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The Herman-Światec Theorem with applications

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Abstract

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Carsten Lunde Petersen

Abstract

The paper provides a proof of the Herman-Światec Theorem [Her] that a C^3 critical circle homeomorphism with one non-flat critical point and irrational rotation number θ is quasi-symmetrically conjugate to the corresponding rigid rotation, if and only if θ is Diophantine of exponent 2. Moreover the Herman-Światec Theorem is applied to prove there exist quadratic Siegel polynomials, $P_{\theta}(z) = e^{i2\pi\theta}z + z^2$, with θ of unbounded type, for which the Julia set is locally connected.

1 Introduction

Let f be a C^3 , orientation preserving circle homeomorphism with irrational rotation number θ . We suppose moreover that f has exactly one critical point a_0 , which is non flat. We shall identify the circle with $\mathbb{T} = \mathbb{R}/\mathbb{Z}$ and give \mathbb{T} the induced orientation. Moreover by abuse of notation we shall not distinguish f and lifts of f to \mathbb{R} . Technically it suffices that:

Hypothesis 1.1 The homeomorphism f is C^1 , has a critical point a_0 and there exists a neighbourhood $W \subseteq \mathbb{T}$ of a_0 such that:

- 1. f is C^3 on W and has negative Schwarzian derivative Sf on $W \setminus a_0$.
- 2. There exists a constant A > 0 and $l \in \mathbb{N}$ such that

$$\forall x \in W : A|x - a_0|^{2l} < f'(x) < 2A|x - a_0|^{2l}$$

3. The variation of log f' on $\mathbb{T} \setminus W$ is bounded by some number, say ρ .

4. f has irrational rotation number $\theta \in \mathbb{T}$

In this article we shall prove the following Theorem:

Theorem 1.2 (Herman-Swiatec, 86) Let f be a circle homeomorphism with rotation number θ and satisfying the hypotheses above. Then f is c-quasi symmetrically conjugate to the rigid rotation (of angle θ), if and only if θ has constant type. Moreover the constant c > 1 depends only on the bound N on the coefficients of the continued fraction expansion for θ .

The proof is based partially on a more extensive, but unpublished manuscript by J.-C. Yoccoz, [Yoc].

For $|\theta| = 1$ let c_{θ} be the complex number for which the quadratic polynomial $Q_{c_{\theta}}(z) = z^2 + c_{\theta}$ has a fixed point with multiplier $\exp(i2\pi\theta)$. Herman has proved that there exists irrational numbers θ not of constant type for which the quadratic polynomial $Q_{c_{\theta}}$ has a Siegel disk, whose boundary is a Jordan curve containing the critical point 0. Here we take his proof one step further and prove:

Theorem 1.3 There exist irrational θ not of constant type, such that the Julia set of the quadratic polynomial $Q_{c_{\theta}}$ is locally connected.

Following the established conventions of the subject, we shall freely use the letter c to denote any constant, which depends only on Df. The obtained constants are by no means optimal. It should be noted however that unless stated explicitly otherwise the constants c depend only on those macroscopic properties of Df, stipulated in the first three items of the above hypotheses. In particular the constants do not depend on the irrational rotation number θ of f. Thus given some f then for any $\eta \in \mathbb{T}$ such that $f_{\eta} = f + \eta$ have irrational rotation number, the constants only depend on f.

2 Notation and prerequisites

Notation 2.1 We shall use freely the following notations:

1. The sequence of irreducible rational numbers $\left\{\frac{p_n}{q_n}\right\}_{n\geq 0}$ will denote the convergents of θ . Moreover the sequence $\{b_n\}_{n\in\mathbb{N}}$ denotes the coefficients of the continued fraction expansion of θ , so that $p_n=b_np_{n-1}+p_{n-2}$ and $q_n=b_nq_{n-1}+q_{n-2}$. The number θ is Diophantine of exponent 2

or equivalently, has constant type, if and only if the sequence b_n is bounded.

