaction
- 270 (see more in Supplementary Table S1).

264

265

³ https://sustainabledevelopment.un.org/

⁴ https://www.un.org/depts/los/convention agreements/texts/unclos/unclos e.pdf

- 271 From this viewpoint, the EU COST program that was established in 1971 represents an excellent
- 272 opportunity for the creation of research networks on diverse topics, called COST Actions. These
- networks offer an open space for collaboration among stakeholders across Europe (and beyond), 273
- thereby catalyzing research advancement and innovation⁵. One of the recently approved Actions is 274
- CA18238 European transdisciplinary networking platform for marine biotechnology 275
- (Ocean4Biotech)⁶. The motivation behind creation of this network is included in the SWOT analysis 276
- 277 (see Supplementary Table S2 and the discussion therein). Ocean4Biotech is an international, unique
- and inclusive network that gathers experts from transdisciplinary fields of exact and natural sciences, 278
- social sciences and humanities, giving the Action participants the opportunity to work together and 279
- 280 share their experiences creating a spill-over effect to foster marine biotechnology and bioeconomy in
- a sustainable way. Ocean4Biotech will apply the Responsible Research and Innovation Roadmap 281
- 282 (Theodotou Schneider, 2019) involving scientists, citizens, policy makers and industry in the co-
- 283 creation of knowledge and in the establishment of sustainable collaborative networks.
- 284 Notably, Ocean4Biotech builds upon existing knowledge from current and past projects and
- initiatives (see Supplementary Table S1). It aims to establish strong collaborations to avoid the 285
- duplication of efforts. The difference between Ocean4Biotech and the current and past efforts is this 286
- 287 Action is envisaged as a "connecting-the-dots" funnel initiative that will gather scientists and
- professionals from all areas related to the marine biotechnology field. This enables a wider approach 288
- 289 aiming to facilitate the circular economy in the marine biotechnology sector. Researchers from all
- 290 fields and levels of expertise relevant to marine biotechnology will have the opportunity to
- 291 participate in the Action and will be included in knowledge exchange activities (between the
- 292 scientific fields as well as within, e.g. senior-to-junior knowledge transfer), establishing new
- collaborations and having an opportunity for career advancement. The developments from this COST 293
- Action can impact the industrial sector, and in turn will most likely influence governance boards. 294
- 295 However, the efforts of Ocean4Biotech to establish connections between its members and linkages
- 296 with other initiatives will not be possible without proactive science communication, extensive
- 297 dissemination along with active engagement and outreach activities. Efficient communication will
- enable informing on the activities and objectives of the Action and will attract researchers to prepare 298
- 299 and initiate new collaborations that will span beyond the lifetime of Ocean4Biotech.

How will Ocean4Biotech foster advances in the field of marine biotechnology?

- 301 There are five general objectives within the Ocean4Biotech COST Action:
- 302 1. **Description of marine biodiversity**. Knowledge of marine biodiversity is still limited. Moreover,
- there is a large interregional variability in species distribution and in their taxonomic knowledge. The 303
- 304 lack of experts in marine species taxonomy, duplicates/redundancies/inconsistencies in the primary
- nucleotide databases, lack of type species and polyphyly of traditionally established taxa result in 305
- many misidentified or unidentified species/strains (many of which hold great potential for 306
- biotechnological applications). These are also important challenges to marine natural product 307
- programs. Hence, human resources, research effort, time and cost-efficient methods are needed to 308
- 309 overcome the current gap in knowledge on biological and chemical diversity in marine ecosystems.
- These may be addressed by high-throughput methods that facilitate the discovery, classification and 310
- 311 supply of organisms. However, high-throughput methods for biodiversity monitoring have not been
- routinely adopted and the methodology for biodiscovery is often not standardized. In fact, 312

⁵ https://www.cost.eu/who-we-are/about-cost/

⁶ https://ocean4biotech.eu

- 313 bioinformatics pipelines and big data analyses are changing the landscape for marine biotechnology,
- as around 18,000 new species are uncovered yearly⁷. Ocean4Biotech will propose operating
- 315 procedures for uncovering the biodiversity using high-throughput methods, such as DNA barcoding
- approaches (Leese et al., 2016). These methods can then be combined with a more quantitative
- 317 assessment by *in situ* hybridization techniques that allow the quantification and localization of
- 318 specific microbial clusters within the environmental matrices. Such biodiversity assessment provides
- 319 crucial information for subsequent monitoring and exploitation of marine organisms. The
- 320 environmental impacts of such biological prospecting are considered minimal at the early stages of
- 321 sampling, where the size of samples collected is small. Moreover, the standardization of the
- 322 biodiscovery process is necessary as chemodiversity, even in the same taxa, greatly varies along
- 323 geographical and environmental gradients, as well as seasonally and timely along the life cycle of
- 324 organisms.
- 2. **Natural product discovery** is a process involving separation techniques in parallel with biological screening, followed by structure elucidation of the pure bioactive metabolites. If the target compound
- from a given species shows biotechnological potential, scale-up production and supply will certainly
- increase the environmental impact. However, the organic synthesis of the compound (although time-
- 329 consuming and expensive) and/or production of the compound of interest using biological synthesis
- generally overcome the need for repeated collection and over-exploitation of the natural ecosystem.
- Therefore, Ocean4Biotech will build a compendium of pipelines, i.e. methods and procedures,
- detailed on a case study basis, starting from the creation of marine biorepositories, the identification
- of the collected species using integrative systematics, screening for specific bioactivities for selected
- industries, identification of the bioactive metabolites and their sustainable production, business plan
- development, marketing strategy, where legal and ethical aspects to be considered along with
- adherence to strict guidelines for protection of the environment and sustainability. These pipelines
- will serve as guidelines and tutorials for future product development and will enable the transfer of
- knowledge between disciplines. These pipelines will highlight the complementary transdisciplinary
- knowledge between disciplines. These pipelines will highlight the complementary transdisciplinary
- aspect of marine biotechnology and as a link with other sectors of biotechnology. According to the
- principles sustainability the supply chain decision-making will require the inclusion of social and
- economic aspects together with environmental aspects. Thus, the Action will apply an integrated
- framework for Life Cycle Sustainability Assessment (LCSA). Wherever possible, it will combine
- physical LCA considering different environmental impact categories (e.g. climate change,
- physical LCA considering different environmental impact categories (e.g. chimate change,
- eutrophication or acidification) at different life-cycle levels (partial LCA) with social LCA (SLCA)
- and Life Cycle Costing (LCC), based on UNEP/SETAC guidelines. The approach used in this Action
- will build on existing models (Perez-Lopez et al., 2018). It will also follow the methodological
- framework for conducting LCA as outlined by the International Standards Authority (ISO) 14040
- 348 series.

3. **Sharing infrastructure**. There is an increasing need to create a bridge between research and

- innovation capabilities from the academia and business sectors. This includes the availability of the
- research infrastructure, thereby providing access to a range of new tools and facilities to allow marine
- 352 biotechnology to thrive. Many of the tools and techniques used in marine biotechnology are widely
- used in other areas of science and technology. Engaging in collaborative research projects is one way
- of providing access to these facilities and encouraging multidisciplinary research. Ocean4Biotech
- will enable the diverse actors to share their expertise and infrastructure, mostly through short-term
- scientific missions and new collaborative activities. Preference will be given to users from the less