- 2. For each $i \in \mathbb{Z}$ define $a_i = f^{-i}(a_0)$, (note the critical orbit is indexed time reversedly).
- 3. For a < b < c < d < a + 1 in \mathbb{R} we define the cross-ratio :

$$[a,b,c,d] = \frac{b-a}{c-a} \frac{d-c}{d-b}$$

4. For $n \geq 0$ we define

$$I_n(x) = \begin{cases} [x, f^{-q_n}(x)], & n \text{ odd,} \\ [f^{-q_n}(x), x], & n \text{ even.} \end{cases}$$

$$m_n(x) = |f^{q_n}(x) - x|,$$

$$K_n = \{a_i \mid 0 \le i < q_n\}, \qquad K_n^* = K_n - \{a_{q_n}\}$$

Let us recall some basic properties of circle homeomorphism with irrational rotation numbers in general and critical such circle homeomorphism in particular. The first property is the Poincaré semi-conjugation Theorem.

Theorem 2.2 (Poincaré semi-conjugation Theorem) Let f be any orientation preserving circle homeomorphism with irrational rotation number θ . Then f is semi-conjugate to the rigid rotation $R_{\theta}(z) = z + \theta$ with angle θ , i.e. there exists a continuous map $\phi : \mathbb{T} \longrightarrow \mathbb{T}$ such that $\phi \circ f = R_{\theta} \circ \phi$.

The Poincaré semi-conjugation Theorem implies that the combinatorial orbit structure of any orientation preserving circle homeomorphism is the same as that for the rigid rotation.

Remark 2.3 Recall the following properties of circle homeomorphisms:

1. For even n (respectively for odd n) the point of K_n^* following (respectively preceding) a point a_i for $0 \le i < q_n$ is a_j with

$$j = \begin{cases} i + q_{n-1}, & \text{if } 0 \le i < q_n - q_{n-1} \\ i + q_{n-1} - q_n, & \text{if } q_n - q_{n-1} < i < q_n \end{cases}$$

- 2. For any $x \in \mathbb{T}$ and any $n \geq 1$ all the intervals $I_n(f^j(x))$ for $0 \leq j < q_{n+1}$ and $I_{n+1}(f^j(x))$ for $0 \leq j < q_n$ have mutually disjoint interiors.
- 3. For any $x \in \mathbb{T}$

$$\mathbb{T} = \bigcup_{0 \le j < q_{n+1} + q_n} I_n(f^j(x)) = \bigcup_{0 \le j < q_{n+1}} I_n(f^j(x)) \cup \bigcup_{0 \le j < q_n} I_{n+1}(f^j(x))$$

4. Let a < b < c < d < a+1 in \mathbb{R} be arbitrary and let $N \ge 1$. If the open interval]a,d[does not contain any of the points $a_j, 0 \le j < N$ and if $Sf^N \le 0$ on]a,d[, then

$$[f^N(a), f^N(b), f^N(c), f^N(d)] \le [a, b, c, d].$$

Here as elsewhere Sf denotes the Schwarzian derivative of f and is given by

$$Sf = D^{2} \log Df - \frac{1}{2} (D \log Df)^{2}$$

$$= (D^{3}Df - \frac{3}{2} (D^{2}f)^{2})(Df)^{-2}$$

$$= -2(Df)^{-\frac{1}{2}} \cdot D^{2}(Df)^{-\frac{1}{2}}.$$

Theorem 2.4 (Światec) There exists a constant c > 1 such that for any quadruple a < b < c < d < a + 1 in \mathbb{R} and any N > 0 one has

$$[f^{N}(a), f^{N}(b), f^{N}(c), f^{N}(d)] \leq c^{m} \cdot [a, b, c, d],$$

where m is the covering number:

$$m = \max_{x \in \mathbb{T}} \#\{j | 0 \le j < N \text{ and } x \in]f^j(a), f^j(d)[\}.$$

Proof: This is the *Cross-Ratio Inequality* of Światec [Swi, p.112]. q.e.d.