-

⁷ https://www.eurekalert.org/pub_releases/2018-05/scoe-elt051718.php

- research-intensive countries⁸ or early career investigators that need access to state-of-the-art 357
- analytical equipment, microbial cultures or screening facilities. 358
- 4. **Responsible Research and Innovation**. The ocean should be monitored, valorized and governed 359
- 360 in a sustainable manner to generate the maximum benefit to science and society but limiting the
- negative footprints on the marine environment. This will be addressed within the Action by adopting 361
- 362 the Responsible Research and Innovation (RRI) concept, which is based on six pillars.
- (i) Ethics. We are all responsible for the stability and resilience of the Earth systems (Barbier et al., 363
- 364 2018). Accordingly, ethical issues and challenges will be identified, addressed and used to advocate
- for protection of marine ecosystems and promote responsible resource management and 365
- environmental policies together with societal awareness. 366
- 367 (ii) Open access. To efficiently co-create knowledge and capitalize from previous research, it is vital
- 368 to consider transparency, efficiency, traceability, access to data, reciprocal relations, biosafety, nature
- conservation and transfer of knowledge to third countries. 369
- 370 (iii) Gender equality will be promoted throughout the Action by empowering especially early career
- and female colleagues to apply for managerial roles and in the future establish and lead consortia for 371
- 372 valorization of marine biotechnology products.
- (iv) Governance. Although the marine biodiversity has no borders, access to natural resources is 373
- 374 framed under the Convention of Biological Diversity, promoting the conservation of biodiversity, the
- sustainable use of biological entities and their fair and equitable sharing. The latter is also covered in 375
- the Nagoya Protocol, which provides a legal framework for the fair and equitable sharing of benefits 376
- 377 arising from the use of genetic resources which may sometimes delay or block certain research
- 378 activities.
- 379 (v) Public engagement. Action participants will employ communication tools and different activities
- 380 to further inform legislative authorities, researchers and industry with the aim of facilitating the
- regulatory requirements that are sometimes a bottleneck to transnational collaboration. 381
- 382 (vi) Science education. We will focus many of our activities into education of the next generation of
- 383 researchers (i.e. early career investigators), with a special focus on the countries that are less research
- intensive, i.e. the so-called inclusiveness target countries⁹. These countries have developed their 384
- national strategic priorities in the frame of the EU Smart Specialization Strategy (S3), aiming to 385
- ensure a balanced development between regions¹⁰. Since marine biotechnology, including its 386
- products and applications, is well represented in all national S3 priorities, the timing is perfect to 387
- 388 develop capacity-building educational opportunities that span beyond the traditional academic
- 389 curricula. We will enable closing the educational gaps in three ways. (i) By short term scientific
- 390 missions, which are mobility activities that involve a direct hands-on interaction and experience
- 391 abroad. (ii) By offering financial opportunities for active participation in conferences that target any
- of the marine biotechnology related topics. (iii) Importantly, our trainings and workshops, that will 392
- 393 be publicly promoted, will cover topics that integrate academy, technological centers and industry (as
- 394
 - also promoted by the EuroMarine Working Group, 2019). By offering multidisciplinary skills, this

⁸ https://www.cost.eu/who-we-are/cost-strategy/excellence-and-inclusiveness/

⁹ https://www.cost.eu/who-we-are/cost-strategy/excellence-and-inclusiveness/

¹⁰ https://ec.europa.eu/jrc/en/research-topic/smart-specialisation

- strategy will avoid the risk of training a marine-related workforce that the market may not absorb (EuroMarine Working Group, 2019).
- 5. **Knowledge co-creation and integration**. (i) The Action will be geographically inclusive as it will
- 398 produce an open-access database of exploitable species for marine biotechnology in the
- Ocean4Biotech participating countries. In addition to the World Register of Marine Species
- 400 (WoRMS¹¹), this Action participants will focus on those species with putative biotechnological
- 401 potential. (ii) The Action will be inclusive in the biological sense and include species regardless of
- 402 the kingdom (from bacteria and algae to zooplankton and other species that are suitable for
- 403 exploitation). (iii) Methodologically, the participants will integrate all levels of the biotechnological
- 404 pipeline; from bioprospecting to cultivation, biological screening, compound isolation and
- optimization of the isolation process, and structure elucidation. (iv) This is a truly transdisciplinary
- 406 Action, integrating expertise and including experts from various fields: marine (micro)biology,
- 407 chemistry, food science, agriculture, pharmacology, medicine, environmental protection, engineering,
- 408 energy, data science, omics techniques, statistics, law, policy making, economy, business planning,
- and more. The network will transfer knowledge from traditional academic institutions to exploitation
- industries leading to the elaboration of ecosystem services linked to policy makers' priorities,
- 411 citizens, industry and SMEs.