Combining Remark 2.3.4. and Theorem 2.4 we have

Corollary 2.5 Suppose f is C^3 and $Sf(x) \le 0$, $\forall x \in \mathbb{T} - \{a_0\}$. Then there exists a constant c > 1 such that for any N > 0 and any a < b < c < d < a + 1 in \mathbb{R} one has

$$[f^N(a), f^N(b), f^N(c), f^N(d)] \le c^m \cdot [a, b, c, d],$$

where $m = \#\{j | 0 \le j < N \text{ and } a_0 \in]f^j(a), f^j(d)[\}.$

3 The a priori bounds

Let us fix $n \ge 0$, say even to fix the ideas. Set $q = q_n$, $m(x) = m_n(x)$, $I(x) = I_n(x)$ for $x \in \mathbb{T}$ and define

$$\Delta(x) = [f^{-2q}(x), f^{-q}(x), x, f^{q}(x)] \quad \text{for } x \in \mathbb{T}.$$

Lemma 3.1 There exists a constant c > 1 such that $\forall x \in \mathbb{T}$ and for all $z \in I(x)$

$$c^{-1} \cdot \min\{m(z), m(f^q(z))\} \le m(x) \le m(z) + m(f^q(z)).$$

Proof: The right-hand inequality is a useful but trivial observation. Towards the left hand inequality, let us prove that, there exists a constant $c_1 > 1$ such that

$$m(x) \ge c_1^{-1} \cdot \min\{m(f^q(x)), m(f^{-q}(x))\} \qquad \forall x \in \mathbb{T}.$$
 (1)

For any $x \in \mathbb{T}$ the inequality $m(x) \leq \min\{m(f^q(x)), m(f^{-q(x)})\}$ implies $\Delta(x) \geq \frac{1}{4}$. Write $r(x) \cdot m(x) = \min\{m(f^q(x)), m(f^{-q}(x))\}$, and assume r(x) > 1 then

$$\Delta(f^{-q}(x)) = \frac{m(f^{-2q}(x))}{m(f^{-2q}(x)) + m(f^{-q}(x))} \cdot \frac{m(x)}{m(x) + m(f^{-q}(x))} \le \frac{m(x)}{m(x) + m(f^{-q}(x))} \le \frac{1}{1 + r(x)}.$$

On the other hand the intervals $f^{j}(]f^{-3q}(x), x[), 0 \leq j < q$ cover any point

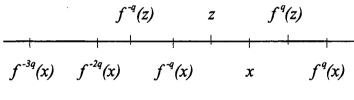


Figure 1:

 $y \in \mathbb{T}$ at most 3 times. Let $c_0 > 1$ be the constant of the Światec Theorem (2.4), then

$$\frac{1}{4} \le \Delta(x) \le c_0^3 \cdot \Delta(f^{-q}(x)) \le \frac{c_0^3}{1 + r(x)},$$

thus $r(x) \le 4 \cdot c_0^3 - 1$.

Let $c = 4 \cdot c_0^3$, so that the constant $c_1 = c - 1$ applies in (1), by arbitrariness of $x \in \mathbb{T}$. Let $x \in \mathbb{T}$ and $z \in I(x)$ be arbitrary. Then by (1) either

$$m(z) < m(f^{-q}(x)) + m(x) \le (c_1 + 1) \cdot m(x) = c \cdot m(x)$$
 or $m(f^{q}(z)) < m(f^{q}(x)) + m(x) \ge (c_1 + 1) \cdot m(x) = c \cdot m(x)$.

q.e.d.

Proposition 3.2 There exists a constant c > 1 such that for all $x \in \mathbb{T}$ and $z \in I(x)$ one has

$$c^{-1} \cdot m(x) \le m(z) \le c \cdot m(x)$$
.

Proof: By the above Lemma 3.1 it suffices to prove that there exists $c_1 > 1$ such that for all $z \in \mathbb{T}$

$$c_1^{-1} \cdot m(z) \le m(f^{-q}(z)) \le c_1 \cdot m(z)$$
 (2)

Let $y \in \mathbb{T}$ be a point where the continuous function $z \mapsto m(z)$ attains its minimum, and let $y_j = f^{-j}(y)$ for all $j \in \mathbb{Z}$. Given any $z \in \mathbb{T}$ let j be the minimal non negative integer with $y_j \in I_n(f^{2q}(z))$. Then $0 \le j < q_{n+1} + q_n$ by Remark 2.3.2. Thus by the Światec Theorem there exists $c_1 > 1$ such that $c_1^{-1} \le \Delta(y_j)$, because the intervals $]y_{i+2q}, y_{i-q}[, 0 < i \le j \text{ cover any point of the circle at most three times. Furthermore again by the Światec Theorem we can suppose <math>\Delta(y_{j+q}), \Delta(j+2q) \ge c_1^{-1}$ increasing c_1 if necessary. Writing