Conclusion

412

- This Ocean4Biotech COST Action will contribute to the implementation of the Bioeconomy Strategy
- and the European Green Deal¹². It will also mainstream the responsible research and innovation
- principles among the scientific and industry communities to foster the interaction between marine
- scientists and other marine biotechnology stakeholders, including the general public. Such interaction
- 417 will be multidirectional rather than top-down and co-creative instead of just being introduced by the
- 418 authorities and/or knowledge holders. Outreach and communication activities will provide
- information to the broad community and improve their capacity to understand the challenges and
- 420 opportunities to make appropriate decisions in the field of marine biotechnology. An inclusive,
- integrative approach is essential to catalyze the expansion of marine biotechnology in Europe and
- worldwide and to finally harvest the products of this promising field of research. Finally, the
- establishment of interdisciplinary connections and collaborations during Ocean4Biotech's lifetime
- will not only lead to future research collaborations that include industrial representatives as well, but
- also provide establishment of communication channels with policymakers, governments, and other
- stakeholders, including the public. This will eventually enable beneficial social and environmental
- 427 impacts that will ultimately contribute to a more efficient and sustainable use of marine bioresources.

-

¹¹ http://www.marinespecies.org/

¹² https://ec.europa.eu/info/sites/info/files/european-green-deal-communication en.pdf

428 Conflict of Interest

- 429 Francesco Bertoni: institutional research funds from Acerta, ADC Therapeutics, Bayer AG, Cellestia,
- 430 CTI Life Sciences, EMD Serono, Helsinn, ImmunoGen, Menarini Ricerche, NEOMED Therapeutics
- 1, Oncology Therapeutic Development, PIQUR Therapeutics AG; consultancy fee from Helsinn,
- 432 Menarini; expert statements provided to HTG; travel grants from Amgen, Astra Zeneca, Janssen-
- 433 Cilag AG, Jazz Pharmaceuticals, PIQUR Therapeutics AG
- The remaining authors declare that the research was conducted in the absence of any commercial or
- financial relationships that could be construed as a potential conflict of interest.

436 **Author Contributions**

- 437 AR designed the article concept and drafted the manuscript. All authors read, commented, improved
- and approved of the final version of the manuscript.

439 **Funding**

- Ana Rotter, Thorsten Reinsch: the publication is part of a project that has received funding from the
- European Union Horizon 2020 Research and Innovation Programme under grant agreement No
- 442 774499 GoJelly project.
- 443 Atle M. Bones: Research supported by grant 267474 from Research Council of Norway.
- Maria F. Carvalho: wishes to acknowledge the funding from CEEC program supported by
- 445 FCT/MCTES (CEECIND/02968/2017); ACTINODEEPSEA project (POCI-01-0145-FEDER-
- 446 031045) co-financed by COMPETE 2020, Portugal 2020, ERDF and FCT; Strategic Funding
- 447 UIDB/04423/2020 and UIDP/04423/2020 through national funds provided by FCT and ERDF.
- 448 Arita Dubnika: was supported by the ERDF Activity 1.1.1.2 "Post-doctoral Research Aid" of the
- Specific Aid Objective 1.1.1, Operational Programme "Growth and Employment" (No.
- 450 1.1.1.2/VIAA/1/16/048).
- 451 M. Leonor Cancela: acknowledges funding from Portuguese FCT/UID/Multi/04326/2019, MAR2020
- 452 projects /OSTEOMAR/16.02.01-FMP-0057 & ALGASOLE/16.02.01-FMP-0058,
- 453 INTERREG/ALGARED+ and Atlantic Area/BLUEHUMAN/EAPA/151/2016.
- Richard J. FitzGerald: gratefully acknowledges support from the Marine Institute under the Marine
- Research Programme by the Irish Government (Grant-Aid Agreement No. PBA/MB/16/01).
- 456 Susana P. Gaudêncio: this work was supported by the Applied Molecular Biosciences Unit-UCIBIO
- which is financed by national funds from FCT/MCTES (UID/Multi/04378/2019). S.P.G. thanks
- 458 financial support provided by FCT/MCTES through grant IF/00700/2014.
- 459 Alexia Massa-Gallucci: we acknowledge the financial contribution of the project BYTHOS funded
- by the European Union's Interreg V-A Italia-Malta Programme under project code C1-1.1-9.
- 461 Céline Rebours: we gratefully acknowledge the Research Council of Norway for their financial
- contributions through the PROMAC (244244) and the Norwegian Seaweed Biorefinery Platform
- 463 (294946) projects.