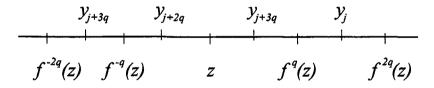


Figure 2:

out the definitions of $\Delta(y_j), \Delta(y_{j+q}), \Delta(y_{j+2q})$ we obtain for $c_2 = c_1 + 1$ that:

$$c_1^{-1} \le \Delta(y_j) \Rightarrow m(y_j) \le c_2 m(y_{j+q})$$

$$c_1^{-1} \le \Delta(y_j) \Rightarrow \begin{cases} m(y_{j+q}) \le c_2 m(y_j) \\ m(y_{j+q}) \le c_2 m(y_{j+2q}) \end{cases}$$

$$c_1^{-1} \le \Delta(y_{j+2q}) \Rightarrow m(y_{j+2q}) \le c_2 m(y_{j+q})$$

So that

$$c_2^{-1}m(y_{j+q}) \le m(y_j), m(y_{j+2q}) \le c_2 m(y_{j+q}) \tag{3}$$

Let c_3 be the constant from Lemma 3.1 then

$$c_3^{-1}\min\{y_{j+2q}, y_{j+q}\} \le m(z) \le y_{j+2q} + y_{j+q} \tag{4}$$

$$c_3^{-1}\min\{y_j, y_{j+q}\} \le m(f^q(z)) \le y_j + y_{j+q} \tag{5}$$

Combining the estimates (3) with the pair of estimates (4) and (5) we obtain (2), and the Proposition follows. q.e.d.

Corollary 3.3 The circle homeomorphism f is minimal, i.e every orbit is dense and any Poincaré semi conjugacy is a homeomorphism and true conjugacy.

Proof: Suppose to the contrary that f is a Denjoy counter example with invariant Cantor set L. Let z be a left endpoint of some interval I of the complement of L. Then

$$\lim_{\substack{n \to \infty \\ n \to \infty}} m_{2n}(z) = 0,$$

$$\lim_{\substack{n \to \infty \\ n \to \infty}} m_{2n}(f^{q_{2n}}(z)) = |I| > 0,$$

which contradicts Proposition 3.2. Here |I| denotes the length of the interval I.

Theorem 3.4 There exists a constant c > 1 such that

$$\forall x \in K_n : m_{n-1}(x) \le c \cdot m_n(x).$$

Proof: To fix the ideas let us suppose n is even and define for $i \in \mathbb{Z}$

$$\Sigma_{i} = [a_{i+q_{n}}, a_{i}, a_{i+q_{n-1}}, a_{i+2q_{n-1}}]$$

$$= \frac{m_{n}(a_{i})}{m_{n}(a_{i}) + m_{n-1}(a_{i})} \cdot \frac{m_{n-1}(a_{i+2q_{n-1}})}{m_{n-1}(a_{i+2q_{n-1}}) + m_{n-1}(a_{i+2q_{n-1}})}$$

and

$$u_i = \frac{m_n(a_i)}{m_{n-1}(a_i)} = \frac{a_i - a_{i+q_n}}{a_{i+q_{n-1}} - a_i}.$$

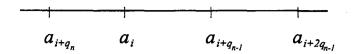


Figure 3:

then by Proposition 3.2 there exists $c_1 > 1$ such that

$$c_1^{-1} \frac{u_i}{1 + u_i} \le \Sigma_i \le u_i \tag{6}$$

Thus it suffices to prove that there exists c > 1 such that

$$c^{-1} \le \Sigma_i \qquad \text{for } 0 \le i \le q_n. \tag{7}$$

Moreover by the Światec-Theorem it suffices to prove (7) in the case i = 0, because the intervals $]a_{i+q_n}, a_{i+2q_{n-1}}[, 0 < i \le q_n \text{ cover any point of the circle at most three times.}]$

Comparing interval by interval and using Proposition 3.2 we find that there exists $c_2 > 1$ such that

$$\Sigma_0 \le c_2 \cdot \Sigma_{-q_n}. \tag{8}$$

Increasing n if necessary we can suppose $I_{n-1}(a_0)$, $I_n(a_0) \subset W$ so that (by integrating f') there exists $c_3 > 1$ with

$$\Sigma_{-1} \le u_{-1} \le c_3 u_0^{2l+1}.$$

Invoking Theorem 2.4 again (from Σ_{-1} to Σ_{-q_n}) we can suppose, increasing c_3 if necessary that

$$\Sigma_{-q_n} \le c_3 \cdot u_0^{2l+1}. \tag{9}$$

Suppose $u_0 < 1$ we then obtain from (6), (8) and (9)

$$\frac{u_0}{2c_1} \le \Sigma_0 \le c_2 \cdot c_3 \cdot u_0^{2l+1}$$

This shows that both u_0 and Σ_0 are bounded from below and completes the proof. q.e.d.

The above Theorem/or the following Corollary is often referred to as a-priori real bounds for critical circle maps. They are used as a bootstrap to control the geometry and topology of holomorphic maps, which restricts to critical circle homeomorphisms. See for example [dF], [dFdM], [dFaWdM], [GS], [Pet] and [Yam]. The papers [GS] and [dFdM] also contain proofs of the a-priori real bounds, not much different from the one presented here.

Corollary 3.5 (a-priori real bounds) There exists a constant c > 1 such that

$$\forall n \geq 2 : |a_0 - a_{q_{n-1}}| \leq c \cdot |a_{q_n} - a_0|.$$

4 Quasi-symmetric conjugacies

Corollary 4.1 Suppose θ has constant type. Then there exists a constant c > 1 depending only on Df and on the bound N on the coefficients b_n so that

$$\forall z \in \mathbb{T}, \forall n \in \mathbb{N} : \frac{m_n(z)}{m_{n+1}(z)} \le c.$$

Proof: Let $z \in \mathbb{T}$ be arbitrary. Given $n \in \mathbb{N}$ there exists $x \in K_n$ such that either $z \in I_{n-1}(z)$ or $z \in I_{n-1}(f^{-q_{n-1}}(z))$ by Remark 2.3.2. For this x there exists $k \leq N+1$ such that $z \in I_n(f^{\pm kq_n})$. Let c_1 be the constant from Proposition 3.2 and let c_2 be the constant from Proposition 3.4 then we obtain

$$\frac{m_{n-1}(z)}{m_n(z)} \le c_2 c_1^{k+1} \le c_2 c_1^{N+2}.$$

q.e.d.

For $n \in \mathbb{N}$ let $M_n = d(\mathbb{Z}, q_n \theta) = |z - R_{\theta}^{q_n}(z)|$, where the last equality holds for any $z \in \mathbb{T}$ exactly because R_{θ} is a rigid rotation. Then for any $n \in \mathbb{N}$

$$a_{n+1}M_n < M_{n-1} < (1 + a_{n+1})M_n.$$

Corollary 4.2 Suppose θ has constant type. Then there exists a constant c > 1 depending only on Df and on the bound N on the coefficients b_n so that f is c-quasi-symmetrically conjugate to the rigid rotation R_{θ} .

Proof: By Corollary 3.3 there exists a homeomorphism $h: \mathbb{T} \longrightarrow \mathbb{T}$ conjugating the rigid rotation R_{θ} to f. Let $z \in \mathbb{T}$ and $0 < \delta < \frac{1}{2}$ be arbitrary. Choose $n \in \mathbb{N}$ such that $M_{n+1} < \delta \leq M_n$. Let us suppose n is even to fix the ideas (n odd being similar) then

$$m_{n+1}(h(z)) \le |h([z, z+\delta])| \le m_n(f^{q_n}(h(z)))$$
 (10)

$$m_{n+1}(f^{q_{n+1}}(h(z))) \le |h([z-\delta,z])| \le m_n(h(z)).$$
 (11)

Let c_1 be the constant from Proposition 3.2 and let c_2 be the constant from

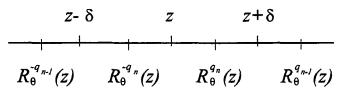


Figure 4:

Proposition 4.1 then we obtain

$$\frac{1}{c_2} \le \frac{|h([z,z+\delta])|}{|h([z-\delta,z])|} \le c_1^2 c_2.$$

q.e.d.

Corollary 4.3 Suppose θ does not have constant type. Then f is not quasi symmetrically conjugate to the rigid rotation.