- 464 Xenia Theodotou Schneider: we acknowledge the funding from the European Union's Horizon 2020
- research and innovation programme under grant agreement No 710566 for the project MARINA.

466 Acknowledgments

- This publication is based upon work from COST Action CA18238 (Ocean4Biotech), supported by
- 468 COST (European Cooperation in Science and Technology) programme.

469 **References**

- Barbier, M., Reitz, A., Pabortsava, K., Wölfl, A.C., Hahn, T., Whoriskey, F. (2018). Ethical
- 471 recommendations for ocean observation. Adv. Geosci. 45, 343-361
- 472 Carroll, A.R., Copp, B.R., Davis, R.A., Keyzers, R.A., Prinsep, M.R. (2019). Marine natural
- 473 products. Nat. Prod. Rep. 36, 122-173
- 474 Cuevas, C., Francesch, A. (2009). Development of Yondelis (trabectedin, ET-743). A semisynthetic
- process solves the supply problem. Nat. Prod. Rep. 26(3), 322-337
- 476 Datta, A., Mukherjee, D., Jessup, L. (2014). Understanding commercialization of technological
- innovation: taking stock and moving forward. R&D Management 45(3), 215-249
- Dias, D.A., Urban, S., Roessner, U. (2012). A historical overview of natural products in drug
- discovery. Metabolites 2(2), 303–336
- 480 Ecorys (2014). Study in support of Impact Assessment work on Blue Biotechnology, Revised Final
- 481 Report FWC MARE/2012/06 SC C1/2013/03. 214 pp. Available at
- 482 https://webgate.ec.europa.eu/maritimeforum/system/files/Blue%20Biotech%20-
- 483 <u>%20Final%20Report%20final.pdf</u>
- EuroMarine Working Group (2019). Strategic agenda on enhancement of human resources to support
- blue growth sectors. Eds. M. Cappelletto, A. Cuttitta, B. Patti, National Research Council of Italy.
- 486 ISSN: 2239-5172 n. DTA/28-2019. rev. 02 (March 2020).
- 487 Galanakis, C.M. (2019). Carotenoids: Properties, Processing and Applications. Elsevier
- 488 Gaudêncio, S.P., Pereira F. (2015). Dereplication: racing to speed up the natural products discovery
- 489 process. Nat. Prod. Rep. 32 (6), 779-810
- 490 Gerwick, W.H., Fenner, A.M. (2013). Drug discovery from marine microbes. Microb. Ecol., 65(4),
- 491 800-806
- 492 Greco, G.R., Cinquegrani, M. (2016). Firms plunge into the sea. Marine biotechnology industry, a
- 493 first investigation. Front.Mar.Sci., 2. doi: 10.3389/fmars.2015.00124
- Klitgaard, A., Iversen, A., Andersen, M.R., Larsen, T.O., Frisvad, J.C., Nielsen, K.F. (2014).
- 495 Aggressive dereplication using UHPLC–DAD–OTOF: screening extracts for up to 3000 fungal
- 496 secondary metabolites. Anal Bioanal Chem, 406(7), 1933-1943