Proof: It suffices to prove that a homeomorphism $h: \mathbb{T} \longrightarrow \mathbb{T}$ conjugating f to the rigid rotation R_{θ} is not quasi symmetric. To this end choose a subsequence $\{b_{n_k}\}_{k\in\mathbb{N}}$ diverging to ∞ . Then

$$\frac{h(I_{n_k-1}(a_0))}{h(I_{n_k-2}(a_0))} = \frac{M_{n_k-1}}{M_{n_k-2}} \le \frac{1}{a_{n_k}},$$

which contradicts that h is quasi symmetric, because $I_{n_k-1}(a_0)$ and $I_{n_k-2}(a_0)$ are commensurable by Corollary 3.4. q.e.d.

5 Local connectivity of Julia sets

Let $f_0: \overline{\mathbb{C}} \longrightarrow \overline{\mathbb{C}}$ denote the Blaschke product

$$f_0(z) = z^2 \frac{z - 3}{1 - 3z}.$$

For each irrational $\theta \in]0,1[$ let λ_{θ} be the unique unimodular constant for which the restriction $f_{\theta} = \lambda_{\theta} \cdot f_0 : \mathbb{S}^1 \longrightarrow \mathbb{S}^1$ has rotation number θ . Let $J_{f_{\theta}}$ denote the Julia set of f_{θ} and let $J_{\theta} \subset J_{f_{\theta}}$ denote the boundary of the immediate attracted basin $\Lambda_{\theta}(\infty)$ for ∞ . It was proved in [Pet] that

Theorem 5.1 For every irrational θ the subset J_{θ} and the full Julia set $J_{f_{\theta}}$ are locally connected.

We shall prove the following Theorem which combined with Theorem 5.1 implies Theorem 1.3:

Theorem 5.2 There exists irrational θ of unbounded type for which the Julia set $J_{c_{\theta}}$ for $Q_{c_{\theta}}$ is homeomorphic to J_{θ} .

The equivalent of Theorem 5.2 in the case of constant type θ was proven simultaneously by Douady, Ghys, Herman, Hubbard and Shishikura, who all used the following procedure:

Suppose the number θ is of constant type. Let $h: \mathbb{S}^1 \longrightarrow \mathbb{S}^1$ denote the conjugacy, between f_{θ} and the rigid rotation R_{θ} . As θ has constant type, the Herman-Światec Theorem 1.2 implies that the map h is c-quasi-symmetric, with a constant c > 1, which only depends on the bound N on the coefficients of the continued fraction expansion for θ . Let $\psi_{\theta}: \overline{\mathbb{D}} \longrightarrow \overline{\mathbb{D}}$ be a K-quasi-conformal extension of h (with a K > 1 which only depends on c and hence on N) and define $F_{\theta}: \overline{\mathbb{C}} \longrightarrow \overline{\mathbb{C}}$ by

$$F_{\theta}(z) = \begin{cases} f_{\theta}(z) & \text{if } z \in \overline{\mathbb{C}} \setminus \mathbb{D} \\ \psi_{\theta}^{-1} \circ R_{\theta} \circ \psi_{\theta} & \text{if } z \in \overline{\mathbb{D}}. \end{cases}$$

Let σ_0 denote the standard almost complex structure on $\overline{\mathbb{C}}$, and let σ_{θ} denote the F_{θ} invariant almost complex structure given by

$$\sigma_{ heta}(z) = egin{cases} \psi^*(\sigma_0)(z) & ext{if } z \in \mathbb{D} \ ((\psi \circ F_{ heta}^n)^*(\sigma_0)(z) & ext{if } F^n(z) \in \mathbb{D} \ \sigma_0(z) & ext{otherwice.} \end{cases}$$

Finally let $\phi_{\theta}: \overline{\mathbb{C}} \longrightarrow \overline{\mathbb{C}}$ be the integrating map, the quasi-conformal homeomorphism for which $\sigma_{\theta} = \phi_{\theta}^*(\sigma_0)$, normalized so that the conjugate map $\phi_{\theta} \circ F_{\theta} \circ \phi_{\theta}^{-1}$ equals the quadratic polynomial $Q_{\theta}(z) = z^2 + c_{\theta}$, with an indifferent fixed point of multiplier $e^{i2\pi\theta}$.

The conjugacy $h: \mathbb{S}^1 \longrightarrow \mathbb{S}^1$ between f_{θ} and R_{θ} , normalized by h(1) = 1 depends continuously on θ in the C^0 -topology, because f_{θ} and R_{θ} depend continuously on θ in the C^0 -topology.

Thus by choosing the quasi-conformal extension ψ_{θ} in some canonical way, say by using the Ahlfors-Beurling extension, [LV, Th. 6.3] or the Douady-Earle extension, [DE] we can suppose that also the quasi-conformal extensions ψ_{θ} depend continuously on θ in the C^0 -topology. To fix the ideas we choose to use say the Douady-Earle extension for every θ .

Lemma 5.3 Let (θ_n) be a sequence of irrationals converging to some irrational θ_0 . Suppose the θ_n have constant type with a uniform constant type bound N. Then θ_0 has constant type with bound N and the two sequences (ϕ_{θ_n}) and $\phi_{\theta_n}^{-1}$ converges to ϕ_{θ_0} respectively $\phi_{\theta_0}^{-1}$ in the C^0 -topology.

Proof: The coefficients of θ_n converge to those of θ_0 so that θ_0 has constant type with bound N. We shall prove that ϕ_{θ_n} converges uniformly (C^0) to ϕ_{θ_0} , from which the Lemma follows.

There exists $K(N) \geq 1$, such that each map ϕ_{θ_n} is K-quasi conformal. Thus extracting a subsequence, if necessary we can assume the sequence converge C^0 to a K quasi-conformal homeomorphism ϕ_0 . We shall prove that

$$\phi_0 = \phi_{\theta_0}. \tag{12}$$

As (F_{θ_n}) converges C^0 to F_{θ_0} and $(Q_{c_{\theta_n}})$ converges C^0 to $Q_{c_{\theta_0}}$ the map ϕ_0 conjugates F_{θ_0} to Q_{θ_0} and the restriction of ϕ_0 to the immediate attracted basin of ∞ is biholomorphic. Thus (12) holds on the closure of the immediate attracted basin of ∞ , by uniqueness of the holomorphic conjugacy. Moreover (12) also holds on the 'Siegel-disk' \mathbb{D} , because the Douady-Earle extension depends continuously on the boundary data h_{θ} . Finally it holds on the grand orbit of \mathbb{D} , because both ϕ_0 and ϕ_{θ_0} conjugates dynamics. Thus any (C^0) limit function of the ϕ_{θ_n} equals ϕ_{θ_0} . Combining this with the precompactness of the sequence (ϕ_{θ_n}) and the compactness of $\overline{\mathbb{C}}$ completes the proof. **q.e.d.**

Proof of Theorem 5.2: Let (ϵ_n) be a summable sequence of strictly positive numbers. Let (d_n) be any unbounded sequence of natural numbers and let θ_1 be any irrational of constant type. Given a natural number k_1 let for each $n \geq 1$ the irrational number $\theta_{1,n}$ be obtained from θ_1 by replacing the $(n+k_1)$ -th coefficient b_{n+k_1} in the continued fraction expansion of θ_1 with d_1 . Then the sequence $(\theta_{1,n})$ converges to θ_1 . Hence by Lemma 5.3 there exists n such that

$$d_{C^0}(\phi_{\theta_1},\phi_{\theta_{1,n}}) \leq \epsilon_1$$
 and $d_{C^0}(\phi_{\theta_1}^{-1},\phi_{\theta_{1,n}}^{-1}) \leq \epsilon_1$

Let $\theta_2 = \theta_{1,n}$ and let $k_2 = k_1 + n$. Then we may restart the process using θ_2 , k_2 , d_2 and ϵ_2 to obtain an irrational θ_3 of constant type, but with $b_{k_2} = d_1$ and $b_{k_3} = d_2$. Proceeding inductively we find a sequence (θ_n) of irrational numbers of constant type converging to some irrational of non constant type θ_0 and a Cauchy sequence (in the C^0 -norm) of (quasi-conformal) homeomorphisms (ϕ_{θ_n}) , such that also the the sequence of inverse maps is a Cauchy sequence. Let ϕ_0 denote the limit of the sequence (ϕ_{θ_n}) , then ϕ_0 is a homeomorphism conjugating F_{θ_0} to Q_{c_0} . In particular $J_{c_{\theta_0}} = \phi_0(J_{\theta_0})$.

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