- Leese, F., Altermatt, F., Bouchez, A., Ekrem, T., Hering, D., Meissner, K. et al. (2016). DNAqua-
- Net: Developing new genetic tools for bioassessment and monitoring of aquatic ecosystems in
- 499 Europe. RIO 2: e11321. doi:https://dx.doi.org/10.3897/rio.2.e11321
- 500 Lindequist, U. (2016). Marine-derived pharmaceuticals challenges and opportunities. Biomol. Ther.
- 501 24(6), 561-571
- Lloyd, K.G., Steen, A.D., Ladau, J., Yin, J., Crosby, L. (2018). Phylogenetically novel uncultured
- microbial cells dominate earth microbiomes. mSystems 3(5): e00055-18.
- 504 doi: https://dx.doi.org/10.1128/mSystems.00055-18
- Mora. C., Tittensor, D.P., Adl, S., Simpson, A.G.B., Worm, B. (2011). How many species are there
- on earth and in the ocean? PLoS Biol. 9(8): e1001127.
- 507 doi:http://dx.doi.org/10.1371/journal.pbio.1001127
- Perez-Lopez, P., Feijoo, G., Moreira, M. (2018). "Sustainability assessment of blue biotechnology
- processes: addressing environmental, social and economic dimensions" in Designing sustainable
- technologies, products and policies, eds. E. Benetto, K. Gericke, M. Guiton (Springer), 475-486
- Rao, T.E., Imchen, M., Kumavath, R. (2017). Marine enzymes: production and applications for
- 512 human health. Advances in food nutrition research 80, 149-163
- Rodrik, D. (2014). Green industrial policy. Oxf. Rev. Econ. Policy 30(3), 469–491
- Steen, A.D., Crits-Christoph, A., Carini, P., DeAngelis, K.M., Fierer, N., Lloyd, K.G., et al. (2019).
- 515 High proportions of bacteria and archaea across most biomes remain uncultured. ISME J 13, 3126–
- 516 3130
- Theodotou Schneider, X. (2019). Responsible Research and Innovation roadmap. [Report from the
- 518 MARINA Horizon 2020 project]. https://www.xpro-
- consulting.com/uploads/4/9/5/5/49557869/rri_roadmap__online_single.pdf
- Vartoukian, S.R., Palmer, R.M., Wade, W.G. (2010). Strategies for culture of 'unculturable' bacteria.
- 521 FEMS Microbiol. Lett. 309, 1-7

523	Supplementary Materials
524 525 526 527	Supplementary Table S1 : Examples of the past and current international initiatives in the field of marine biotechnology in Europe. The level column represents the targeted involvement within each initiative (industry, research community, legislative authorities, general public, environment protection; they are presented in Figure 2 and in the main text).
528 529	Supplementary Table S2 : A SWOT analysis used to plan and assess the necessity of establishing marine biotechnology interdisciplinary networks such as COST Action CA18238 (Ocean4Biotech).
530	
531	Figure legends
532 533	Figure 1 : Schematic representation of a bioprospecting protocol for the extraction of valuable bioactive compounds from marine organisms (adapted from Galanakis, 2019).
534	Figure 2 : Major prerequisites for the establishment of sustainable actions in marine biotechnology.

Selection of marine organisms

Bacteria, fungi, (micro)algae and others (fast growth, high content of bioactive compounds, tolerance to various stress conditions)

Selection of stress conditions

Environmental stressors (e.g. nitrogen, phosphorous, pH, salinity, metals, light, oxidative stress, temperature)

Selection of cultivation and storage modes

Selection of growth phase Two-stage, fed-batch or gradient strategies

Identification of novel compounds

High value biochemicals (e.g. primary and secondary metabolites, biopolymers, proteins, enzymes) and their metabolic pathways

Screening of marine derived extracts

Screening for various purposes - medicine, cosmeceuticals, nutriceuticals, food, feed, agronomy and others

Exploring biological activities

Anti-oxidant, anti-cancer, anti-microbial, immunomodulatory activities, wound healing potential and more

Selection of possible products

Start with a list of desired products
Identification of organisms that synthesize the products

| Mechanisms of products accumulation | under stress conditions

Omics strategies (e.g. genomics, transcriptomics, lipidomics, glycomics, proteomics, metabolomics)

Heterologous expression techniques

Genetic transformation and genome editing methods for targeted bioactive compounds

Bioprospecting pipeline

INDUSTRY

- ✓ Growing market
- ✓ Potential for highvalue products
- ✓ Job opportunities

SCIENTIFIC COMMUNITY

✓ Marine biota and their compounds are underexplored and unexploited

SUSTAINABILITY

GENERAL PUBLIC

✓ Growing demand for alternative and sustainable sources of food, chemicals, drugs, energy

POLICY MAKERS

✓ National, regional and global legal framework